

## **Methods and Perspectives for Astroparticle Physics**

Prof. Dr. Dr. Wolfgang Rhode

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Modern Astro- and Astroparticle Physics

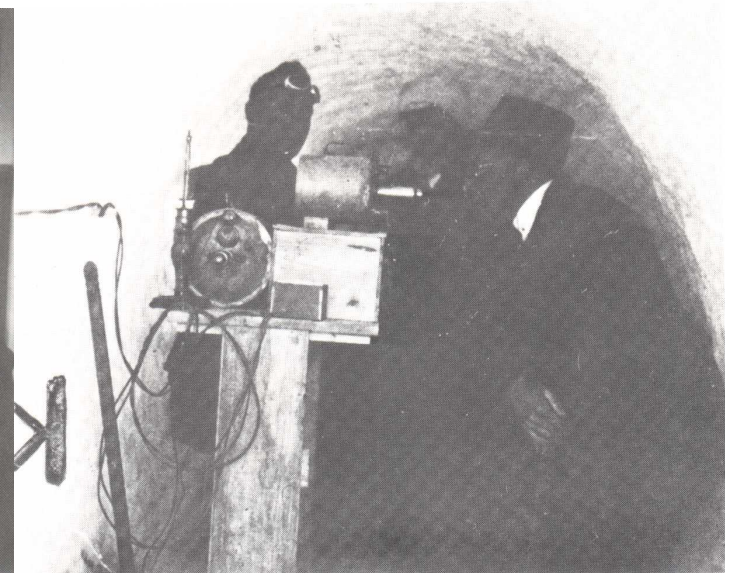
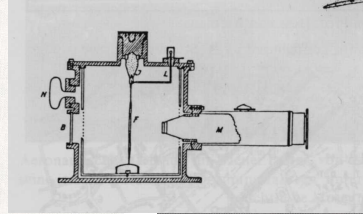
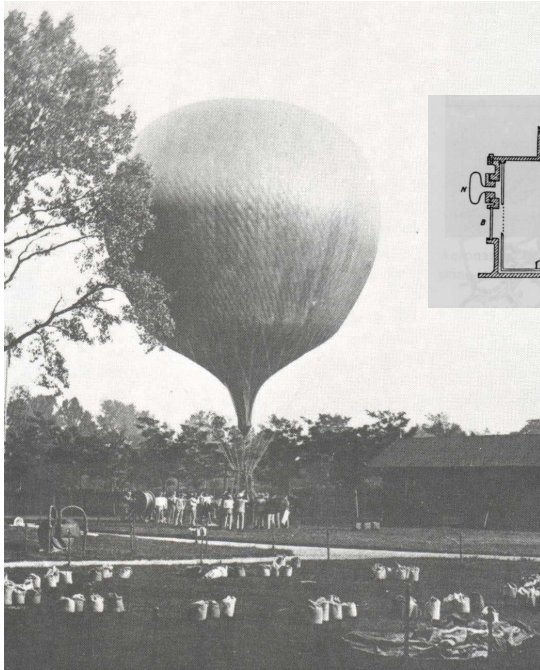
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# Methods and Perspectives for Astroparticle Physics



From: Jaques Devault, Oeuvres Nautiques 1583, Facsimile Taschen Verlag o.J.

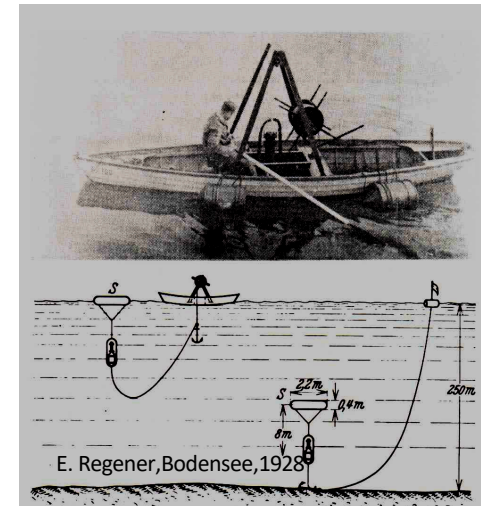
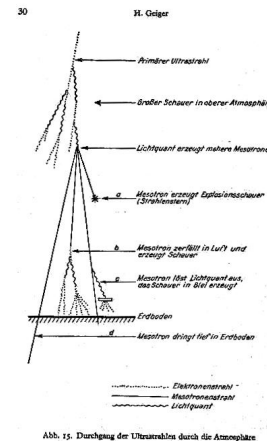
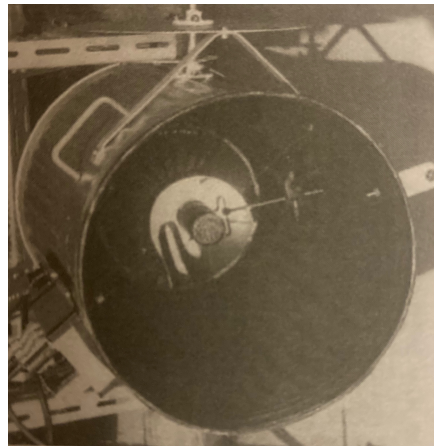
# From where do we come?



V. Hess, 1911/12

V.F. Hess 1960; „Strahlungsapparat“ 1927-1931

W. Kohlhörster in einem Eisstollen im Eiger-Gletscher, 1923

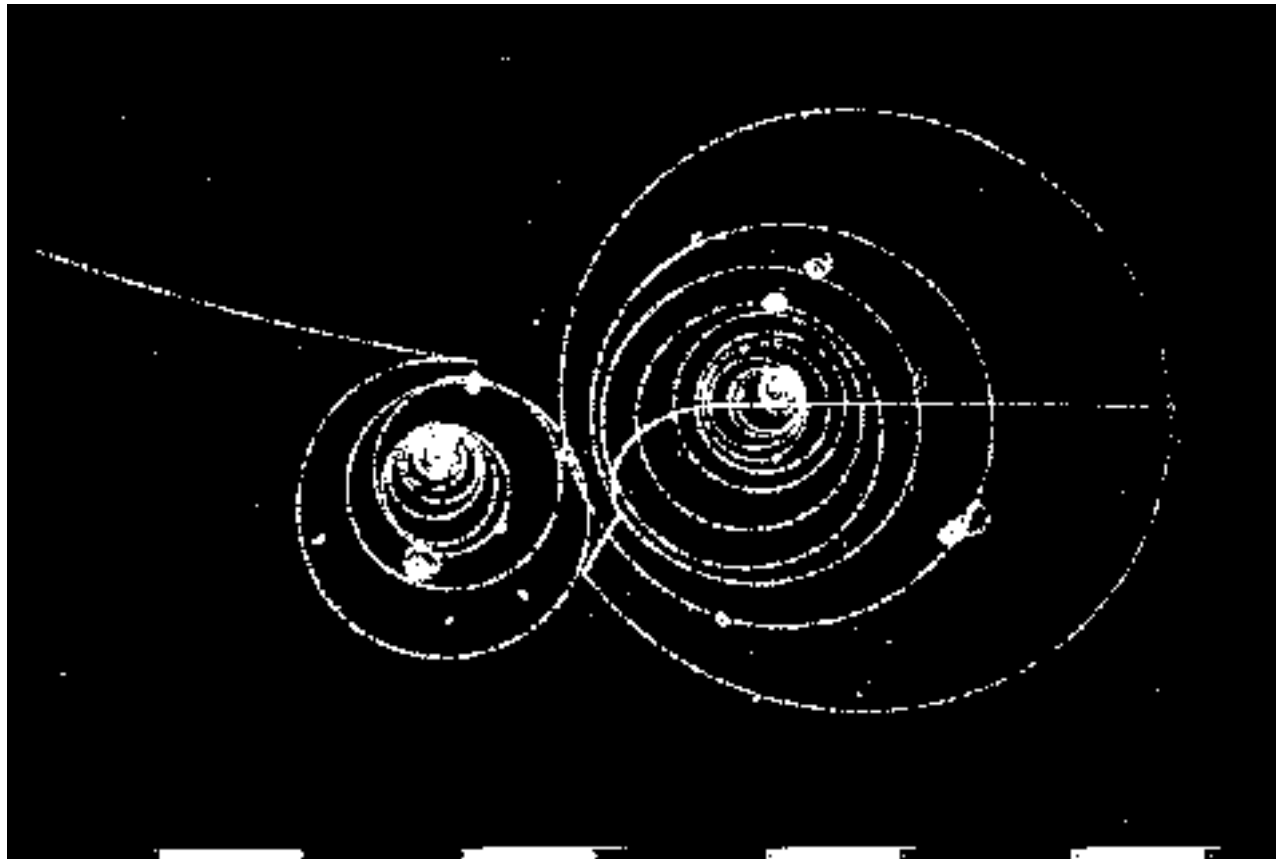


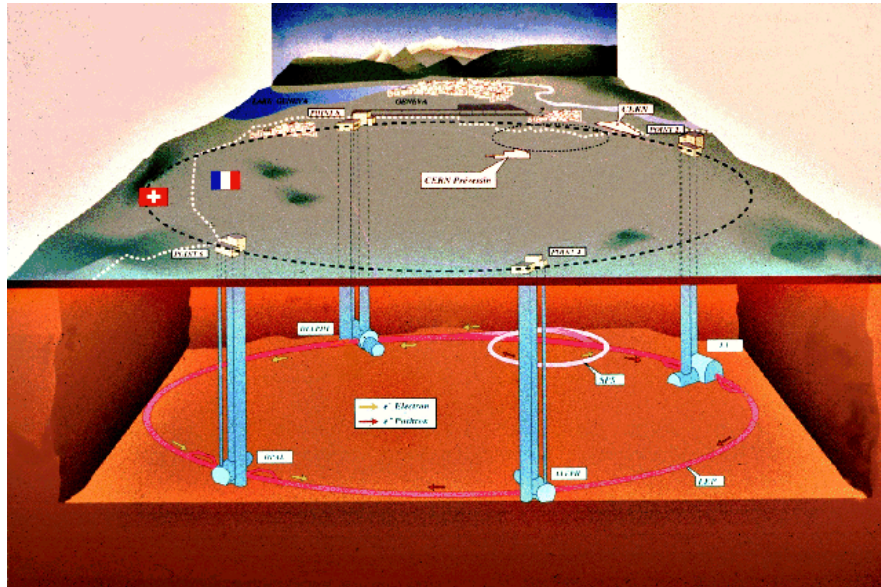
„Höhenstrahlungs-Labor“ auf der Zugspitze, 2960 m

First Cherenkov Counter Galbraith/Jelly 1953

3 Die kosmische Ultrastrahlung als Forschungsproblem Hans Geiger. 1940

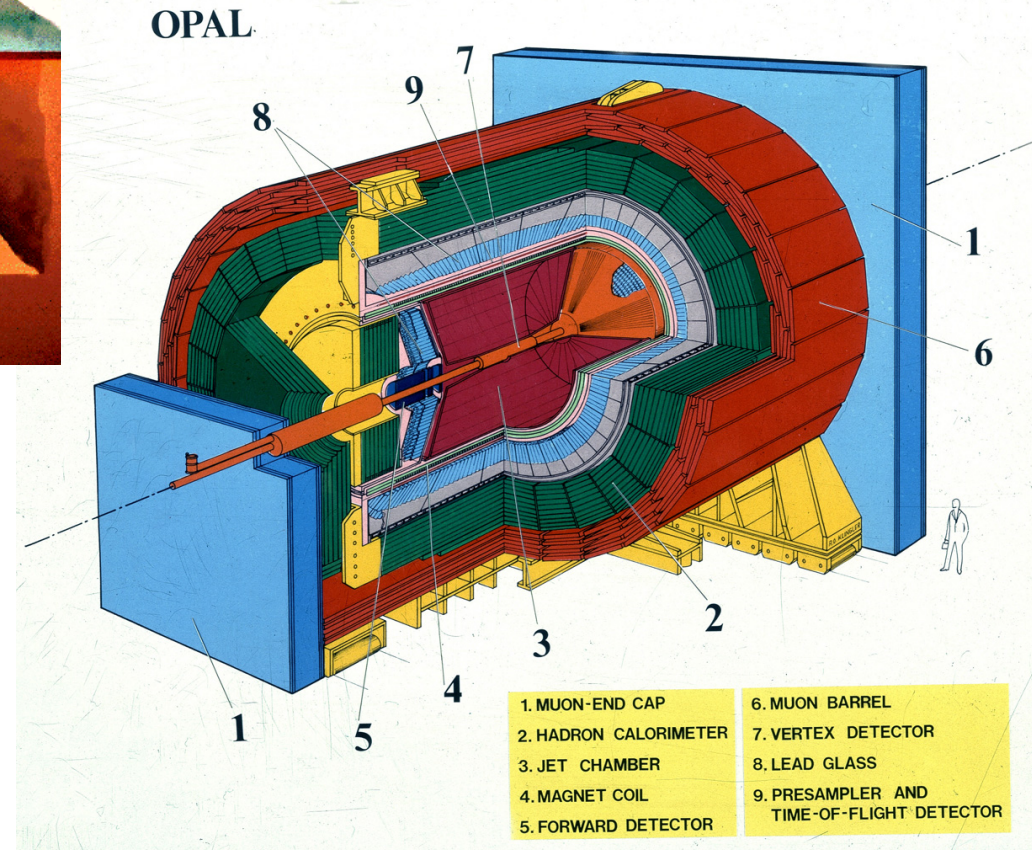
## What to focus on ?





In the 1980s and 1990s:

LEP / OPAL et al.



