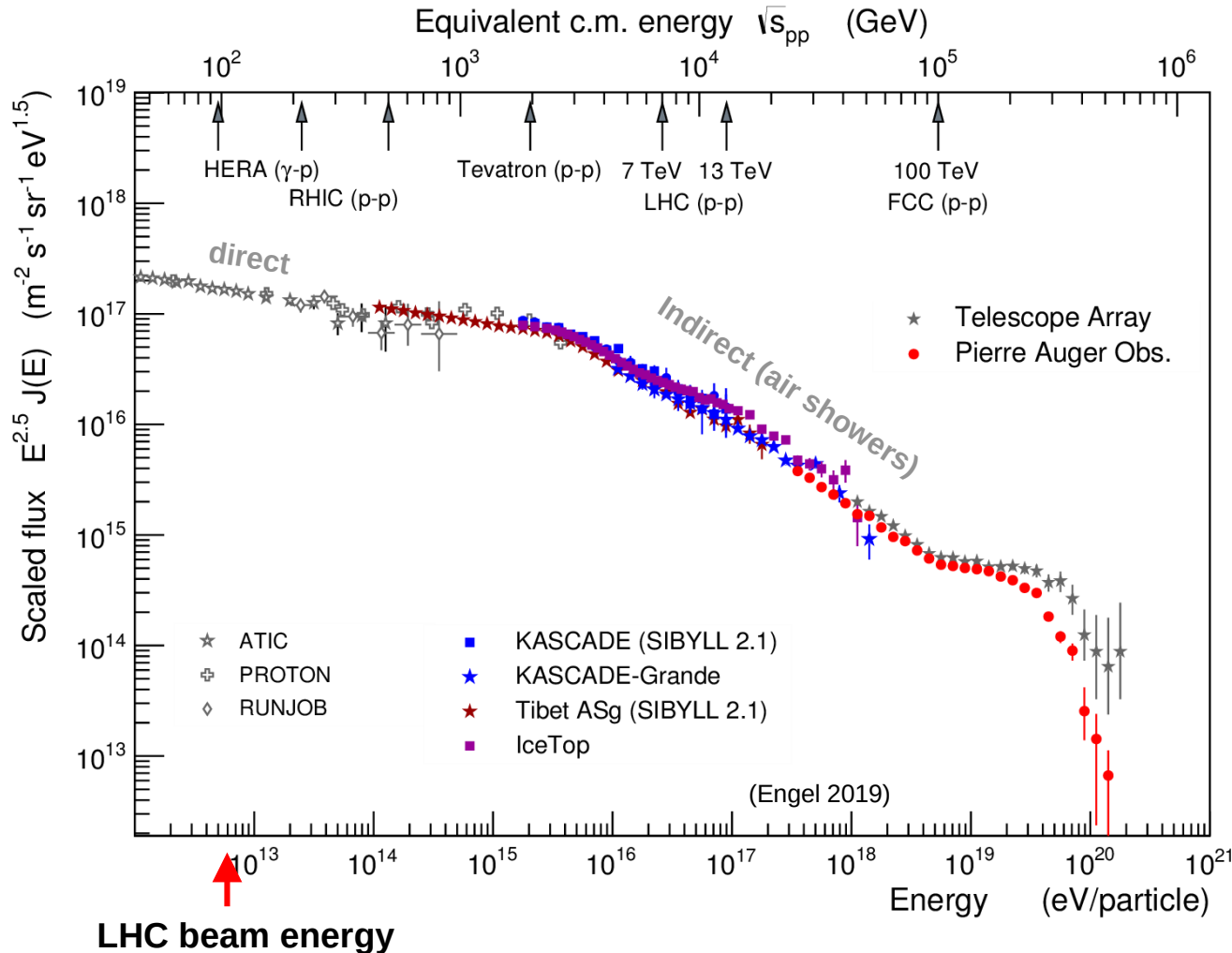


# Hadronic interactions at ultra-high energy

Felix Riehn, IGFAE (Santiago de Compostela)

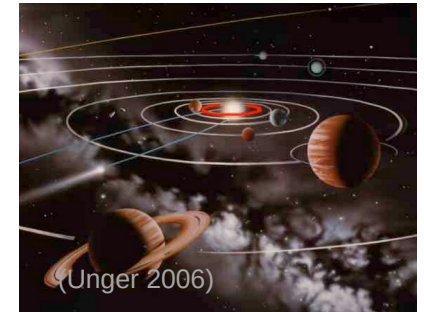
Seminar at TU Dortmund - 06. 05. 2024

# Flux of charged cosmic rays



## ASTROPHYSICS

Acceleration mechanism?