## Generic Detector

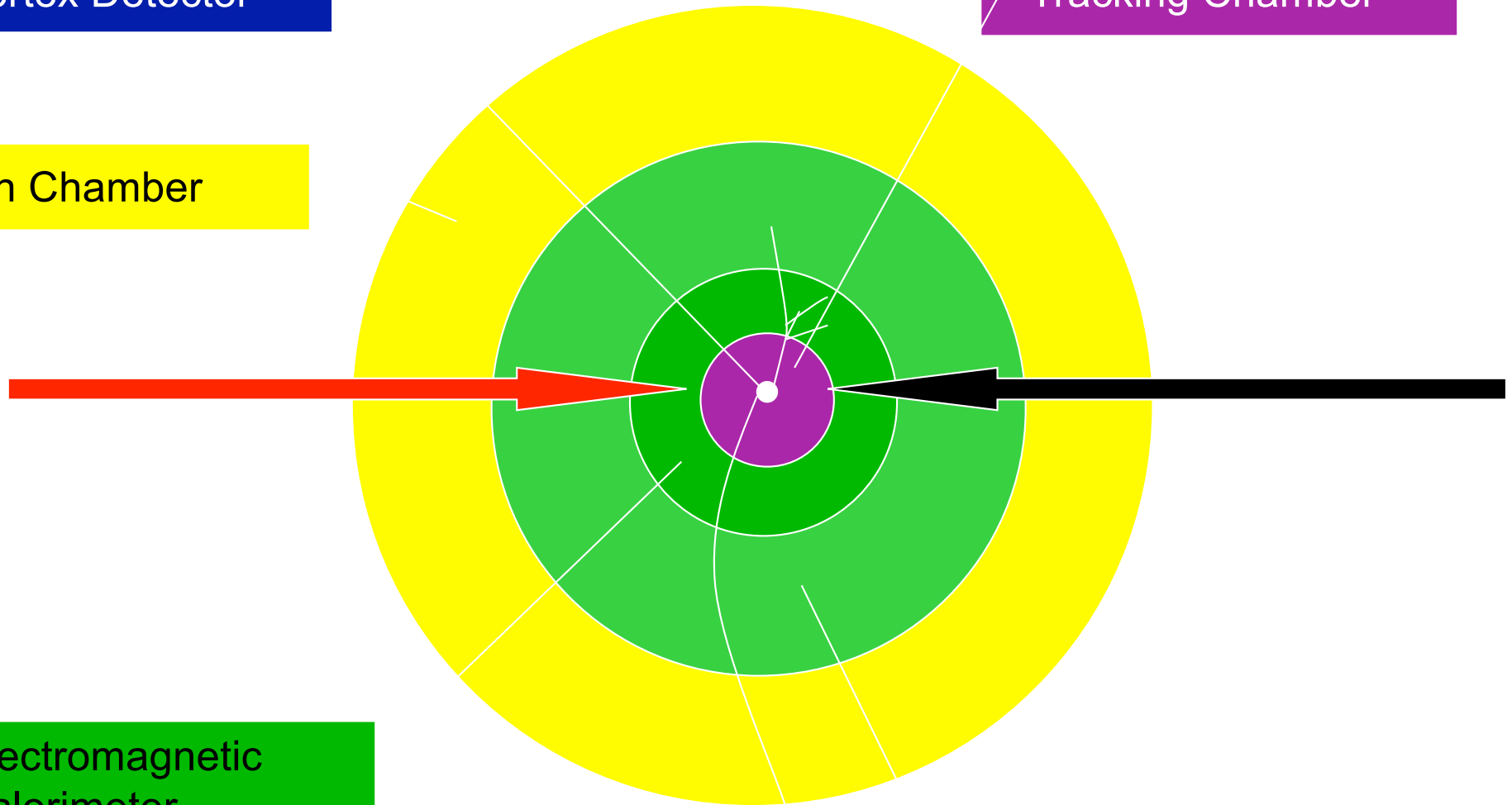
Vertex Detector

Tracking Chamber

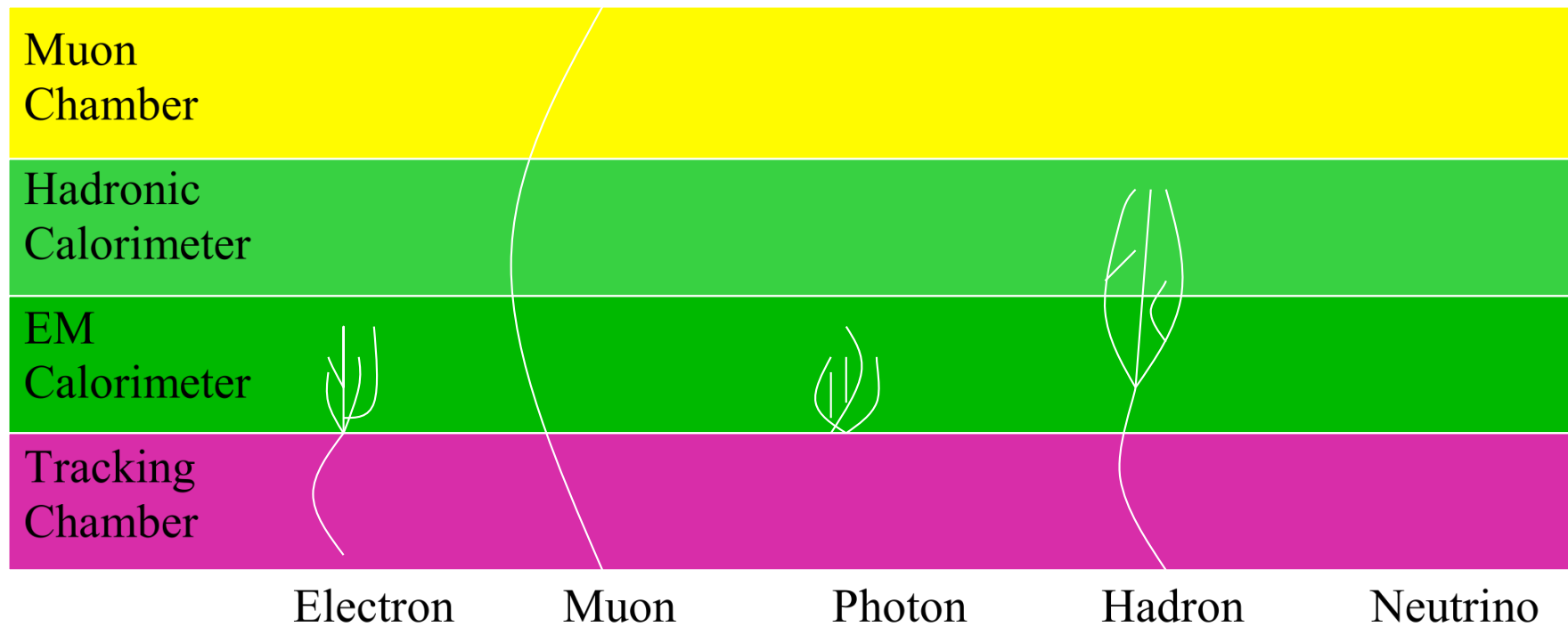
Muon Chamber

Electromagnetic  
Calorimeter

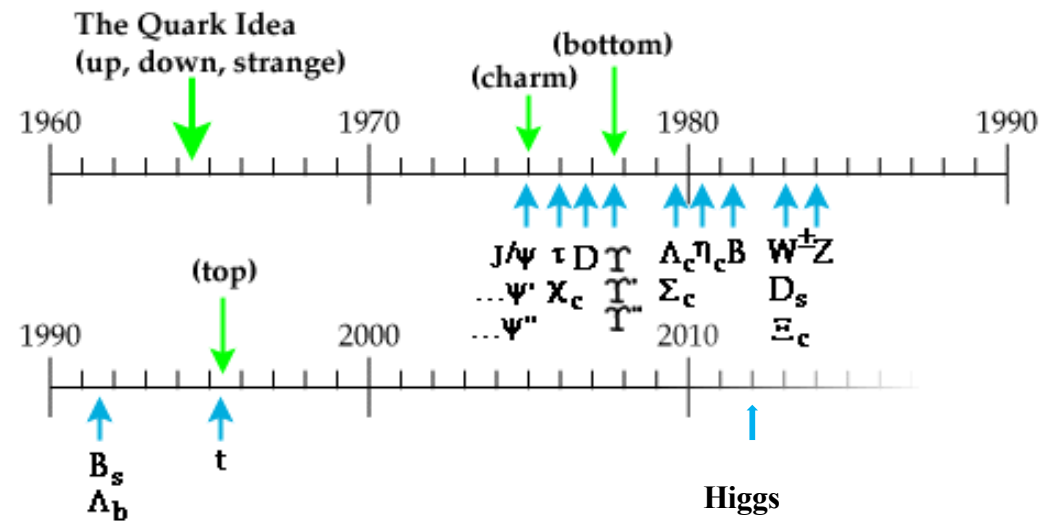
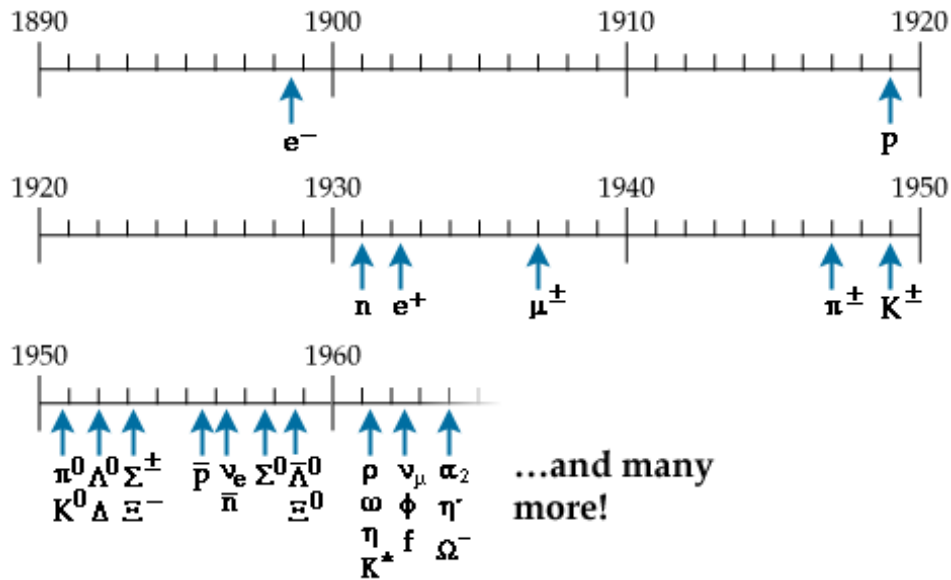
Hadronic Calorimeter



## Particle Identification



# Discovery of Particles





## Why are we here?

- Not for particle physics?
- To lever our view and unfold it on the sky?
  - Why should one do so?

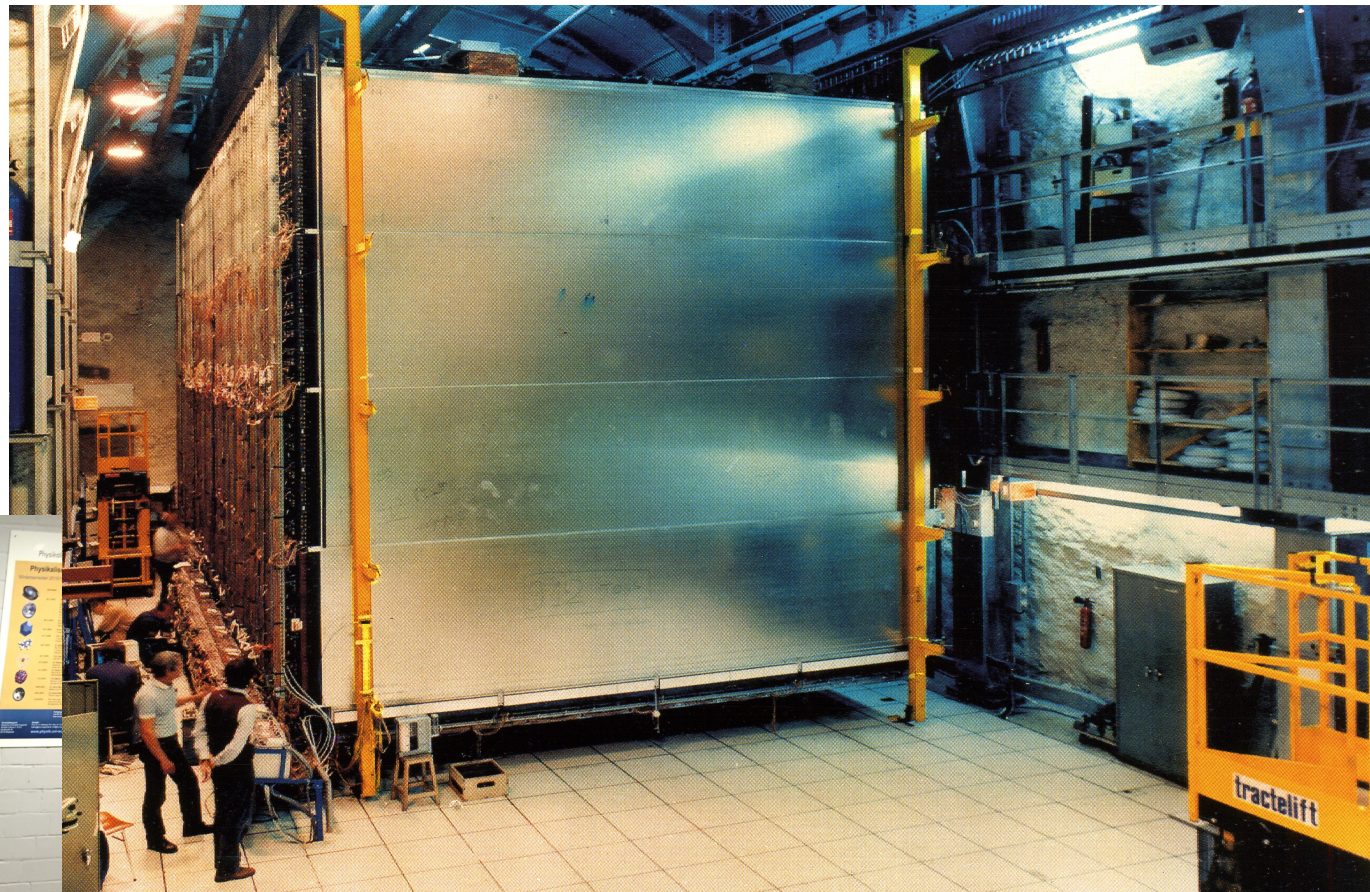


# Andrei Sacharov (1967)

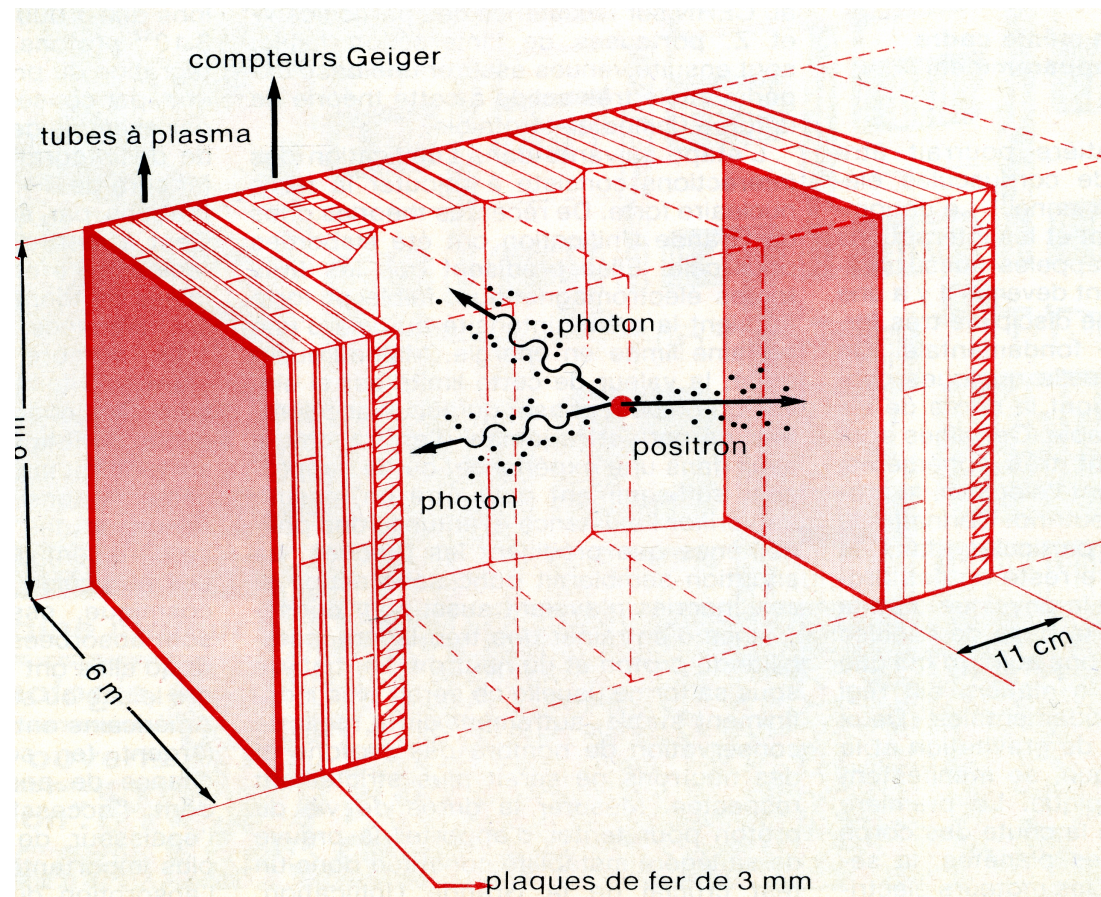
Conditions for matter-antimatter asymmetry:

- C and CP violation
- Universe not in thermo-dynamic equilibrium state
- **Proton unstable ! (?)**

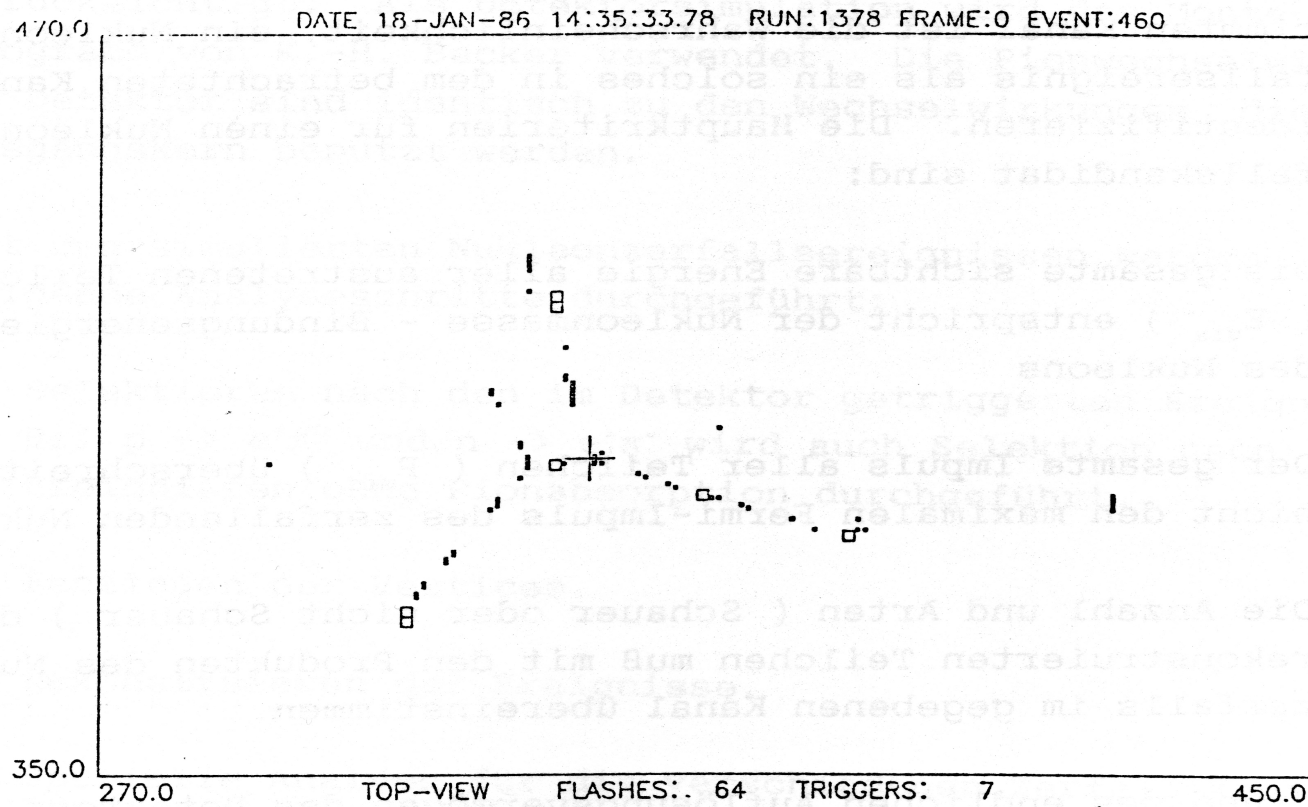
## Search for the Proton Decay: Accelerator-less Particle Physics



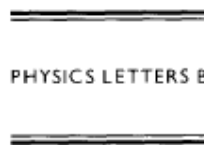
## Searched-for Signature of the Proton Decay



# Proton Decay or Neutrino Interaction?



# Limits to proton lifetime (e.g.).



Physics Letters B 269 (1991) 227–233  
North-Holland

## Lifetime limits on $(B-L)$ -violating nucleon decay and di-nucleon decay modes from the Fréjus experiment

Fréjus Collaboration

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*I. Physikalisches Institut der RWTH Aachen<sup>1</sup>, W-5100 Aachen, FRG*

Y. Benadjal, D. Blum, C. Boudarios, B. Dudelzack, P. Eschstruth, S. Jullian, D. Lalanne, F. Laplanche, C. Longemare<sup>2</sup>, C. Paulot<sup>3</sup>, O. Perdereau, P. Roy, G. Szklarz

*Laboratoire de l'Accélérateur Linéaire, Centre d'Orsay, Bâtiment 200, F-91405 Orsay, France*

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C. Arpesella<sup>5</sup>, P. Bareyre, R. Barloutaud, A. Borg, G. Chardin, J. Ernwein, J.F. Glicenstein, L. Mosca, L. Moscoso

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J. Becker<sup>6</sup>, K.H. Becker, K. Daum, B. Jacobi, B. Kuznik, J. Löffler, H. Meyer, W. Rhode, M. Schubnell<sup>7</sup> and Y. Wei

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Received 31 July 1991

The fully contained events recorded in the Fréjus detector are used to search for  $(B-L)$ -violating nucleon decay and di-nucleon decay processes. No signal is found for a sensitivity of 2.0 kiloton year. The lower limits on the partial lifetime for the various nucleon decay modes range from  $5.4 \times 10^{30}$  yr for  $p \rightarrow \mu^- \pi^+ K^+$  to  $1.0 \times 10^{32}$  yr for  $pn \rightarrow e^+ n$ . We also quote limits on neutron and di-neutron decay into three and two neutrinos respectively.

Table 2

Lower limits on the nucleon lifetime at 90% CL for  $(B-L)$ -violating nucleon decay and nucleon decay via virtual meson exchange. For each decay mode the detection efficiency  $\epsilon$ , the expected neutrino induced background  $B$ , the number of observed candidates  $N_C$ , the upper limit on the contribution of a possible signal at 90% CL  $S_{90}$  and the lower limits on the ratio of the nucleon lifetime over the unknown branching ratio into the considered decay mode without  $(\tau_N^{\text{th}}/\text{BR})$  and with  $(\tau_N^{\text{th}}/\text{BR})$  background subtraction is given. If no background events are selected from the simulated neutrino sample (with a sensitivity of 12.8 kty) a value of  $B < 0.1$  is given corresponding to an upper limit of 66% CL on this background.

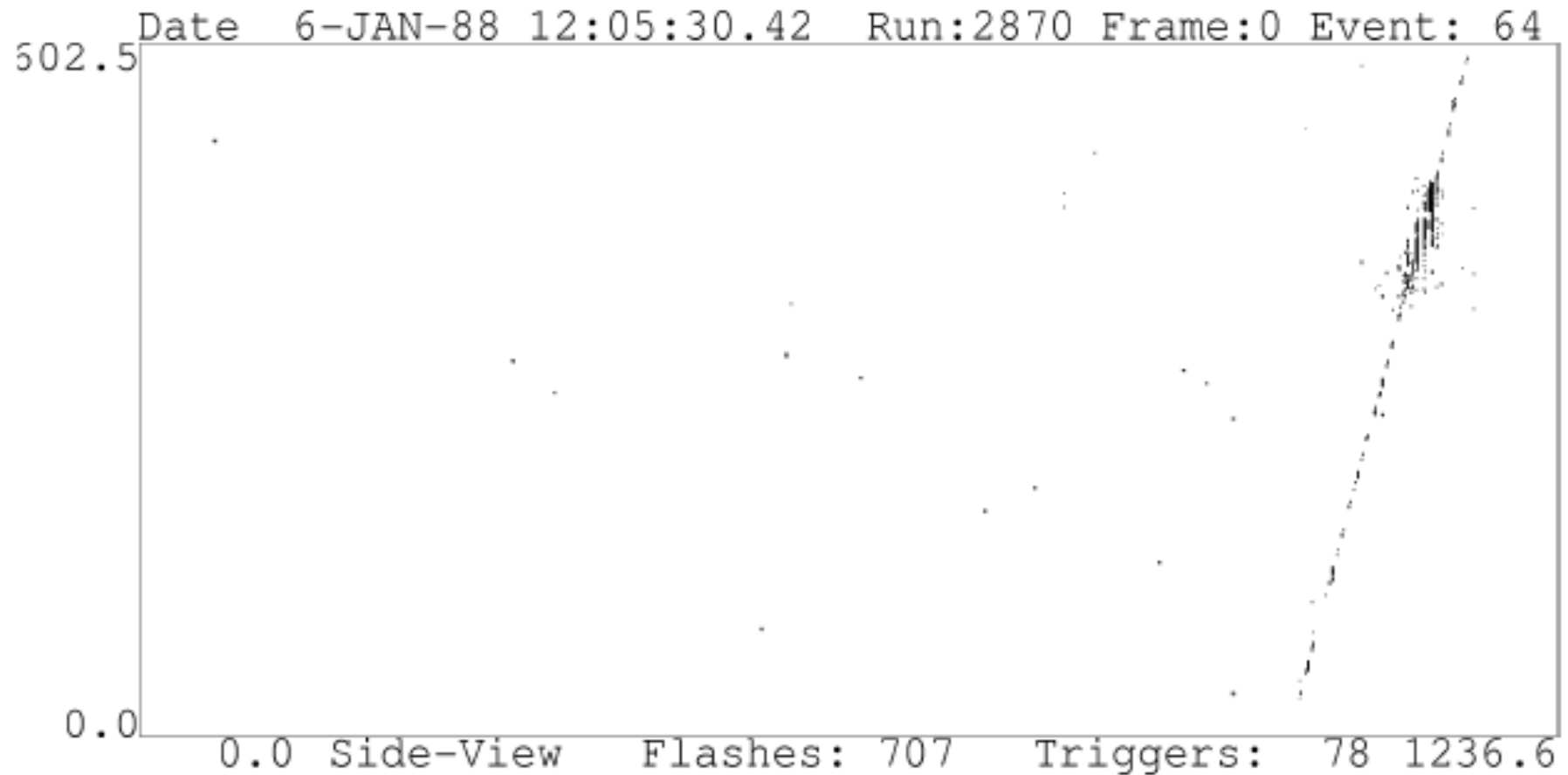
$\Delta(B-L)$	$\Delta B=1$	$\epsilon$ (%)	$B$	$N_C$	$S_{90}$	$\tau_N^{\text{th}}/\text{BR}$ ( $10^{31}$ yr)	$\tau_N^{\text{th}}/\text{BR}$ ( $10^{31}$ yr)
2	$n \rightarrow \nu \nu$	32.3	6.86	10	8.76	1.4	2.4
	$n \rightarrow e^- e^+ \nu$	26.5	<0.10	0	2.30	7.4	7.4
	$n \rightarrow e^- \mu^+ \nu$	17.0	<0.10	0	2.30	4.7	4.7
	$n \rightarrow \mu^- \mu^+ \nu$	15.1	1.40	0	2.30	4.2	4.2
	$p \rightarrow e^+ \nu \nu$	21.1	6.08	11	10.57	0.7	1.1
	$p \rightarrow \mu^+ \nu \nu$	16.2	11.23	7	4.34	0.8	2.1
	$n \rightarrow e^- \pi^+$	19.8	1.09	0	2.30	5.5	5.5
	$n \rightarrow \mu^- \pi^+$	11.7	1.40	0	2.30	3.3	3.3
	$n \rightarrow e^- K^+$	21.7	2.96	3	4.38	2.1	3.2
	$n \rightarrow \mu^- K^+$	20.4	2.18	0	2.30	5.7	5.7
	$p \rightarrow e^- \pi^+ \pi^+$	15.9	2.50	1	2.91	2.3	3.0
	$p \rightarrow \mu^- \pi^+ \pi^+$	9.4	1.72	1	3.06	1.4	1.7
	$n \rightarrow e^- \pi^+ \pi^0$	15.0	0.78	1	3.36	2.5	2.9
	$n \rightarrow \mu^- \pi^+ \pi^0$	12.1	0.78	0	2.30	3.4	3.4
	$p \rightarrow e^- \pi^+ K^+$	16.5	2.50	3	4.62	1.4	2.0
	$p \rightarrow \mu^- \pi^+ K^+$	4.5	0.78	2	4.61	0.5	0.5
	0	$pn \rightarrow e^+ n$	42.3	6.40	5	4.56	5.0
$pn \rightarrow \mu^+ n$		52.0	5.93	7	6.42	5.0	8.9
$pp \rightarrow e^+ p$		33.7	0.16	0	2.30	8.2	8.2
$pp \rightarrow \mu^+ p$		43.4	2.96	2	3.53	4.5	6.8
$pp \rightarrow e^+ \Delta^+$		23.1	1.40	1	3.14	3.3	4.1
$pp \rightarrow \mu^+ \Delta^+$		21.5	4.84	2	3.15	2.3	3.8
$pn \rightarrow e^+ \Delta^0$		16.5	1.25	1	3.19	4.8	5.7
$pn \rightarrow \mu^+ \Delta^0$		19.1	6.86	2	2.93	4.0	7.1
$nn \rightarrow e^+ \Delta^-$		14.2	2.96	2	3.53	1.7	2.6
$nn \rightarrow \mu^+ \Delta^-$		20.4	6.71	4	3.86	1.7	3.4

## Consequence

Minimal SU(5) and minimal SUSY SU(5) excluded.

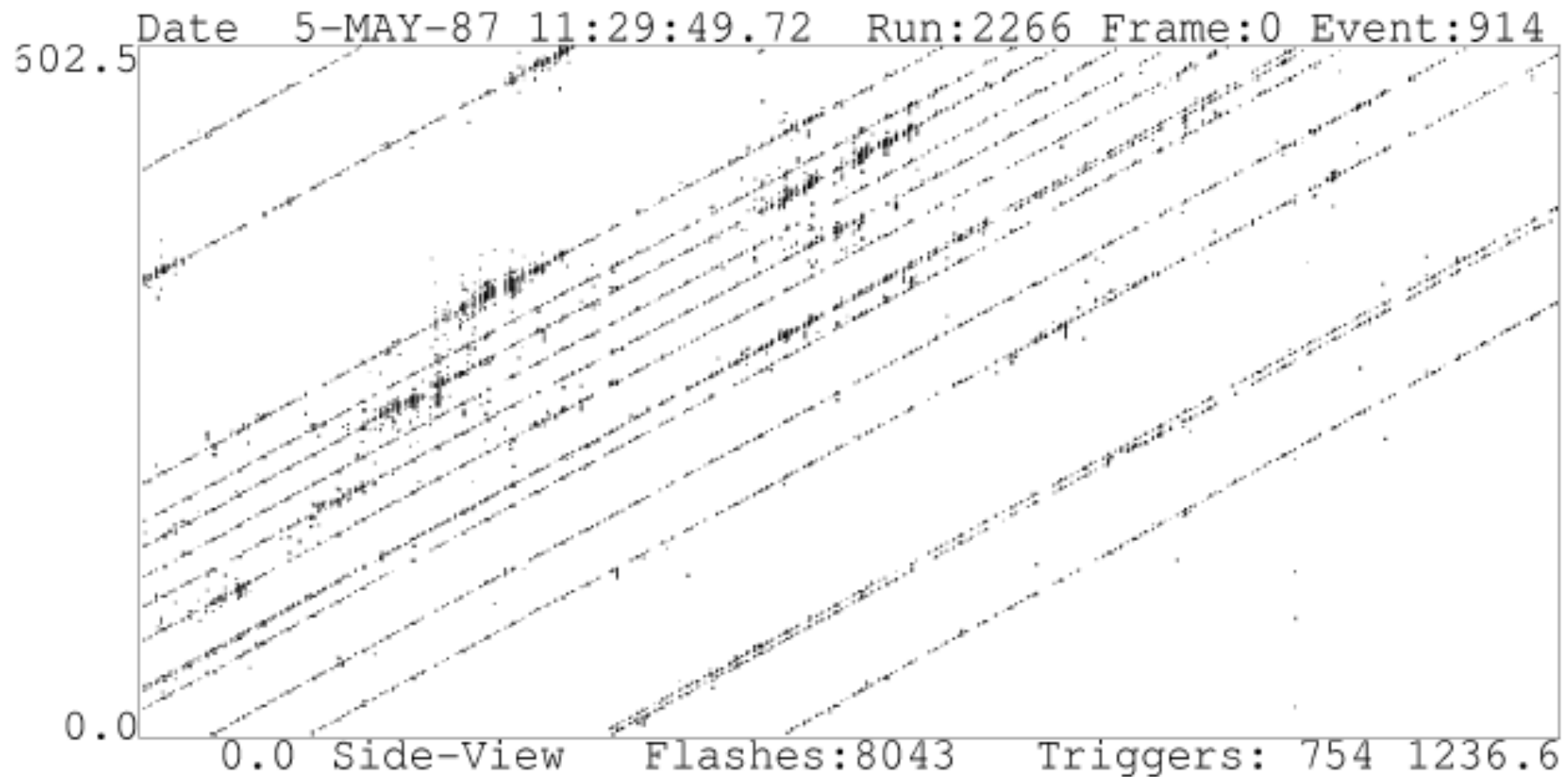
What about an Underground Analysis ?

## Observed Events: Throughgoing Muons

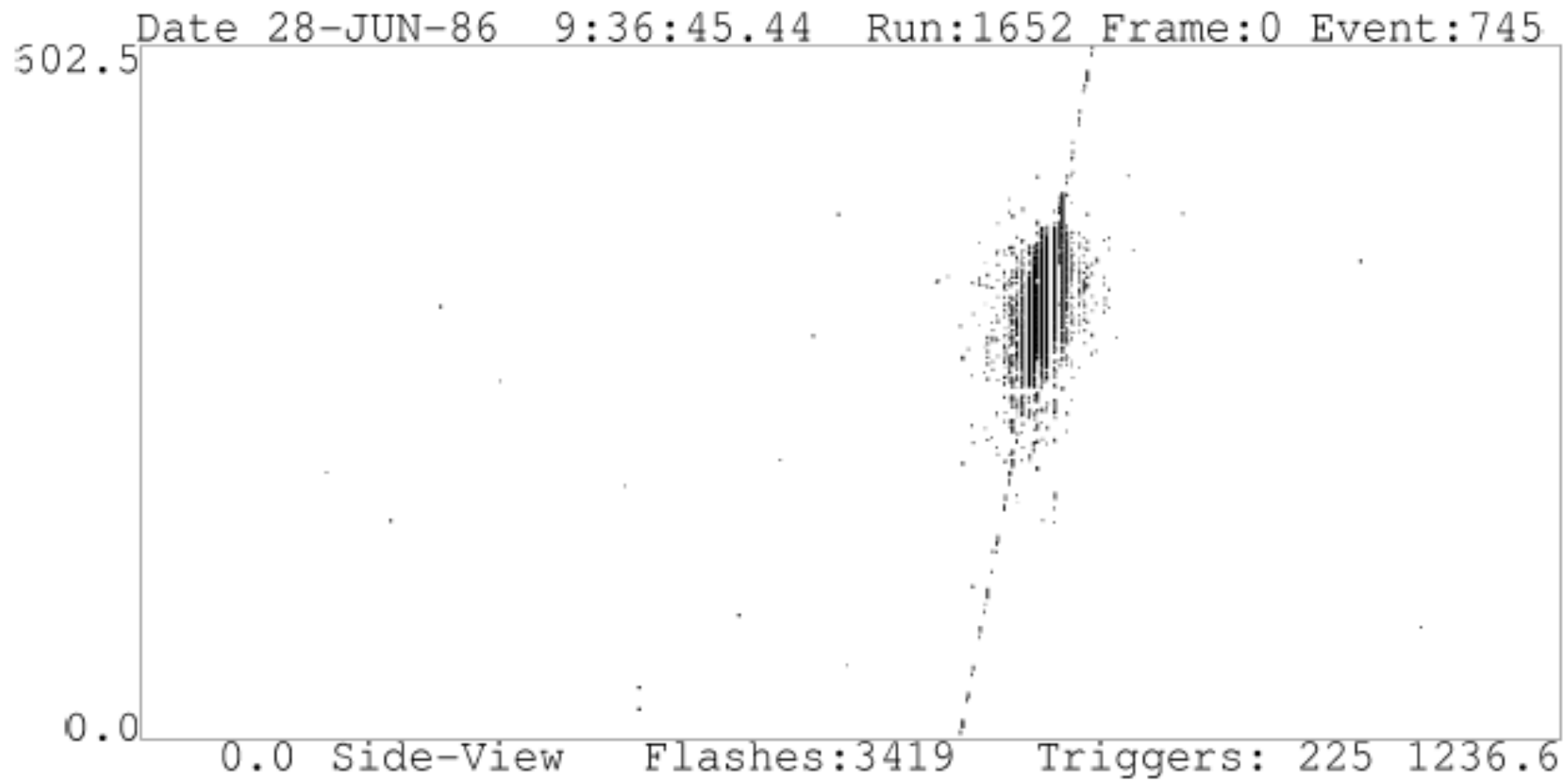




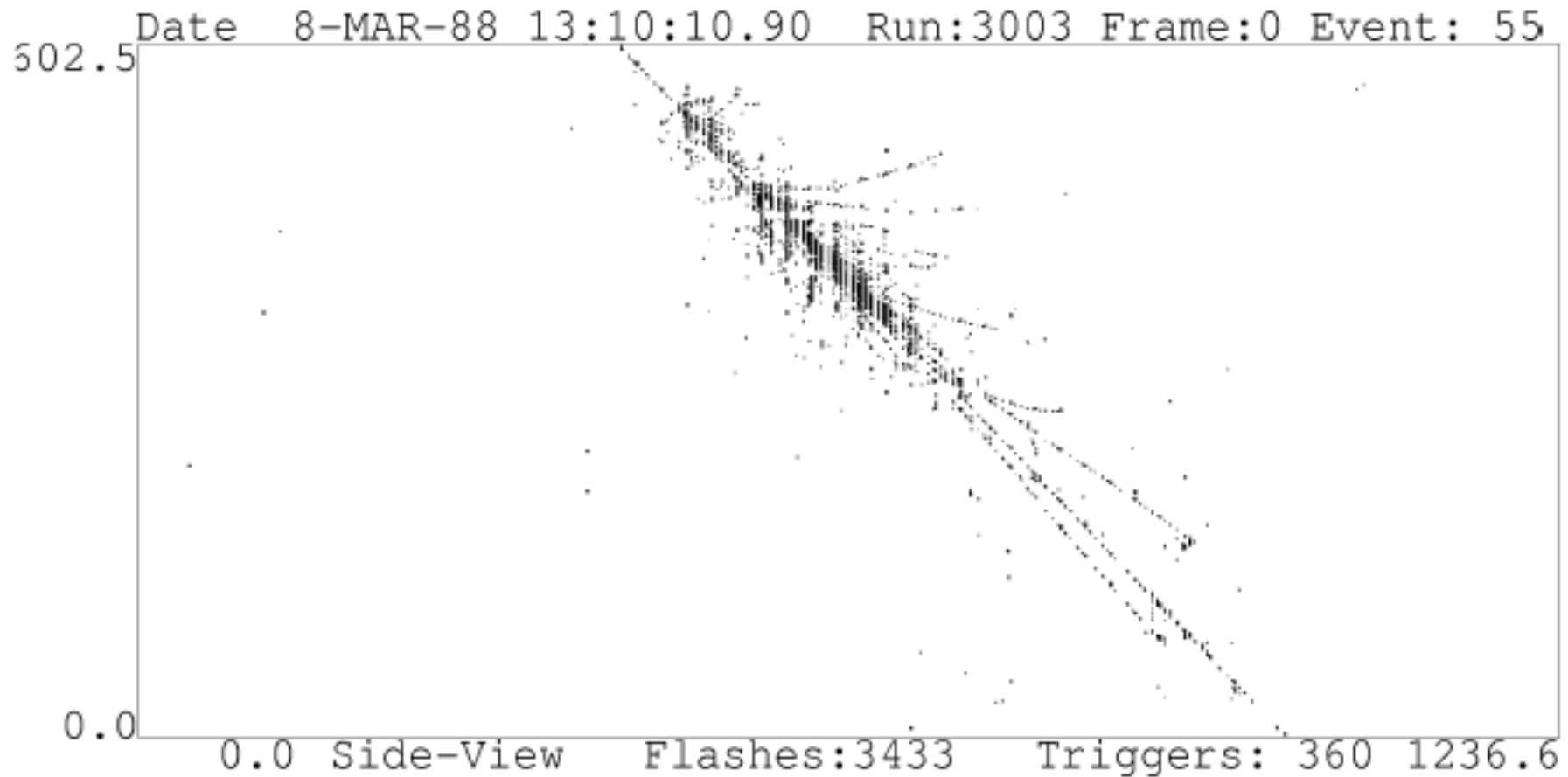
## Observed Events: Multiple Muons



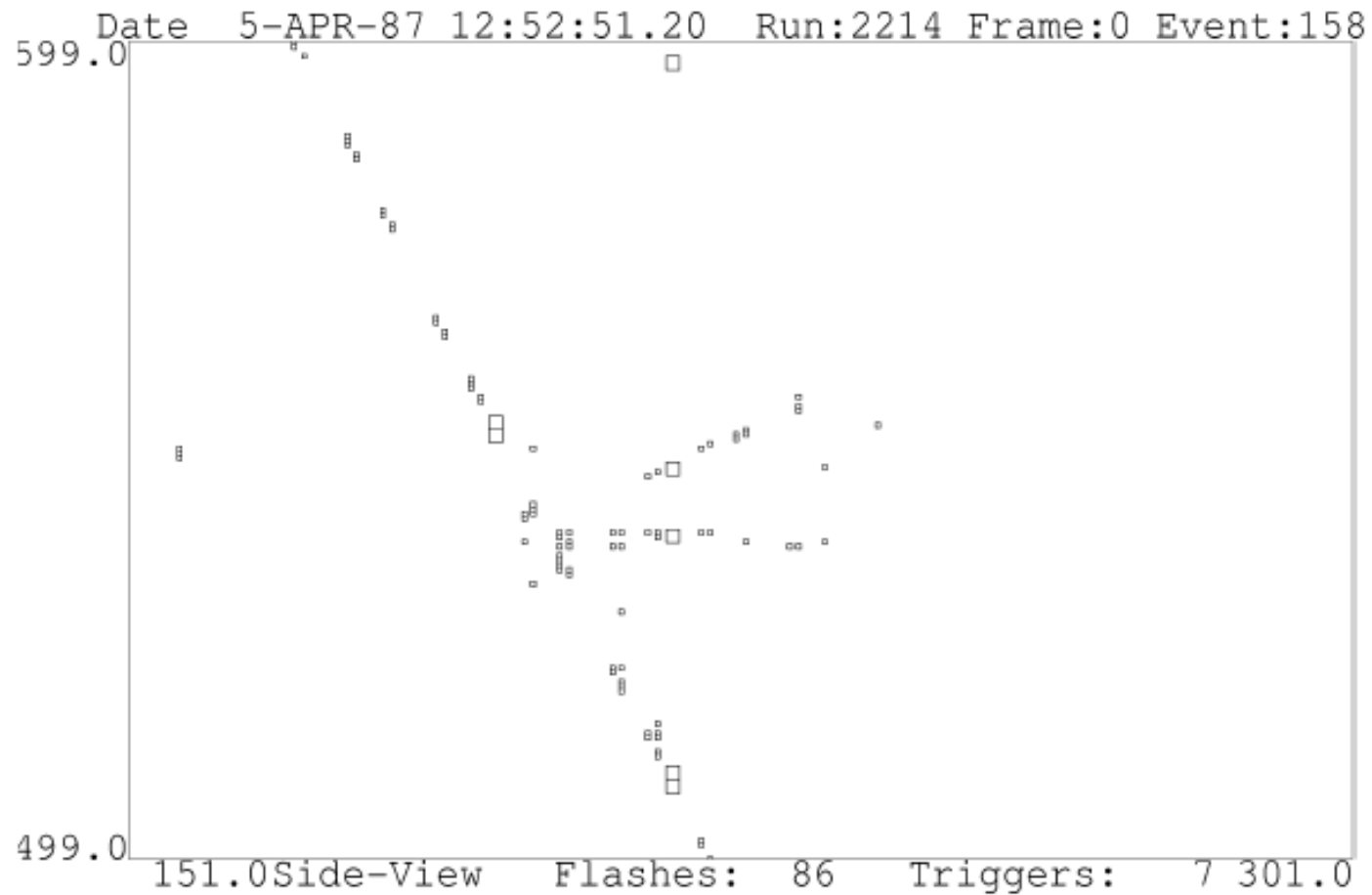
## Observed Events: Throughgoing Muons + Brems