Charged cosmic rays  
linked to HE neutrinos  
& gamma rays?

Gravitational waves?

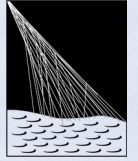
But also: **UHE beam!** 2  
(from space!)

# Doing particle physics with UHECRs

Three problems:

- \* indirect measurement (extensive air showers)
- \* unknown beam (mass composition of CRs)
- \* muon puzzle

# Pierre Auger Observatory

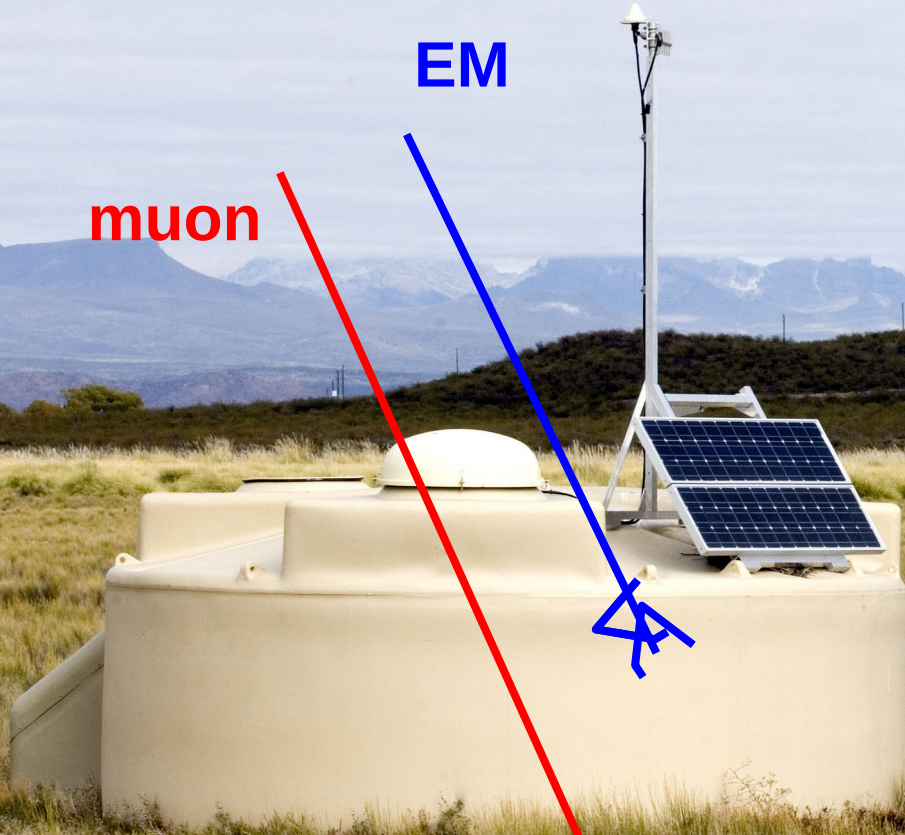