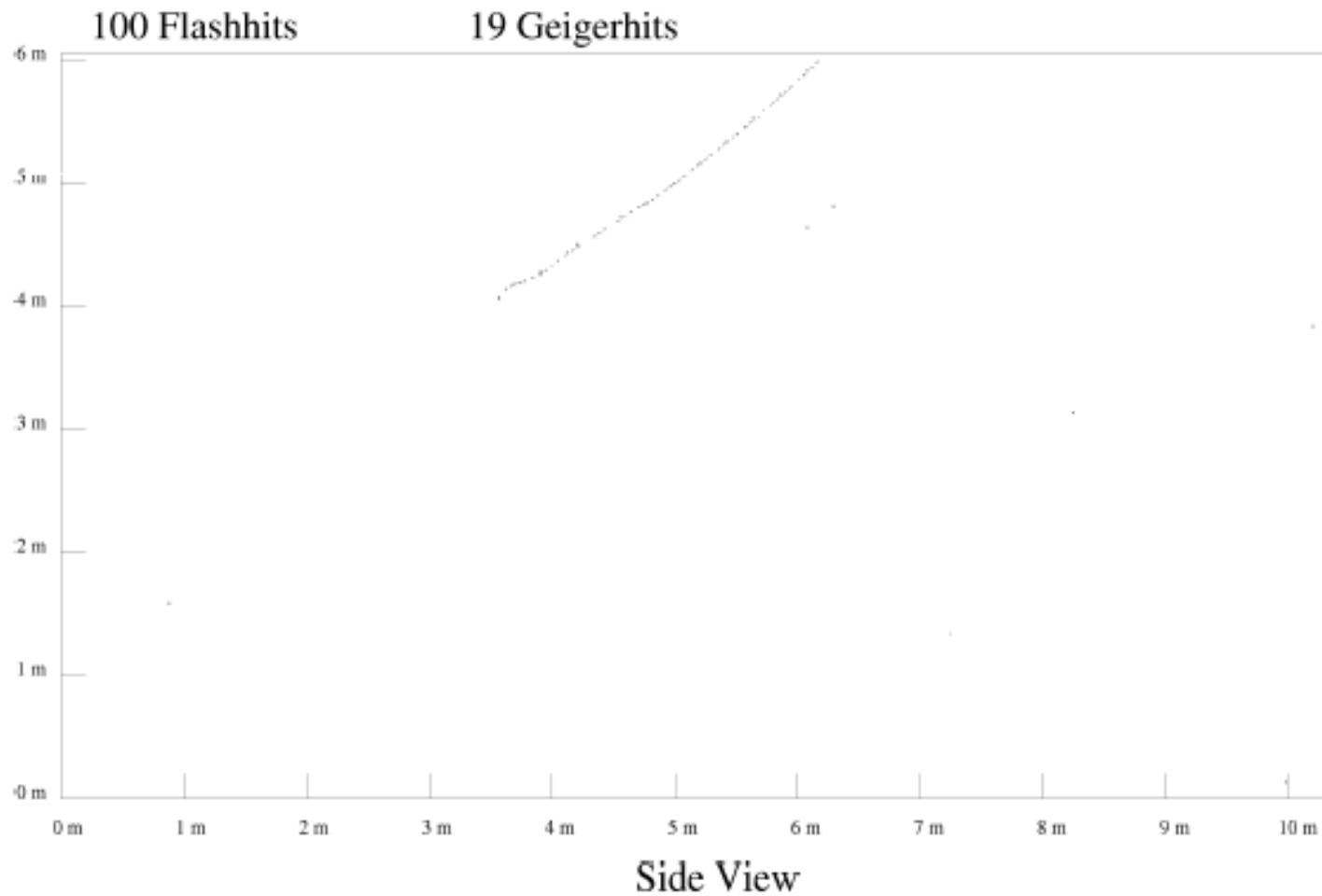
## Observed Events: Throughgoing Muons + Hadronic Interaction



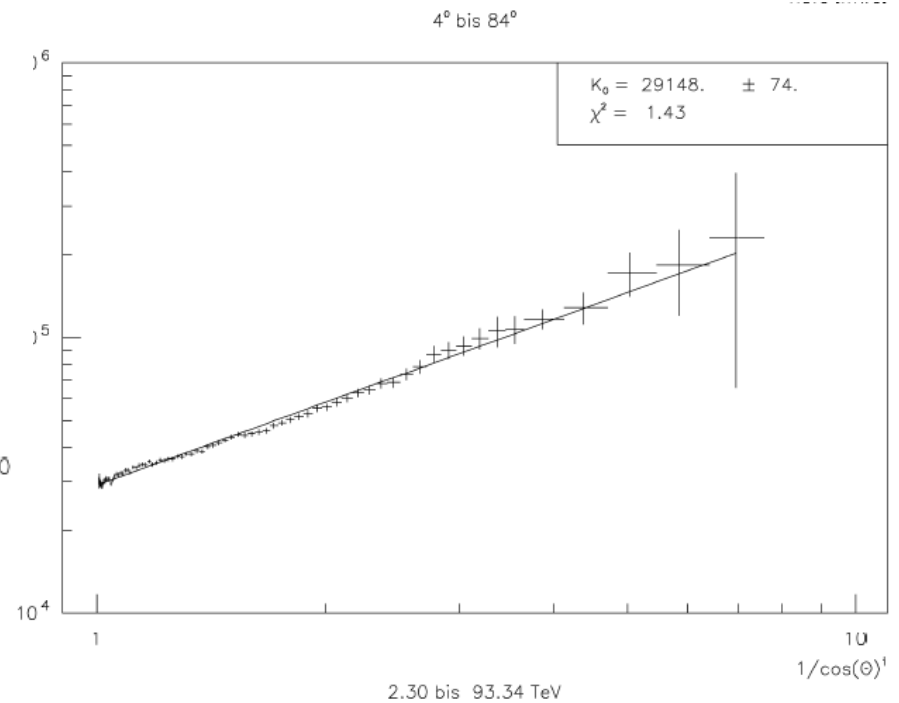
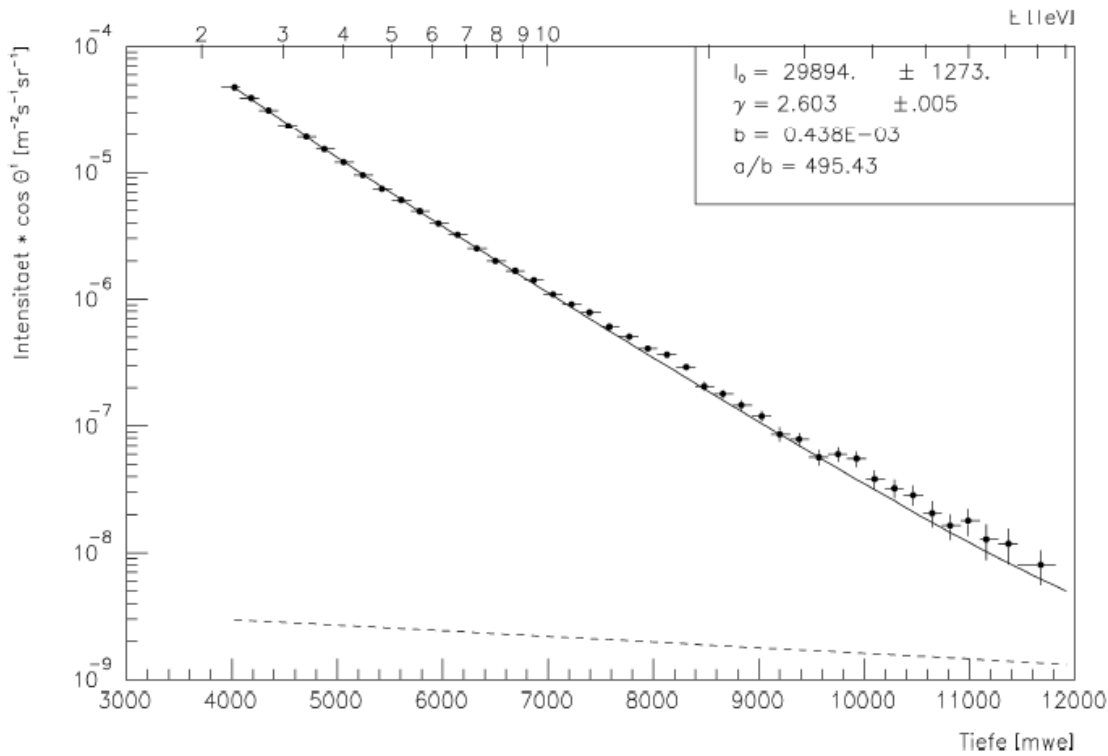
## Observed Events: Throughgoing Muons + $\pi^0$ - Decay



## Observed Events: Stopping Muon

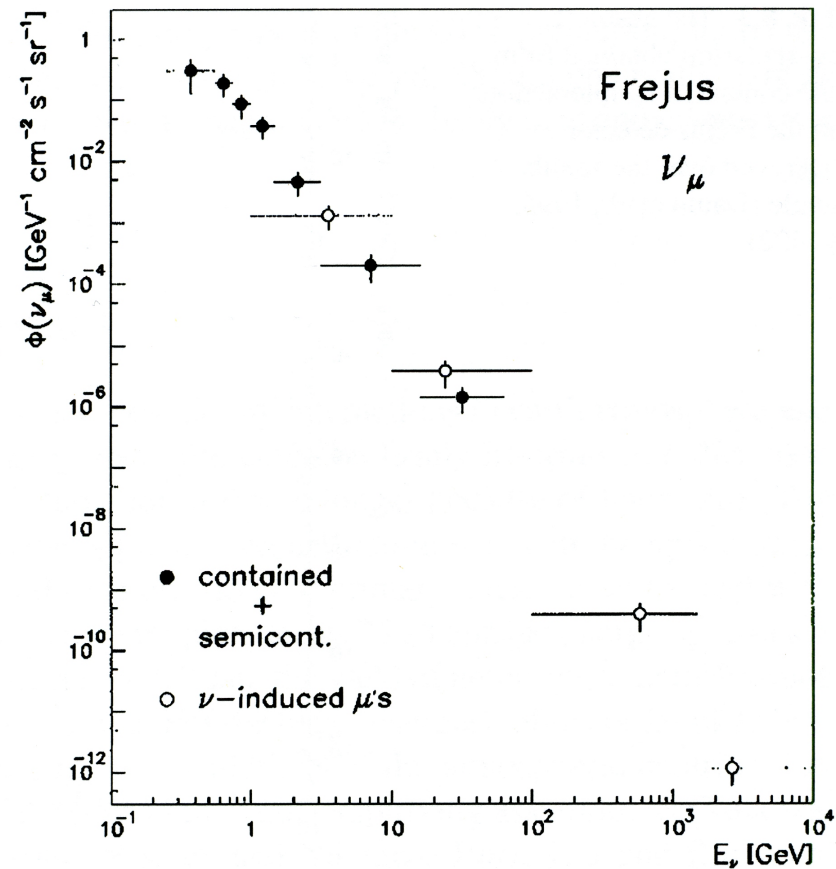
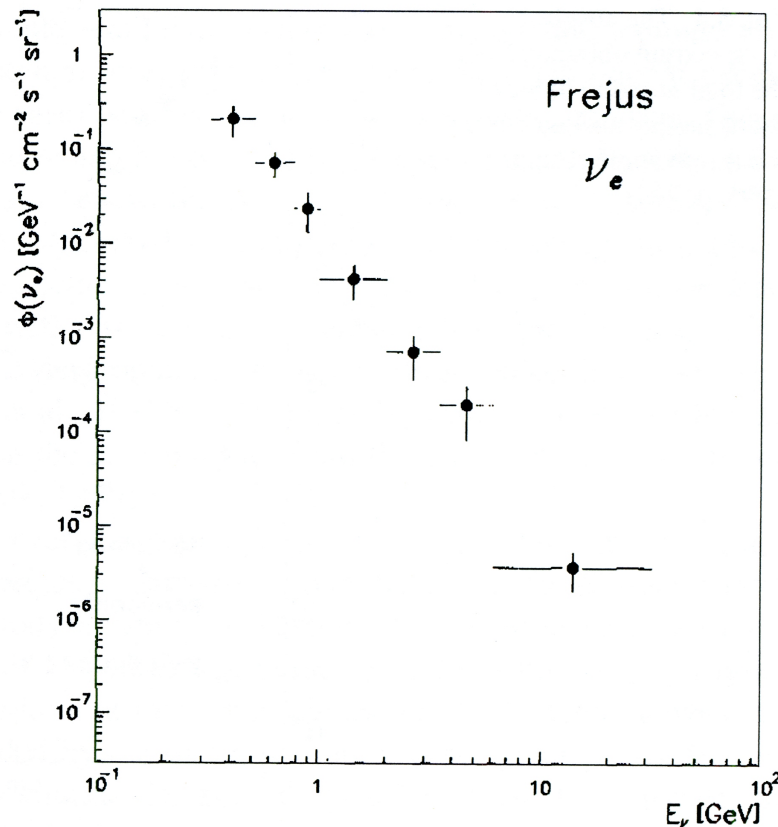


# Results: Depths, energy, and angular dependence of the atm. muon flux



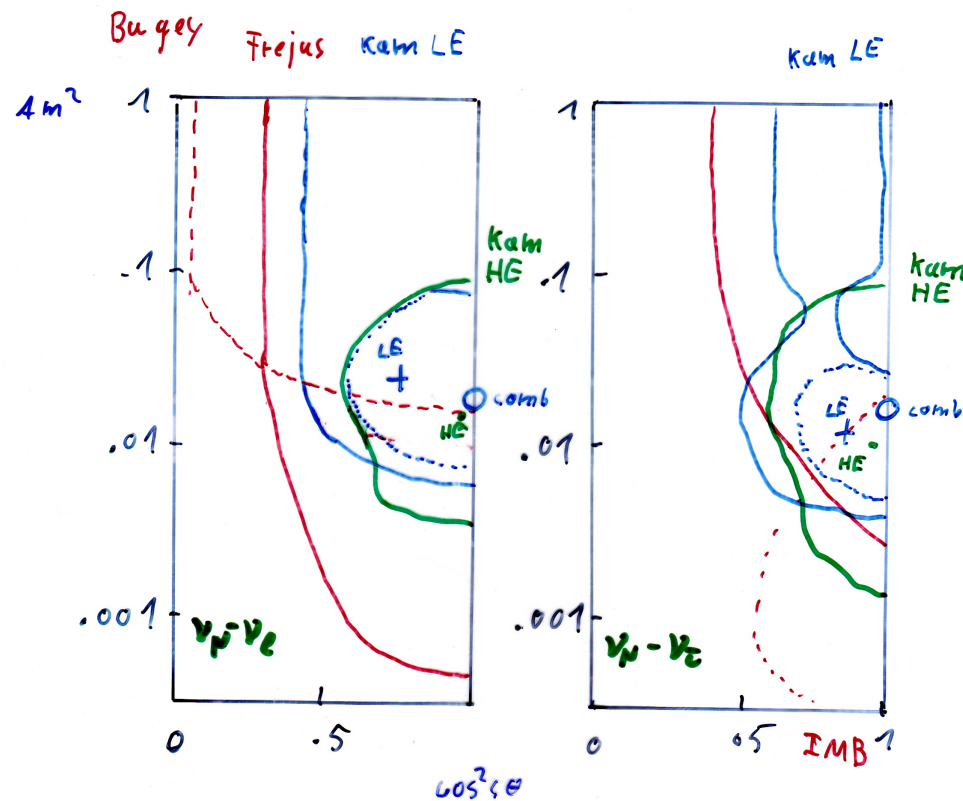
- Prompt ?
- Cross Section?
- Angular Effect?
- Monte Carlo ?

## Energy spectra of atmospheric electron and muon neutrinos.



$$P(\nu_i \rightarrow \nu_j) = \sin^2 2\Theta \cdot (1.27 \cdot \Delta m^2 \cdot L/P_\nu)$$

# Neutrino Oscillations ?



## Consequence

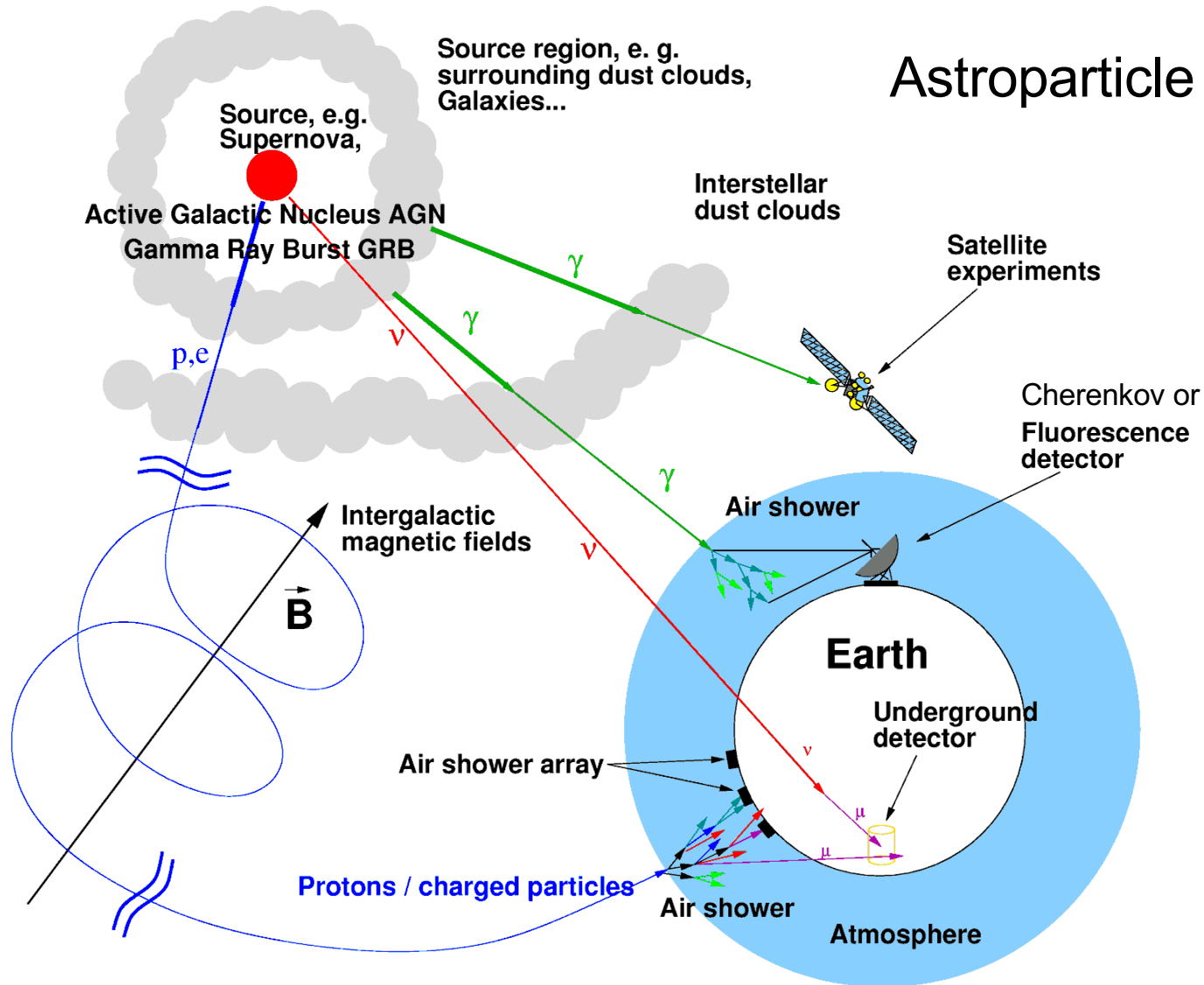
(after Super Kamiokande & SNO)

Non-zero neutrino masses.

Extension of the Standard Model

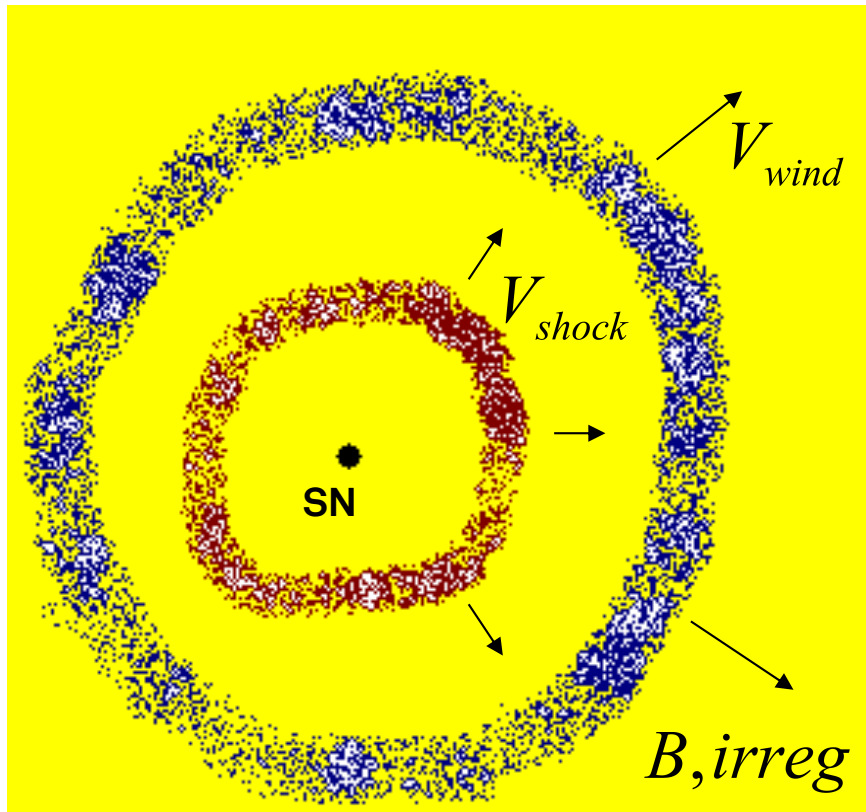


# Astroparticle Physics



Fermi-Acceleration (Typ II)

$$E_1 = E_0 + \varepsilon \cdot E_0 = E_0 \cdot (1 + \varepsilon)$$



$$E_n = E_0 (1 + \varepsilon)^n$$

$$n = \frac{\ln(E / E_0)}{\ln(1 + \varepsilon)}$$

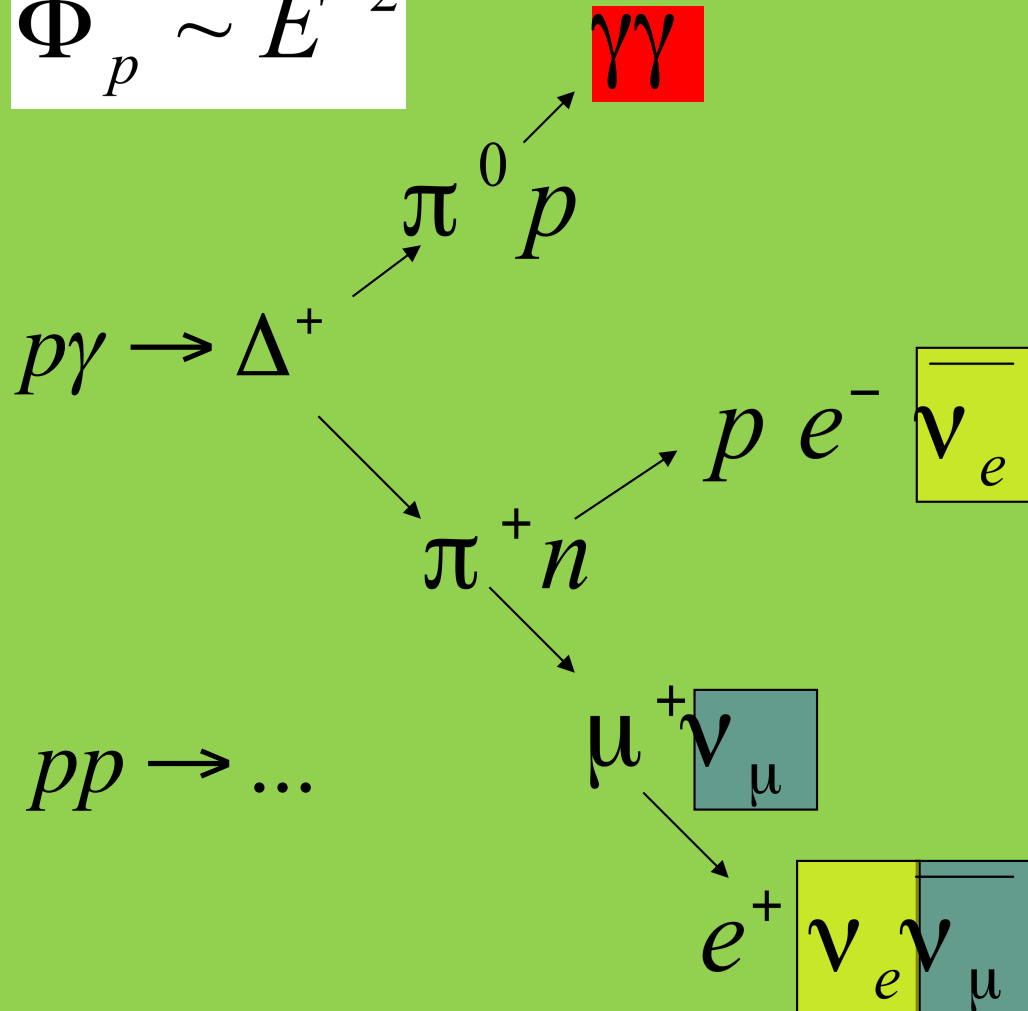
$$E \leq E_0 (1 + \varepsilon)^{t / T_{cycle}}$$

$$\frac{\text{Energy}}{\text{time} \times \text{vol}} \approx \frac{N_{obj}}{\text{vol}} \times E_{obj} \times \frac{\text{effi}}{T_{life}}$$

$$\gamma \cong \frac{1}{\varepsilon} \times \frac{T_{cycle}}{T_{esc}} \quad \text{or CS}$$

## Particle Physics

$$\Phi_p \sim E^{-2}$$



$$E_\nu = \frac{4}{3} E_\gamma$$

$$\frac{\Phi_\gamma}{\Phi_{\nu_\mu}} = \frac{2}{1}$$

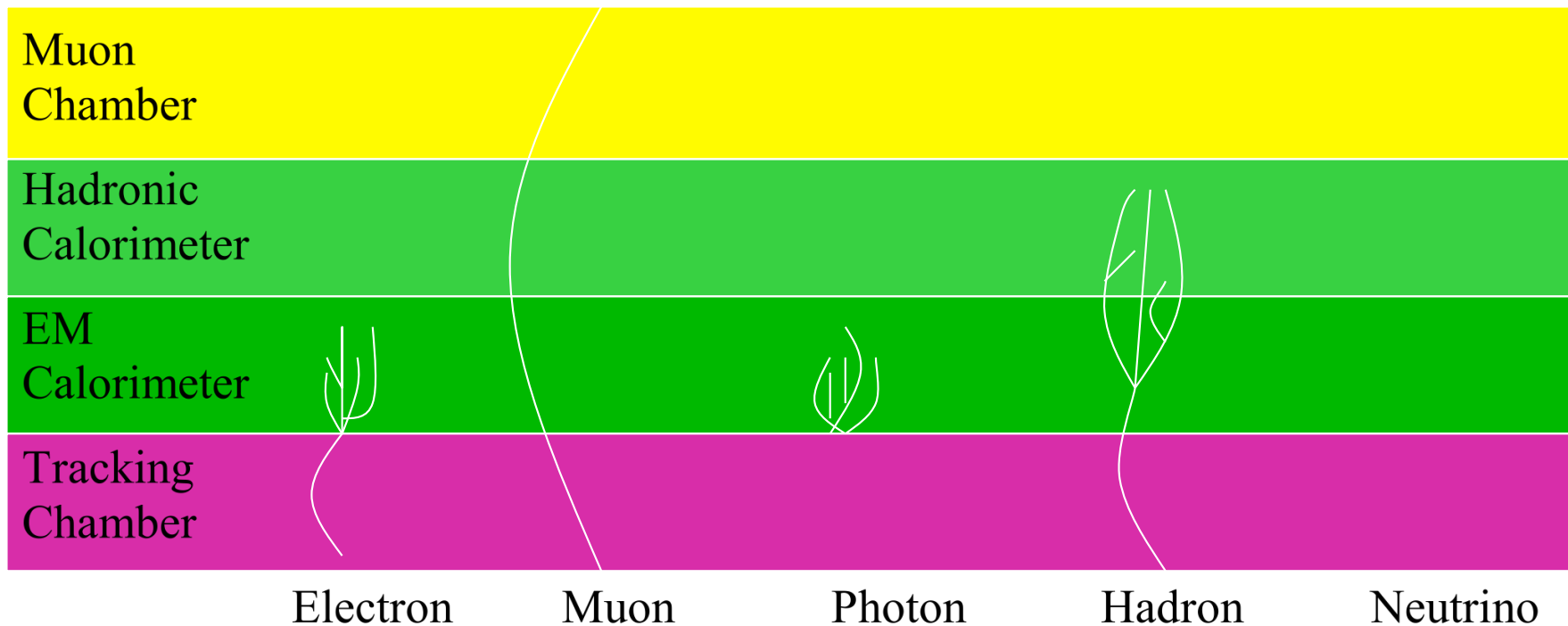
$$\frac{\Phi_{\nu_\mu}}{\Phi_{\bar{\nu}_\mu}} = \frac{1}{1}$$

$$\frac{\Phi_{\nu_\mu}}{\Phi_{\nu_e}} = \frac{1}{1}$$

## Look at the Sky !

- With Gammas : ... → HEGRA → H.E.S.S., MAGIC → FACT → CTA
- With Nuclei : ... → KASCADE, HEGRA → AUGER
- With Neutrinos: ... → AMANDA, ANTARES → IceCube, Km3NET →
- With Radio: ... → LOFAR →
- ... all those wonderful preceding talks !

## The whole sky?



All subdetectors located at different places of the Earth ! ☹️  
Multi-Messenger Astronomy is needed but only sometimes possible?

# Cherenkov Telescope Ring

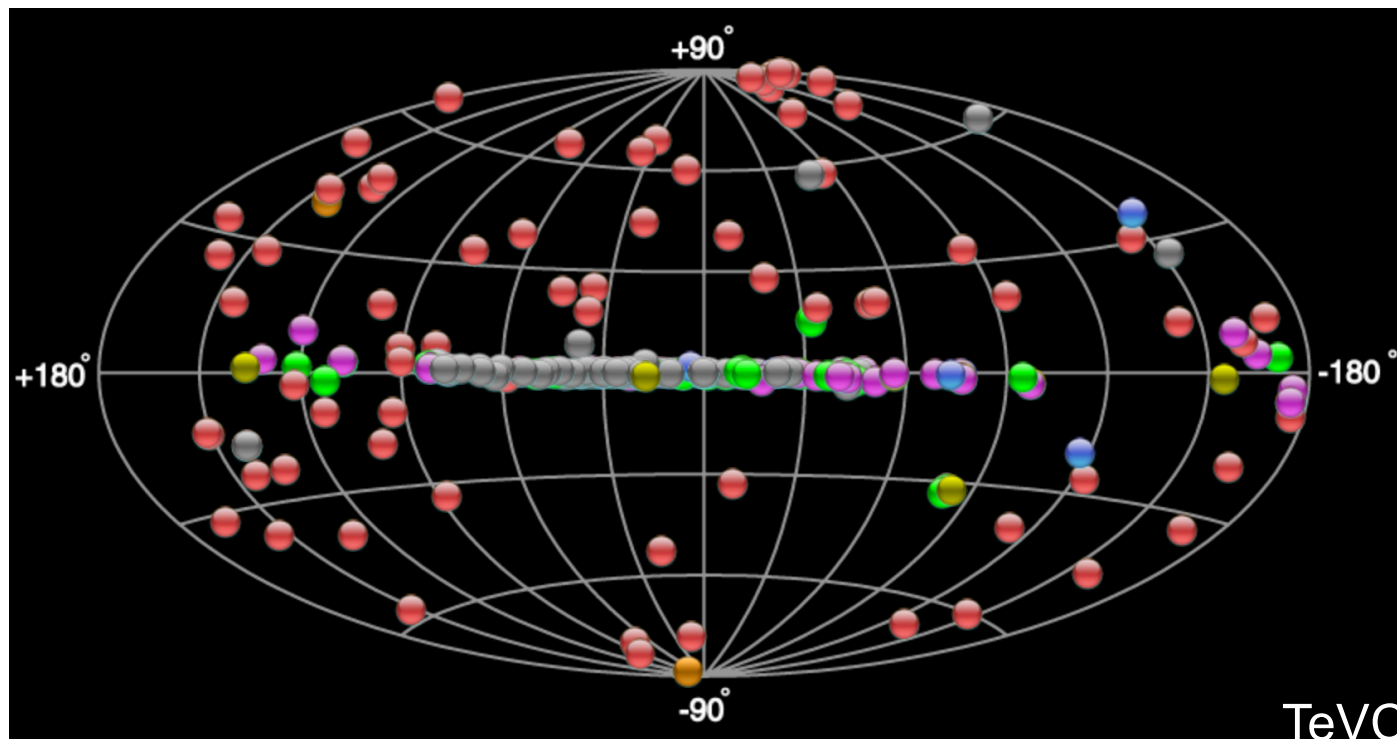
An Idea for World-Wide Monitoring of the VHE Sky

Dominik Elsässer , Wolfgang Rhode, Tim Ruhe,  
M. Nöthe, K. Brügge  
TU Dortmund

## Where we are :

- Several highly successful VHE facilities (VERITAS, H.E.S.S., MAGIC, FACT, HAWC)
- CTA prototypes progressing well
- Lots of interesting sources to study and physics problems to solve

## The VHE Sky in 2018: A VHE success story

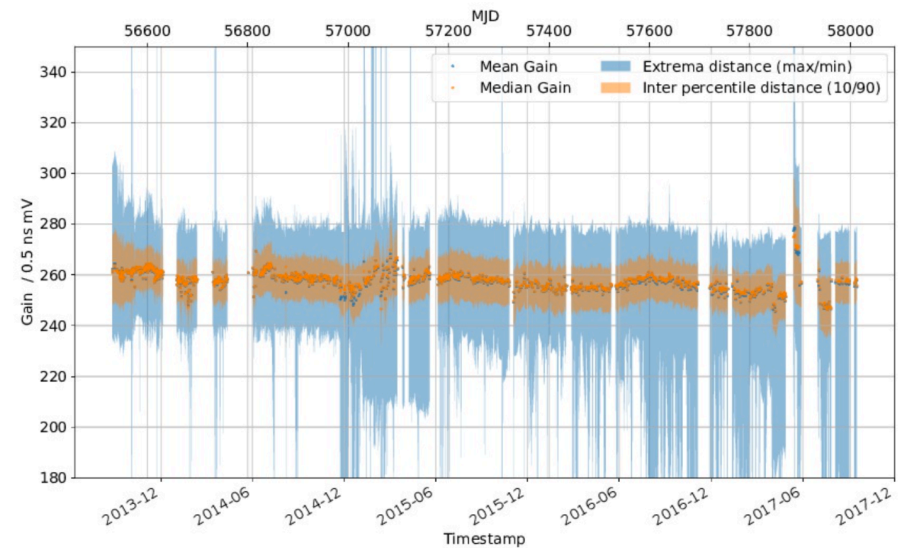




## Why is there even a need to act now?

- First full CTA science operations horizon still beyond typical university education timescales. Need to conserve expertise and provide continuity in education!
- Lingering hard physics questions still unanswered: Acceleration mechanisms, CR luminosity
- Multi – messenger astronomy picking up tremendous speed: Gravitational waves & IceCube neutrinos
- Strong motivation for near-instantaneous observation capability

# FACT: A highly successful technology & methods pioneer

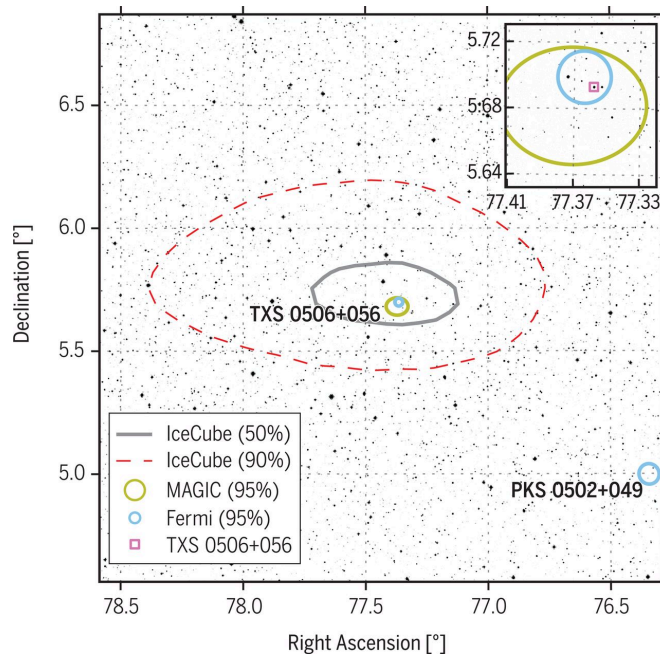


FACT/ J. Buss

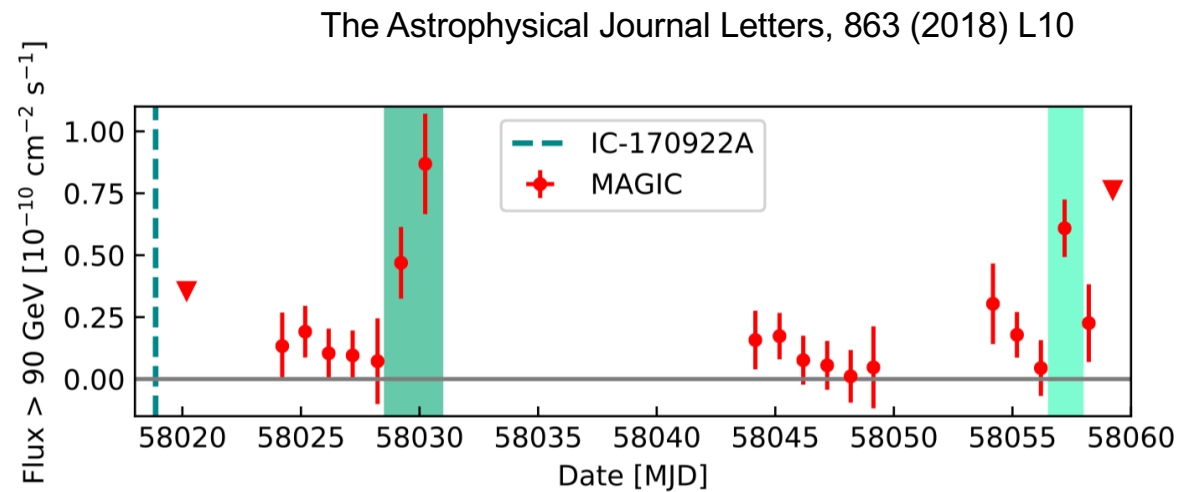
## FACT achievements towards future instruments

- Suitability of SiPM – cameras for dense monitoring of sources even under difficult conditions
- Demonstrated reliability, robotic operations!
- Public data set: <https://fact-project.org/data/>
- High – performance public analysis software developed: <https://github.com/fact-project/fact-tools>

# TXS 0506: Harbinger of the neutrino point – source era



Science 13 Jul 2018:  
Vol. 361, Issue 6398



What can we do to preserve & greatly expand VHE monitoring  
& follow – up capabilities worldwide?