PIERRE  
AUGER  
OBSERVATORY

EM

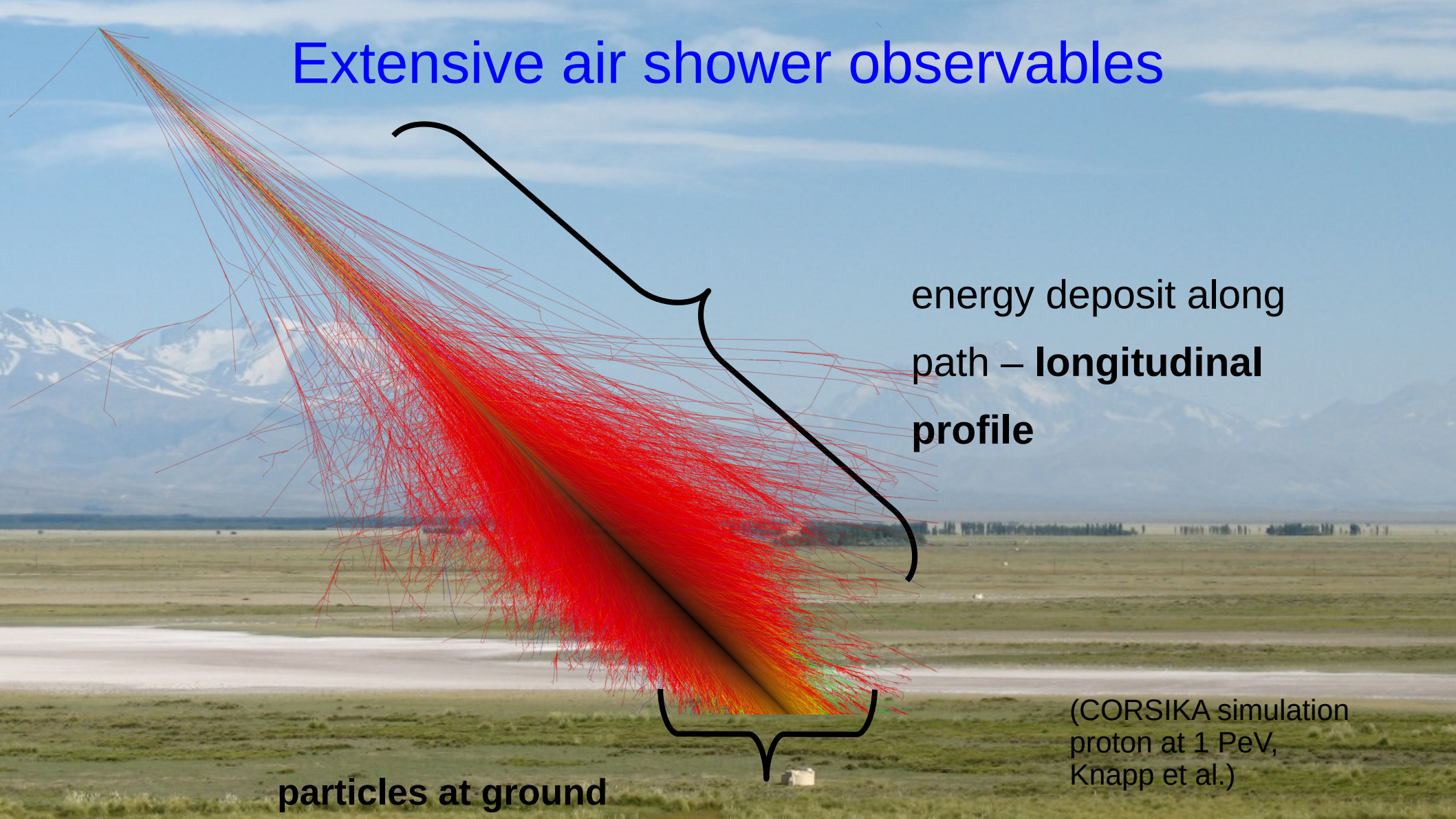
muon

fluorescence detector +  
WCD array ==  
25Gt air calorimeter with optical  
readout  
+ 20kt muon detector





# Extensive air shower observables

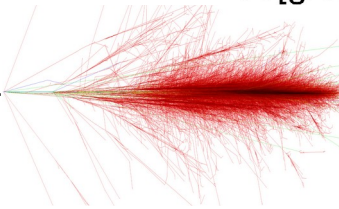
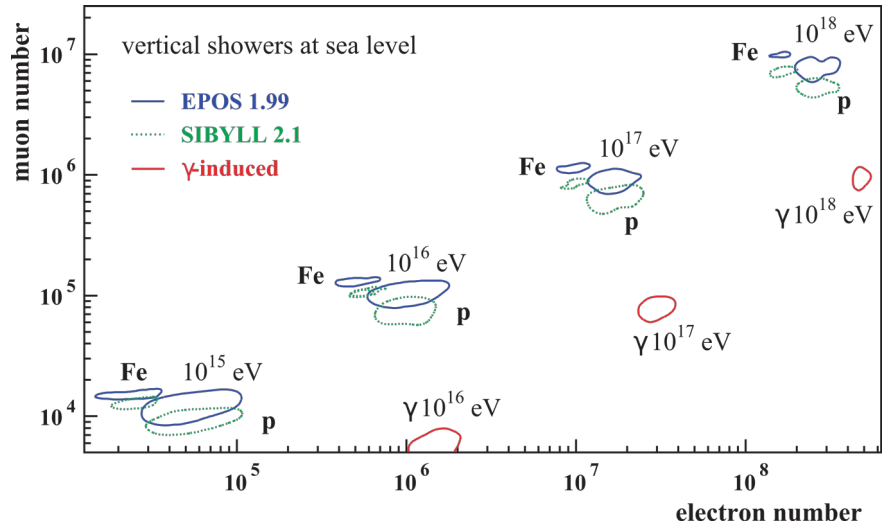
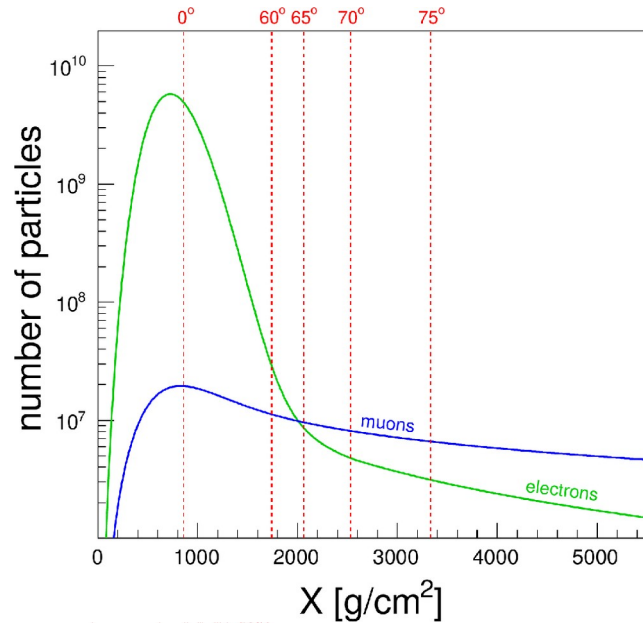