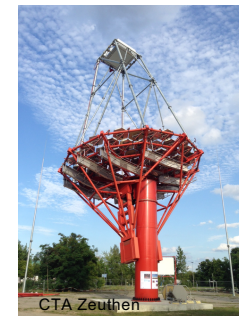
## Proposal

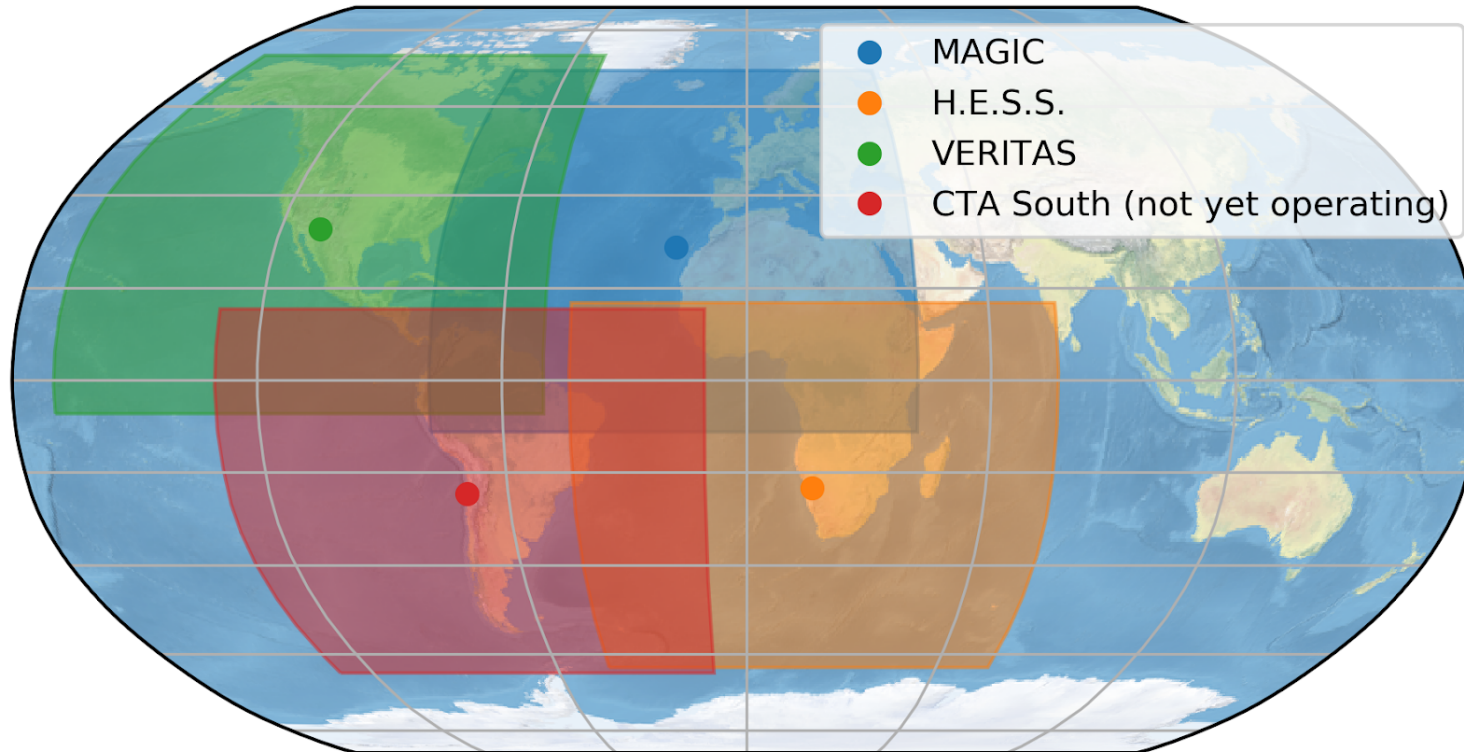
Three pillars:

- A) Preserve, maintain and upgrade existing facilities well into the CTA era
- B) Build upon the expertise gained from prototypes & precursor experiments (FACT, but also the CTA prototypes)
- C) Form group of international partners to complete a ring of IACT facilities covering a wide range of longitudes and latitudes:

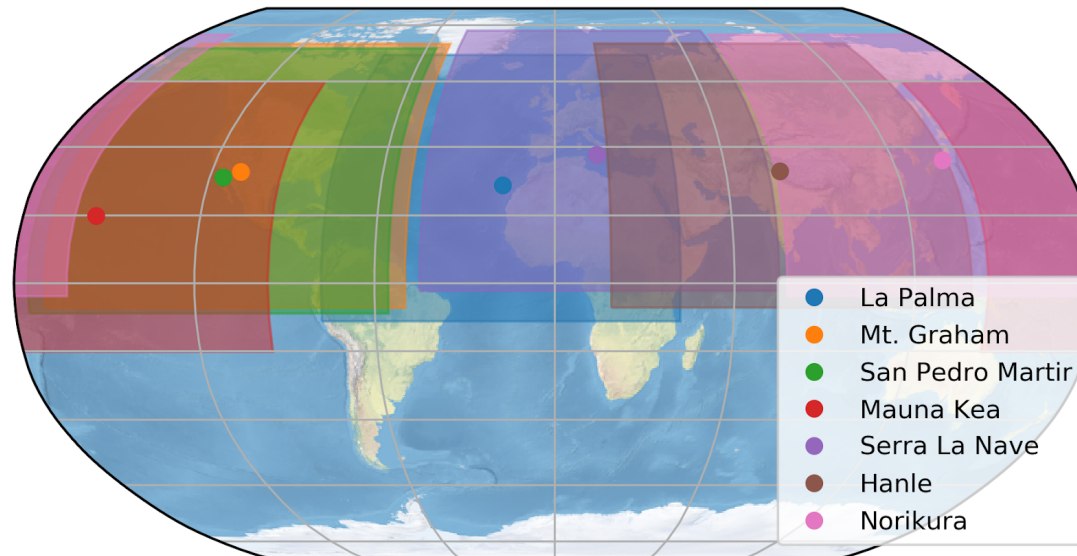
Cherenkov Telescope Ring

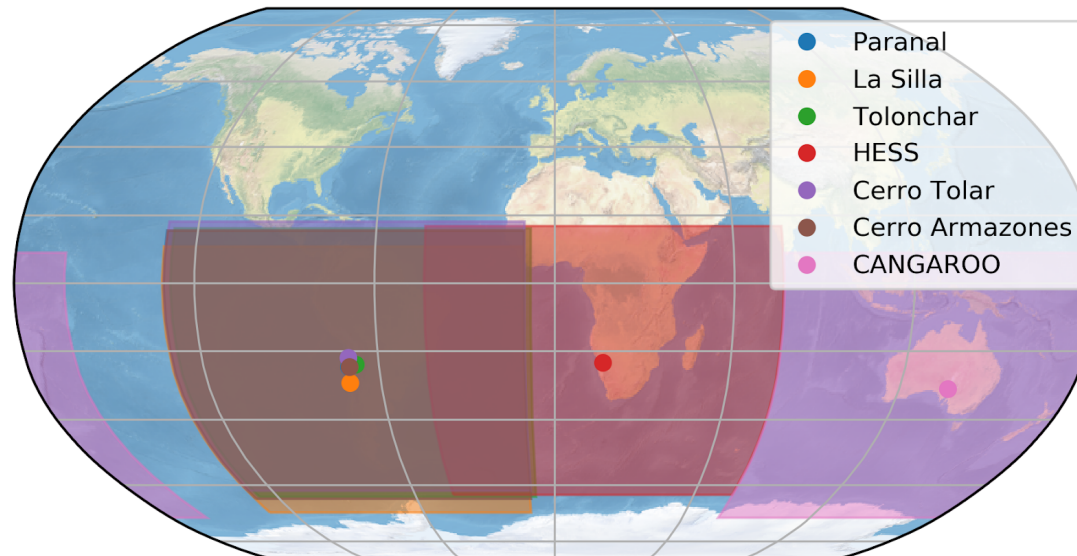
- Achieve few percent Crab flux sensitivity above  $\sim 150\text{GeV}$  per site
- This will open up the Universe out to  $z \sim 1$ , including amongst others the VHE - detected FSRQ population
- Baseline telescope can be “MST - like”, meaning  $\sim 90\text{sqm}$  mirror area, modular camera with state-of-the-art readout and SiPM sensors. Projected price tag per site 350k – 500k Euros

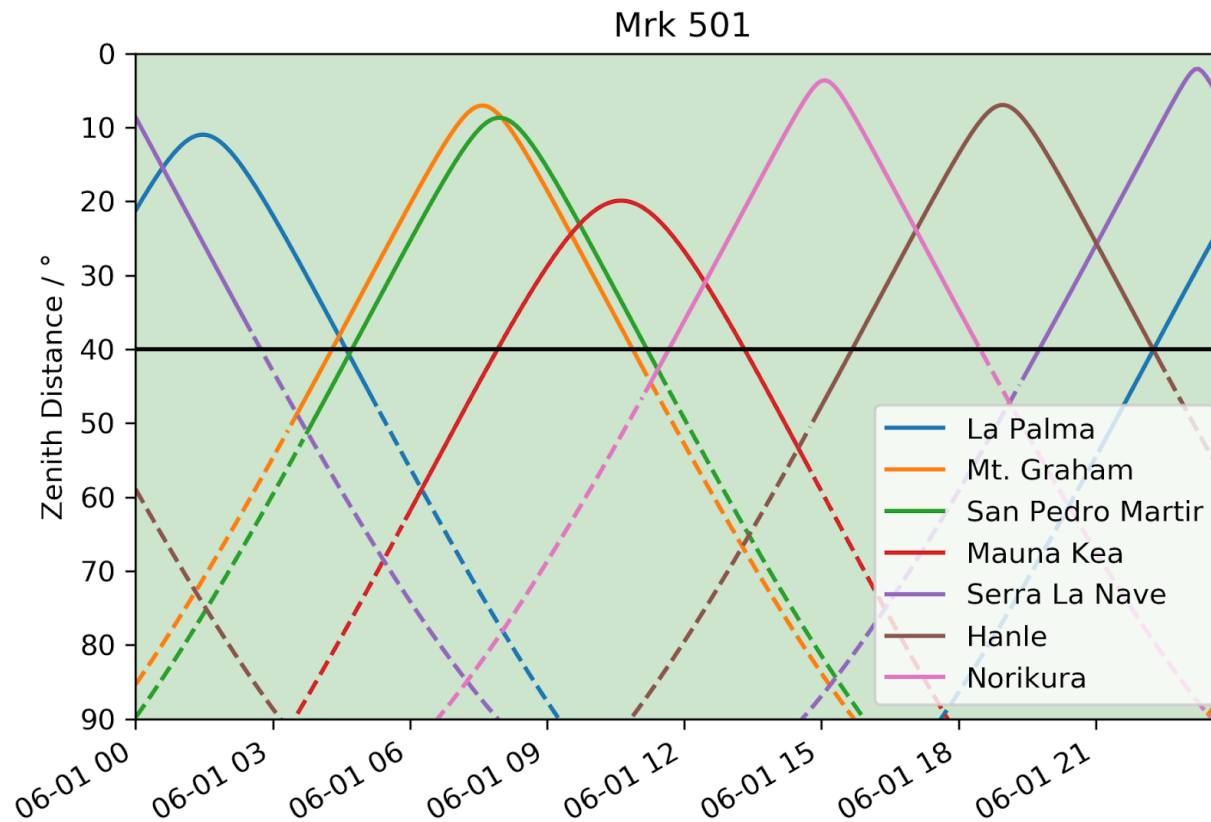












Key Point: CTR is not meant to compete with CTA, but to “bridge the gap” in a temporal sense, pertaining equally to construction timescales and observational coverage.

→ CTA will provide deep coverage and wide energy range, while CTR can complement time series

→ CTR can provide alerts to CTA

- Strong physics motivation for expanded world – wide monitoring capability
- Can be achieved with realistic efforts now by building upon existing facilities & expertise from pioneering instruments (CTA, FACT, et al.)
- Additional motivation: technological and educational continuity into the CTA era

## How do we understand the new data?

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# From Probability to Knowledge

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## Platos Cave



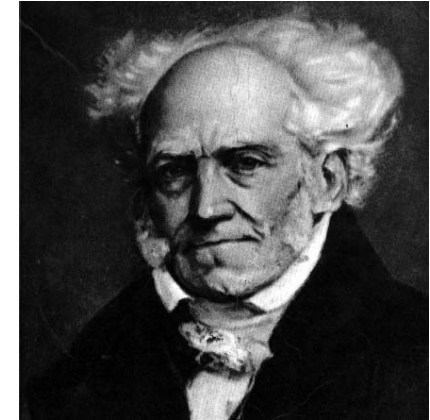
World explanation:

What requirements does one place on the explanation?

How does one get from the "effect" to the "cause"?



## Arthur Schopenhauer (1788 – 1860)



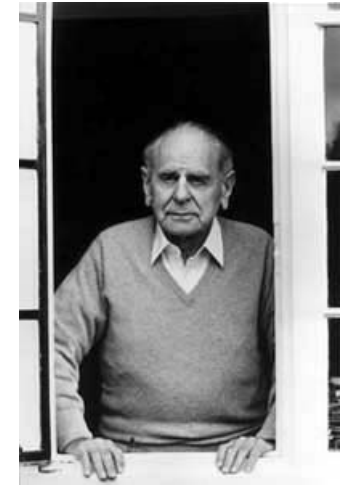
The Fourfold Root of the Principle of The Sufficient Reason:

- Logic
- Mathematics,
- Experiments  $\leftrightarrow$  uncertain knowledge
- Motivation / Teleological Action

## Interpretations of probability

- Ignorance of in principal determinable details leads to a simplified representation. (Statistical Mechanics)
- Ignorance of non-computable details leads to generalized representations. (Differential equations for the description of the system not solvable, smallest changes of initial conditions lead to different results, chaos theory).
- Mathematical probability and physical law coincide. There are no physical substructures under the probability description.

Karl Popper (1902-1994)



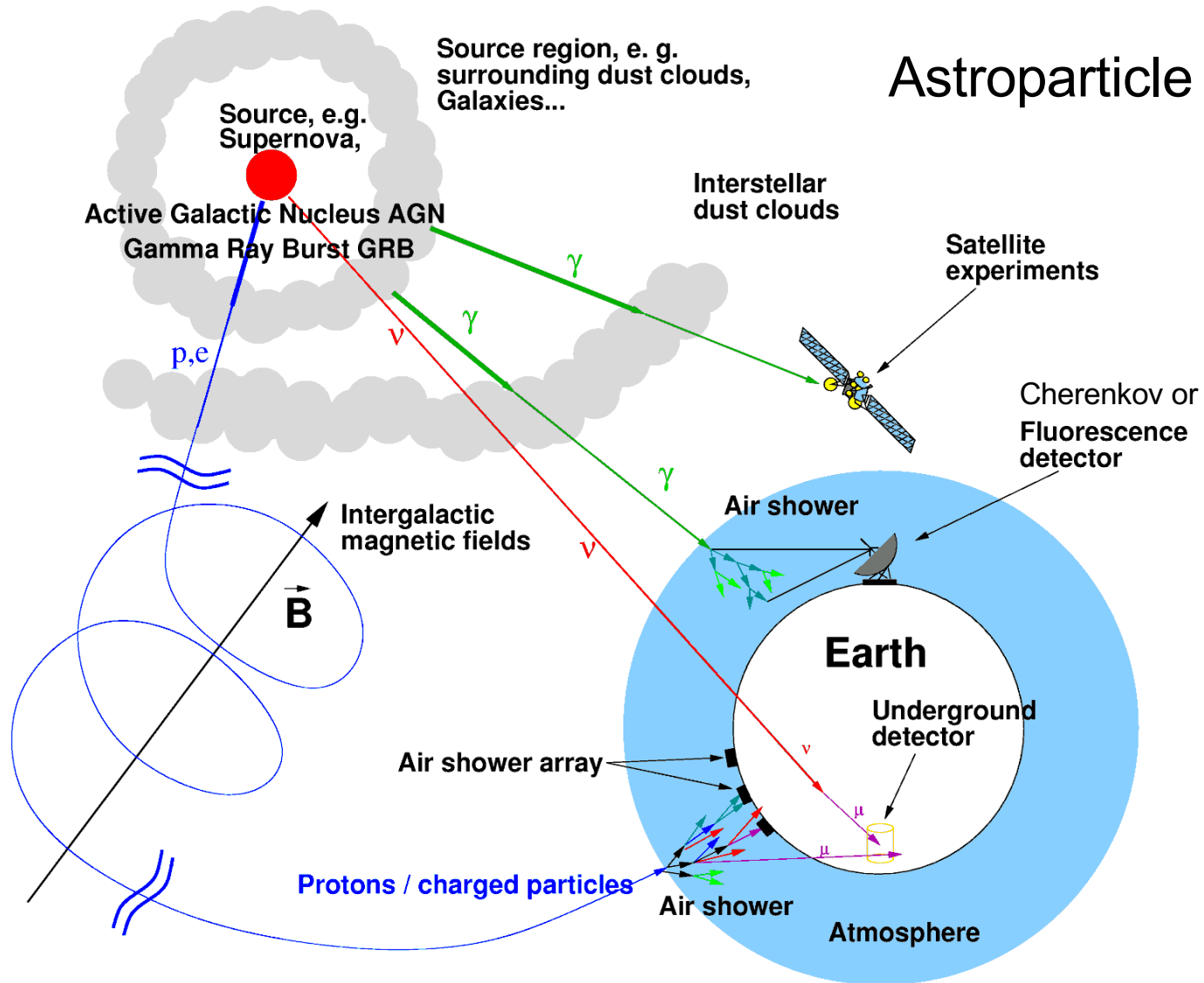
### ***The logic of scientific research***

Can theories be proven?

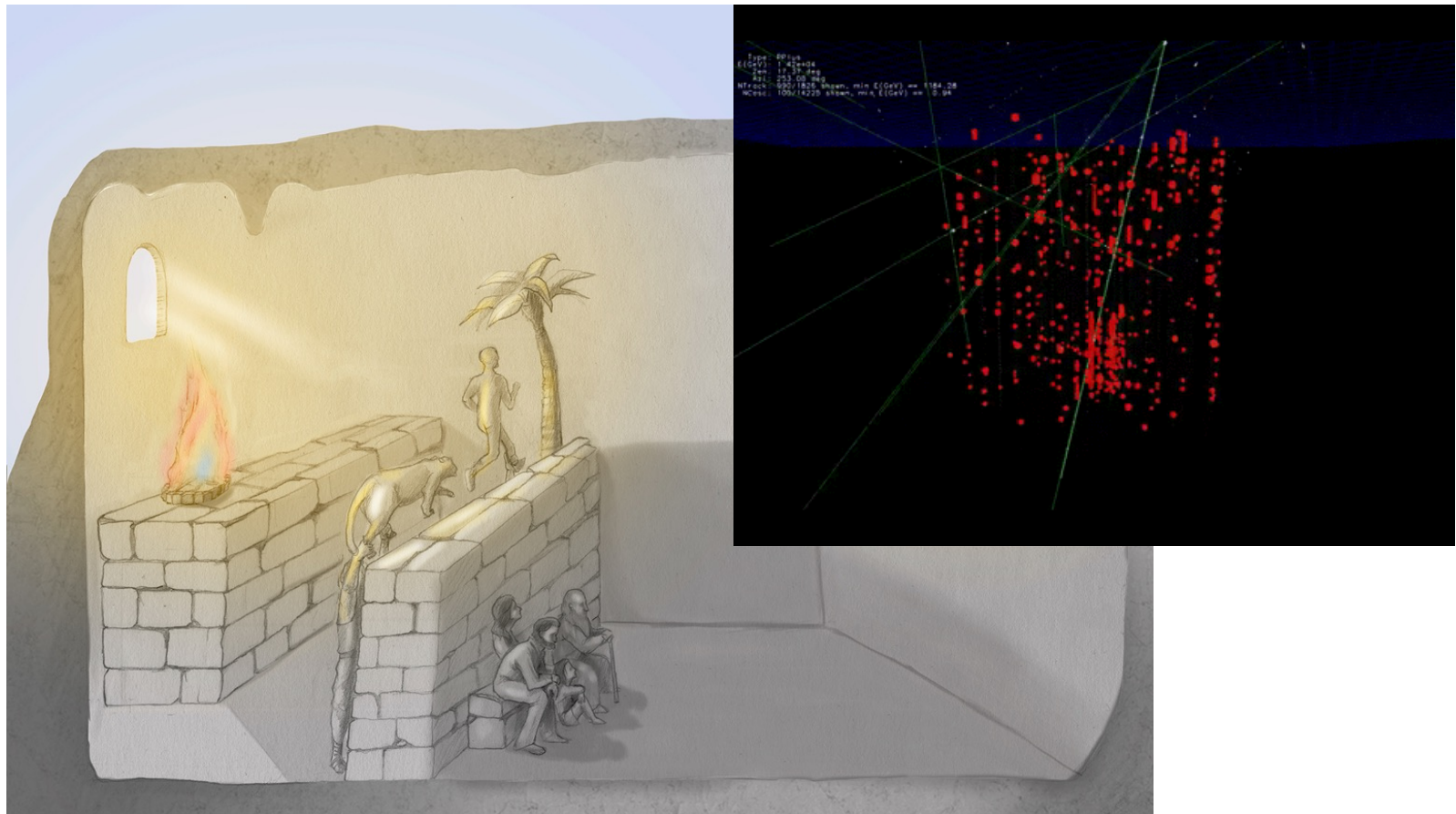
Progress in theory building through falsification:  
Critical Rationalism

Is this how science develops? -> Imre Lakatos (1922-1974)

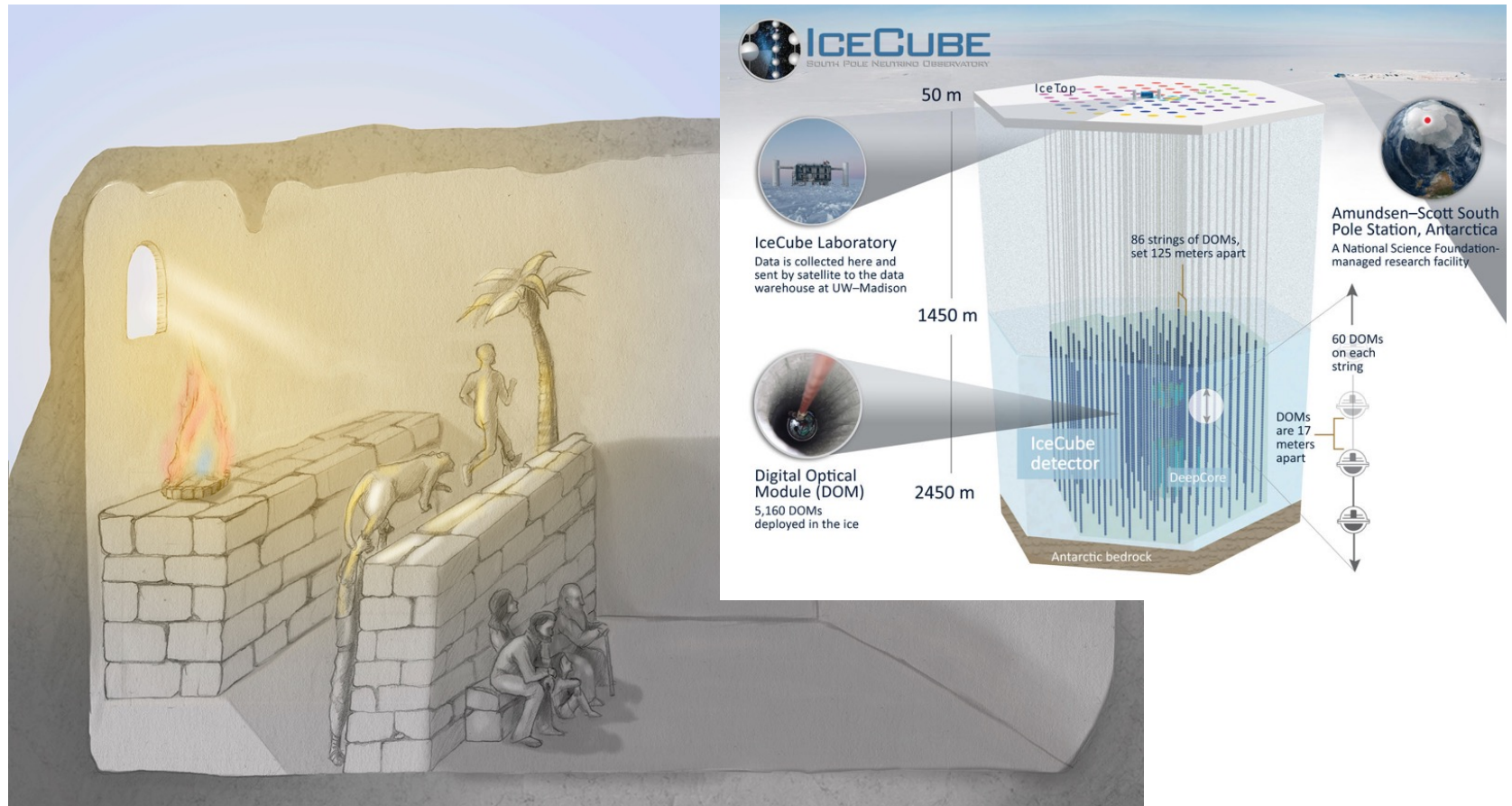
# Astroparticle Physics



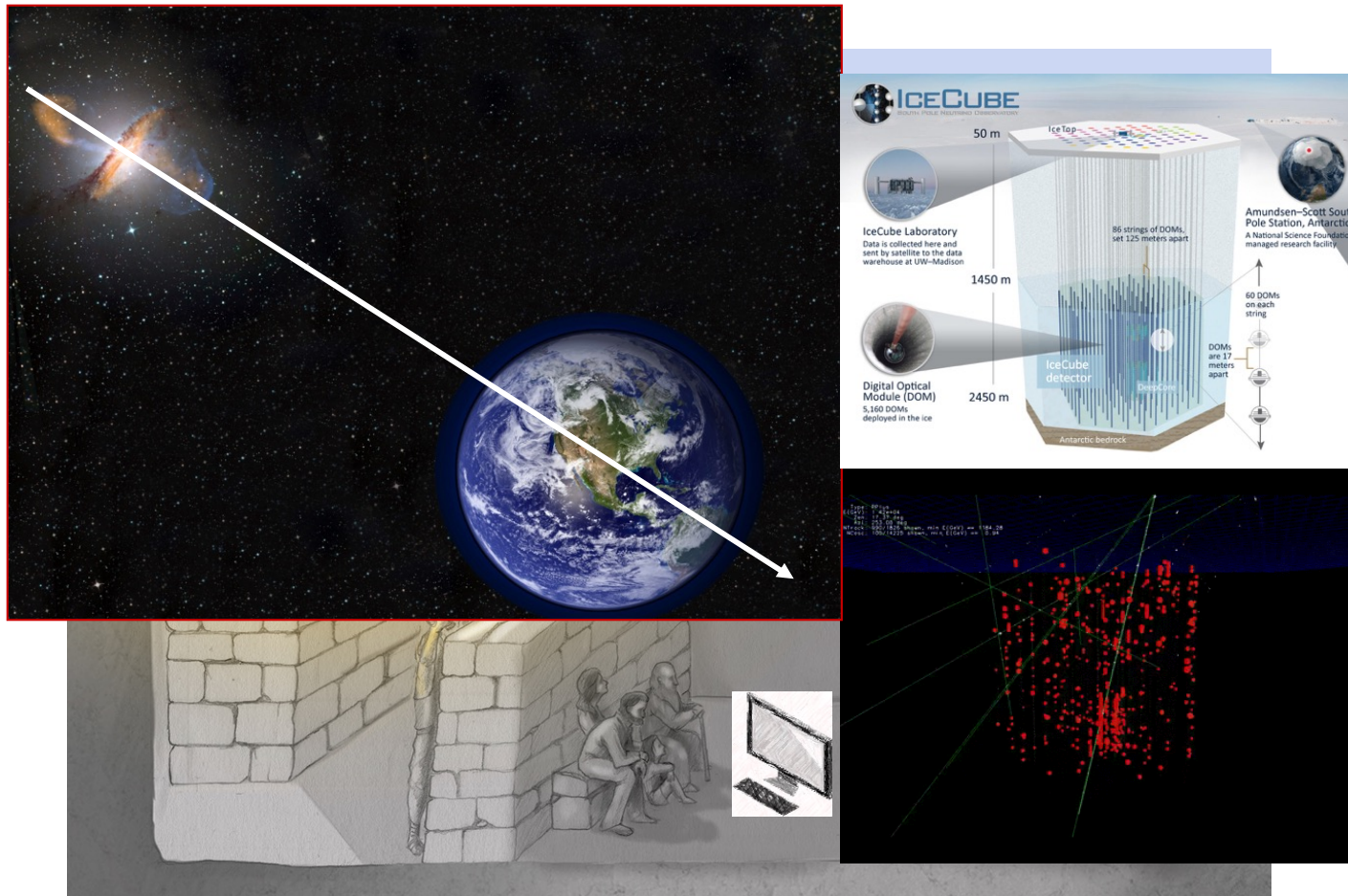
## Platons Cave Revisited



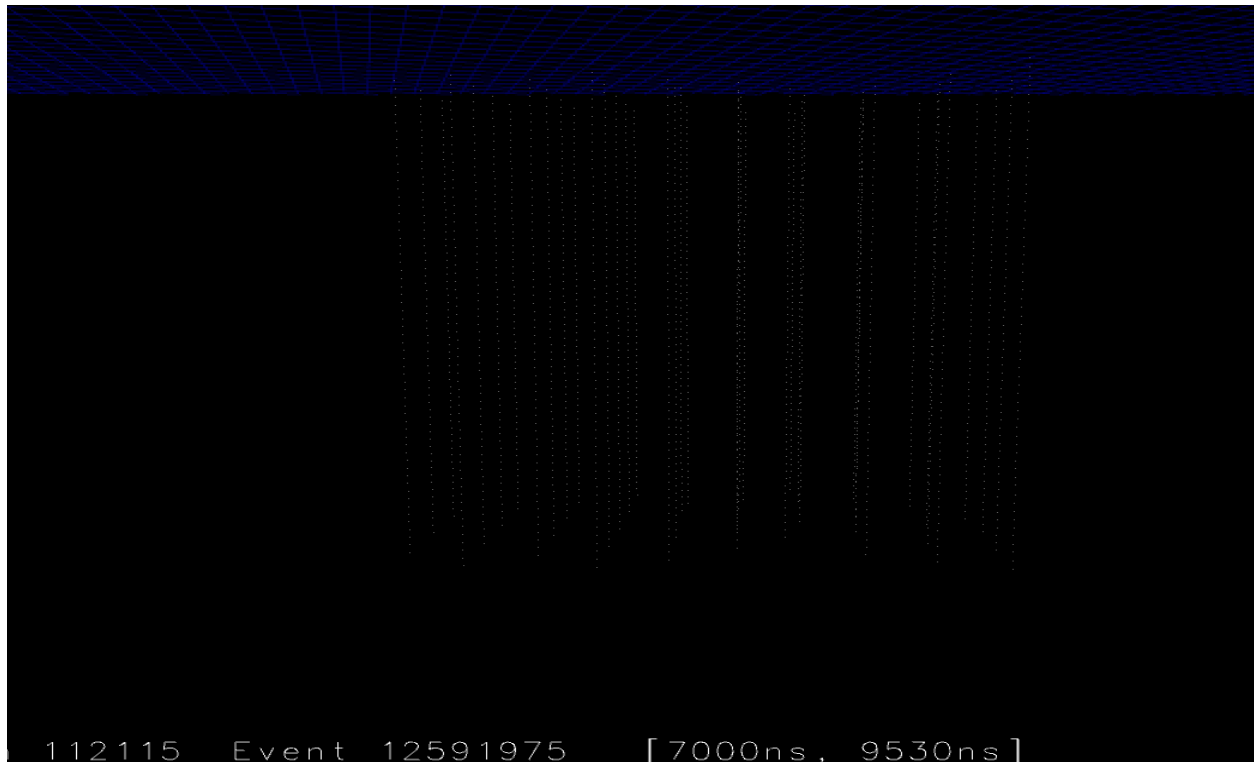
# Platons Cave Revisited



# Platons Cave Revisited



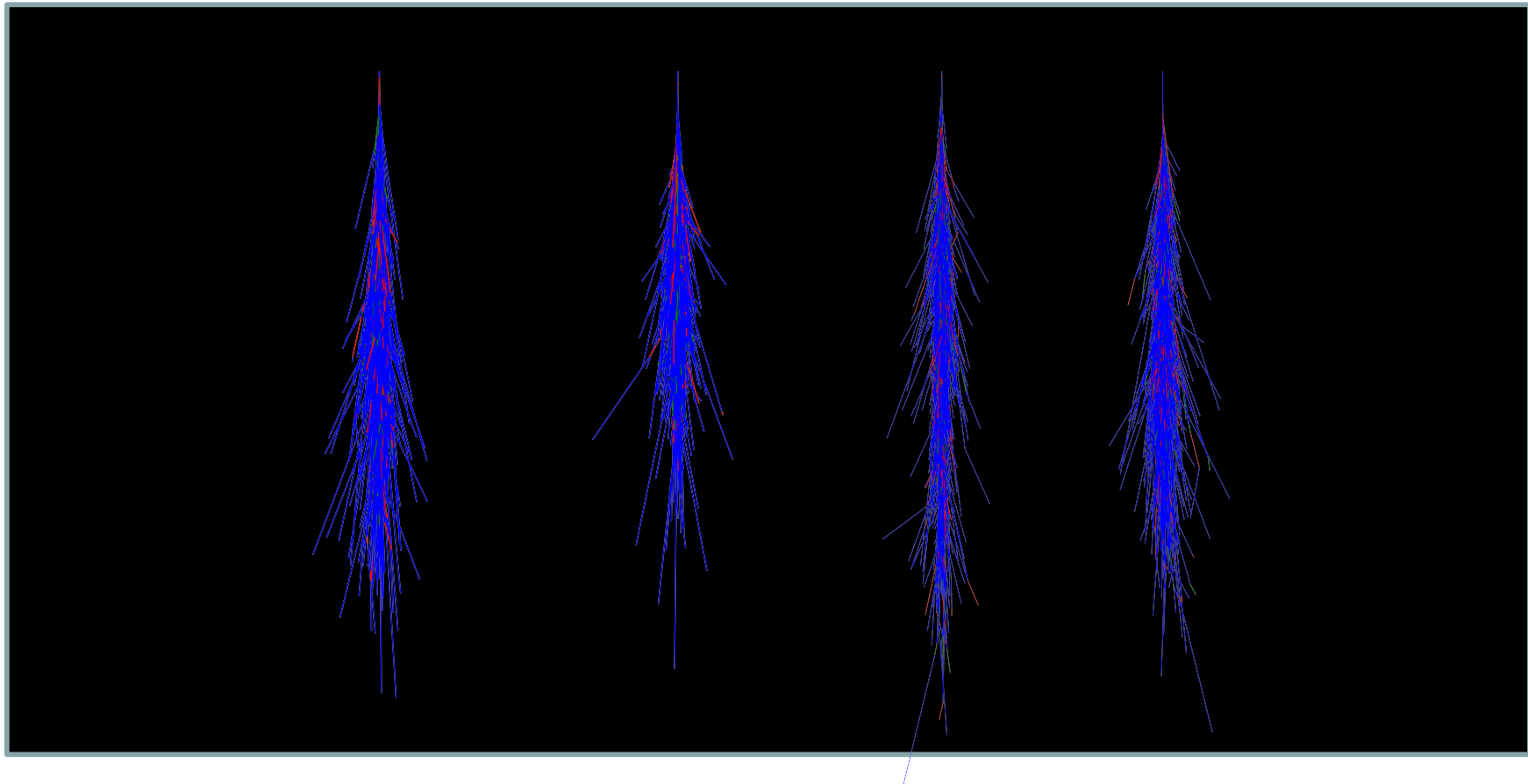
## Monte Carlo: A Virtual Parallel World



Muon in the  
IceCube Detector

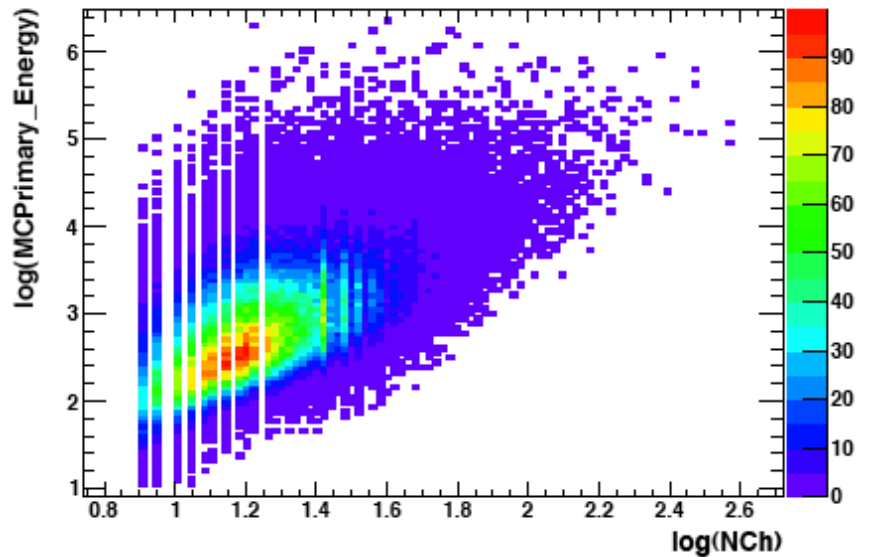


## Monte Carlo: Same Start, Other Form



# Monte Carlo: True Energy vs Number of Hit Photomultipliers

CAUSE: LOGARITHM (TRUE ENERGY)



Reversal of the  
Direction of  
Conclusion

Effect: Logarithm (Number of hit photomultipliers)

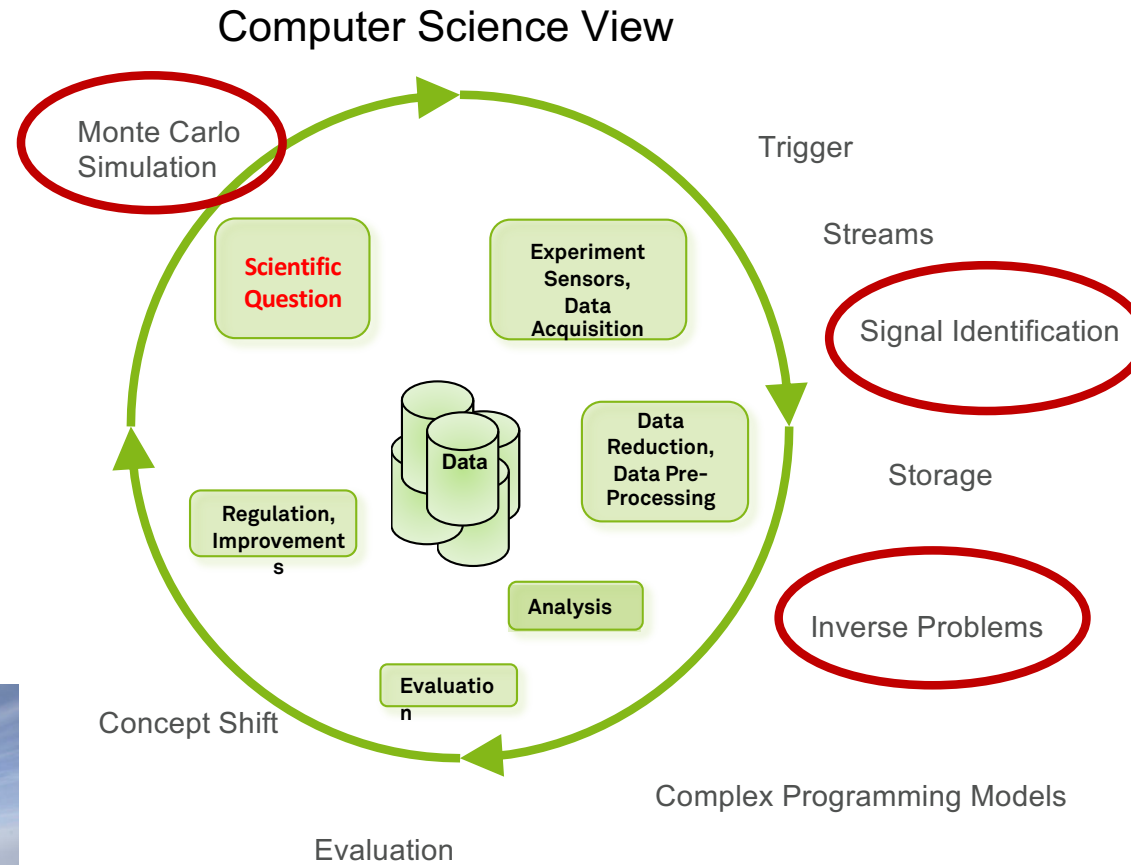
„The Cave Allegory (mathematical)“

Fredholm Equation of the 2nd kind

$$g(y) = \int_c^d A(y, x) f(x) dx + b(y),$$

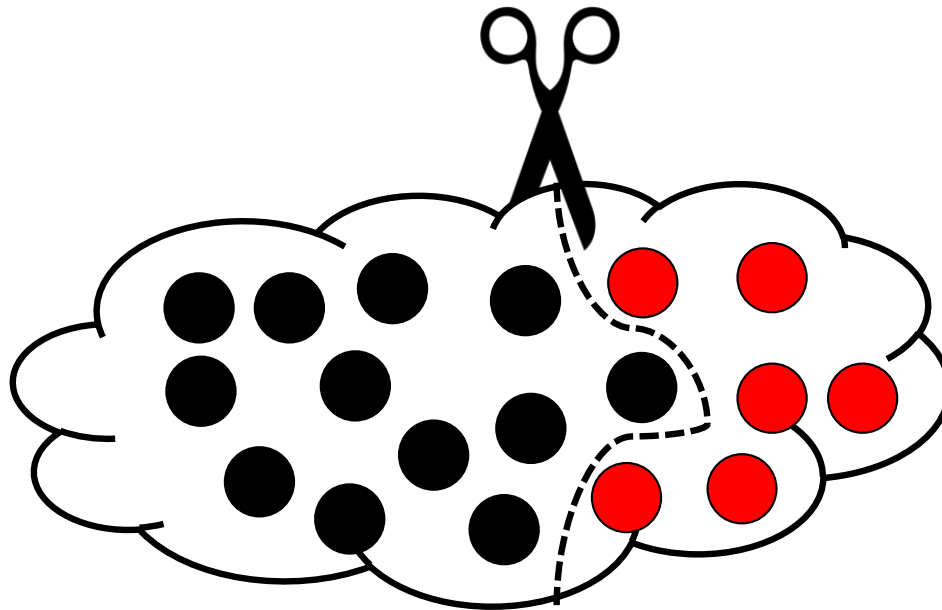
measurement      kernel(← MC)      searched      underground (←MC)

- $g(y), f(x)$  (static) binary? → World of Language and Logic
- $g(y), f(x)$  mathematical functions ? → World of classical physics
- $g(y), f(x)$  (dynamic) probability distributions? → World of „big data analysis“



$$g(y) = \int_c^d A(y, x) f(x) dx + b(y),$$

## Signal – Background – Separation



Discriminant Analysis

Random Forests

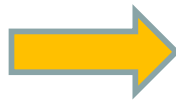
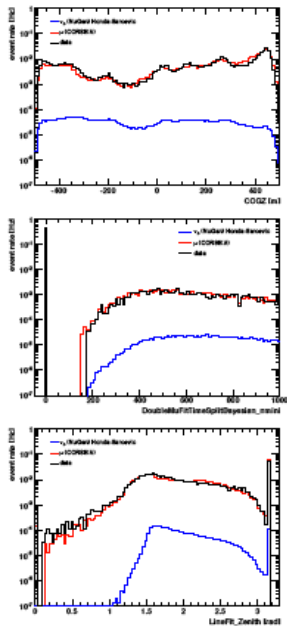
Neuronal Nets

Deep Learning

...

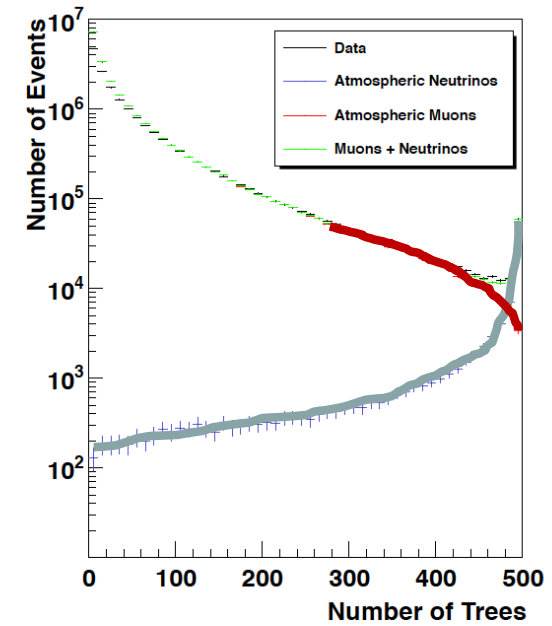
## Data Mining: Paradigm I:

**MC description of signal and background is perfect !**

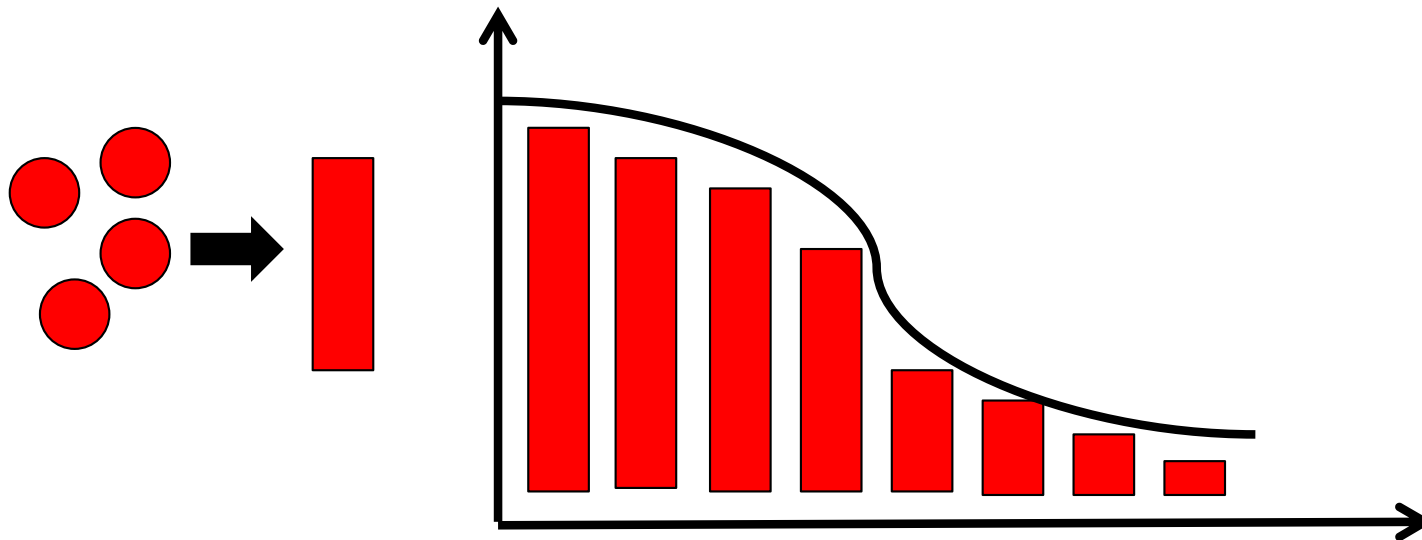


Data Mining Methods, e.g.:

- Random Forest
- Supported Vector Machine
- Boosted Decision Tree
- ADA2 boost
- Deep Learning
- ....

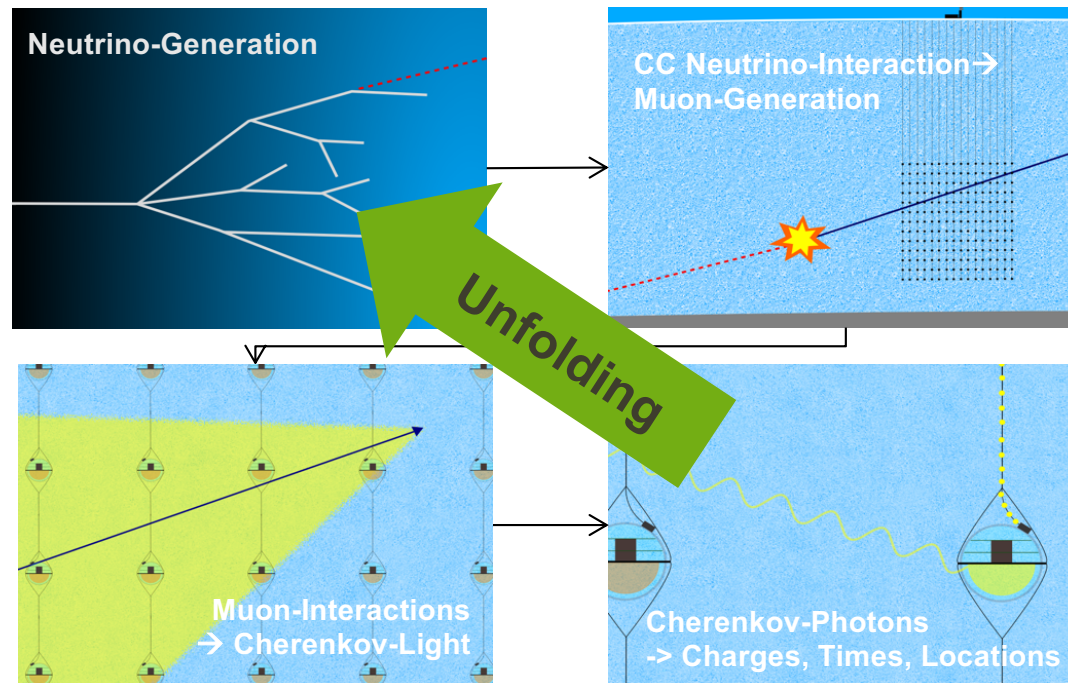


## Unfolding: Solution of the inverse Problem (Deconvolution)



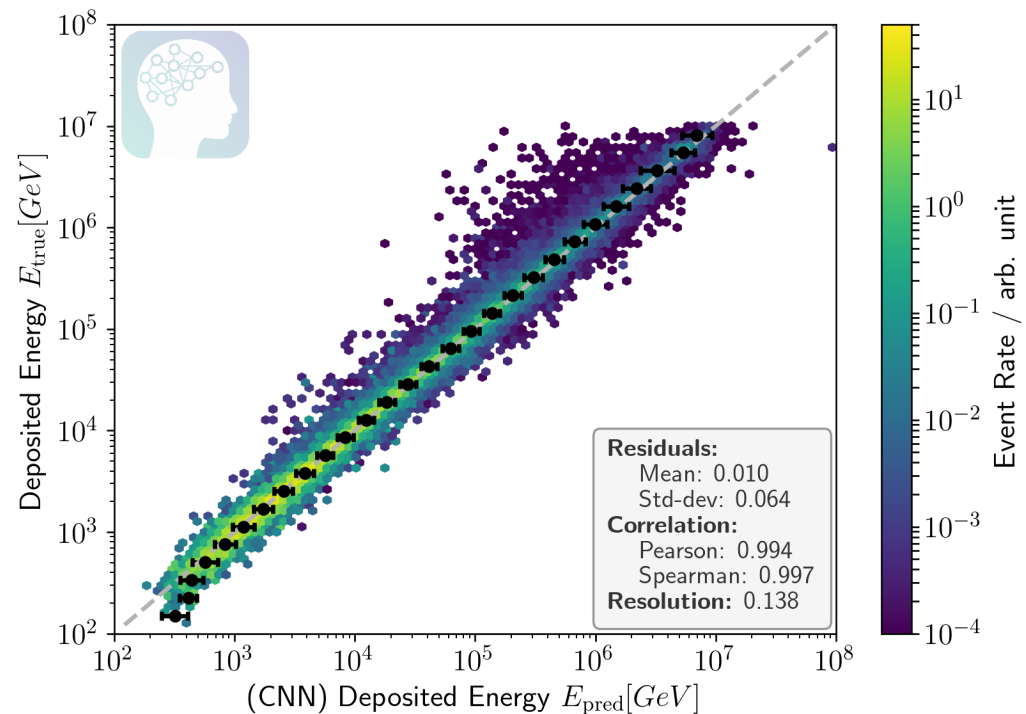
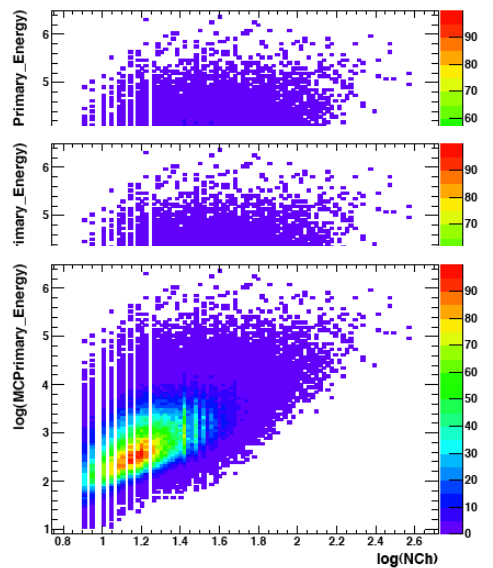
(many) Charges, Times, Locations → physical distributions  
e.g. energy, angular, or mass spectra

Unfolding requires Paradigm II:  
exact MC description of the signal is unknown

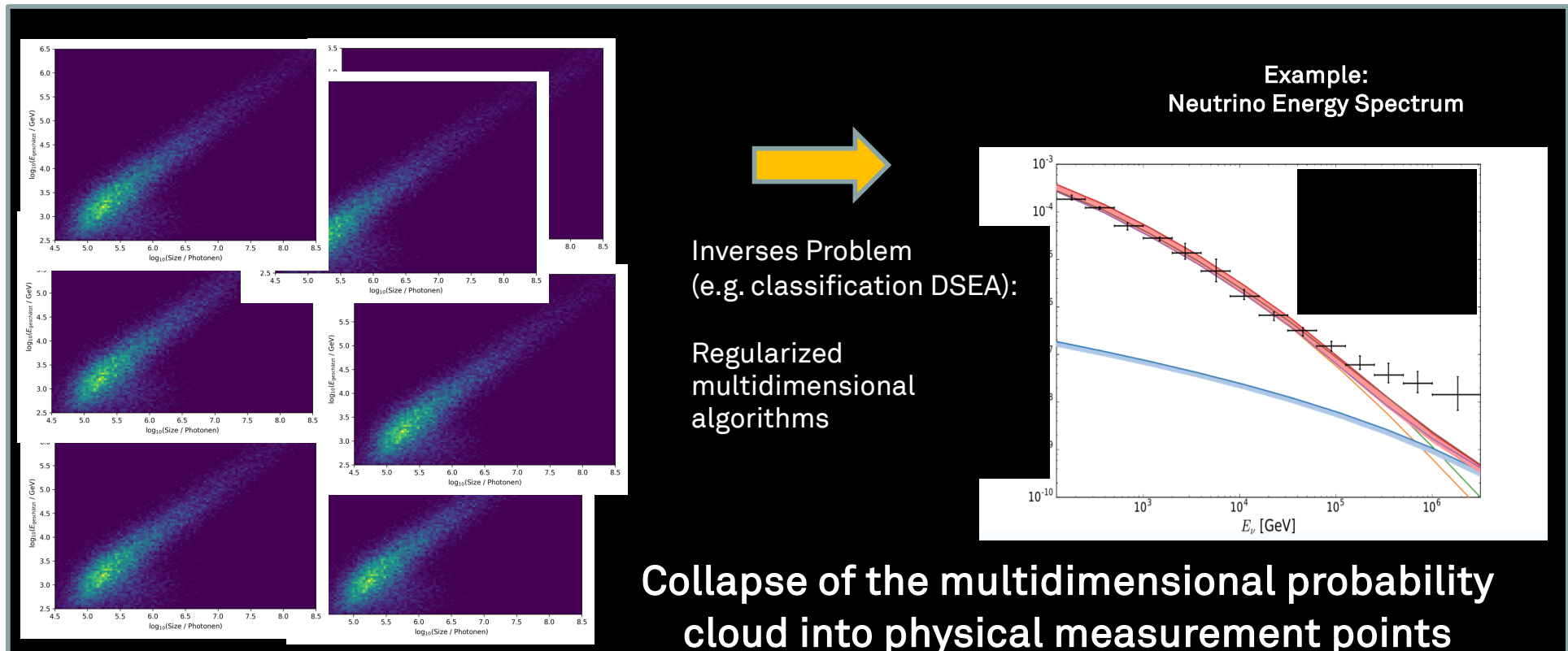




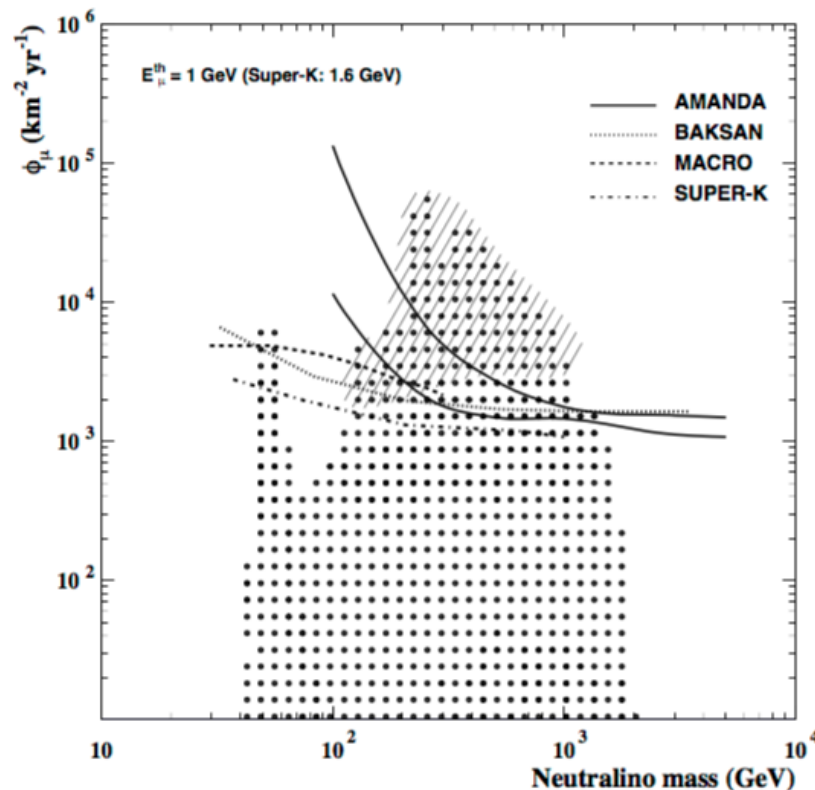
# Search for optimal correlation (e.g. by Deep Learning Methods)



# Unfolding (different algorithms)



## Remark: Monte Carlo in Theoretical Physics



Search for possible signals depending on a large number of free parameters

## From Probability to Knowledge: "Probabilistic Rationalism".

### Classical approach based on:

- Individual observations / measurements
- Logical binary judgments
- Single test of dedicated theories
- Falsification

### Modern approach based on:

- Measurement of very large amounts of data
- Probability statements
- Iterative testing of families of theories
- Significance determination

Astroparticle Physics ideal application due to the simple structure of the experiments

## Summary

- Turn the inside out Historical Perspective
- Unfold the view on the sky Cherenkov Telescope Ring
- Understand new methodological requirements Data Science /  
Probabilistic Rationalism