energy deposit along  
path – **longitudinal  
profile**

**particles at ground**

(CORSIKA simulation  
proton at 1 PeV,  
Knapp et al.)

# Extensive air shower observables

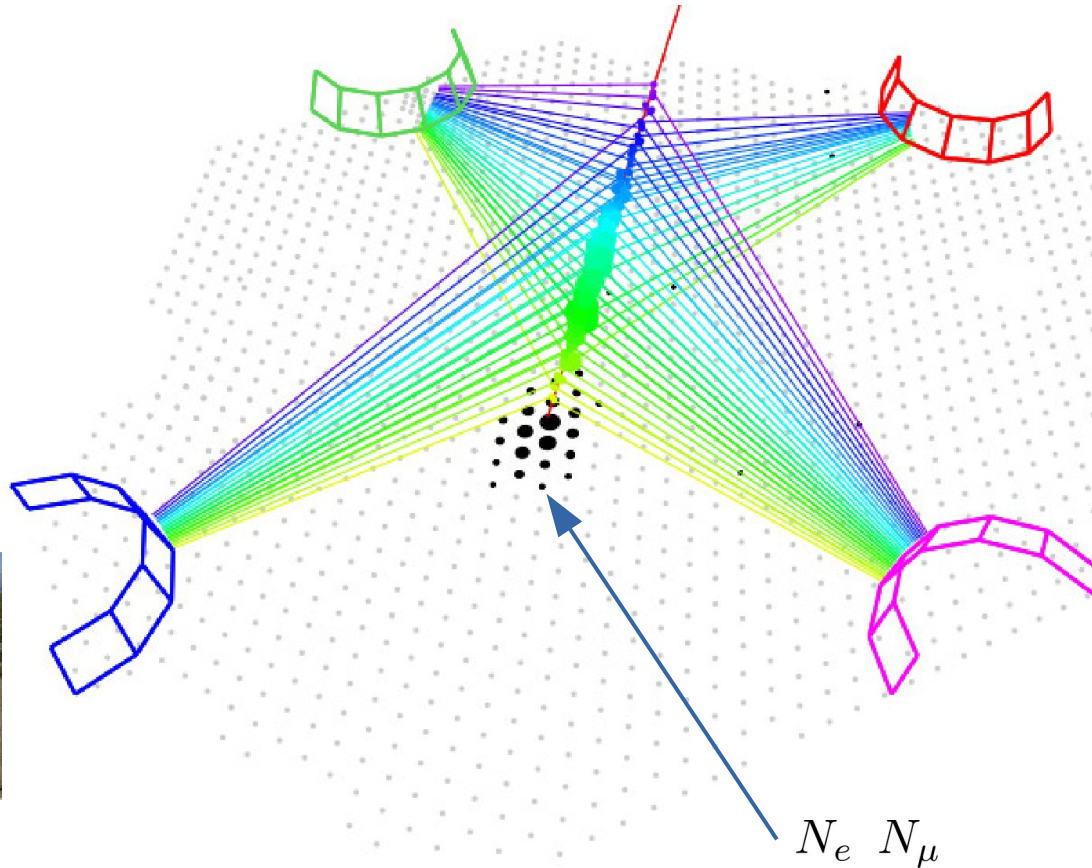
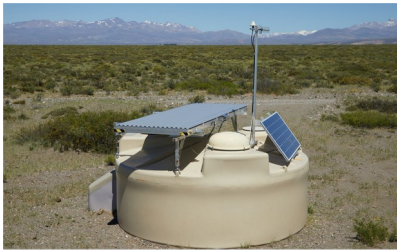


Interaction properties  $\Leftrightarrow$  CR mass

$\Rightarrow$  three measurements to do particle physics with CR!

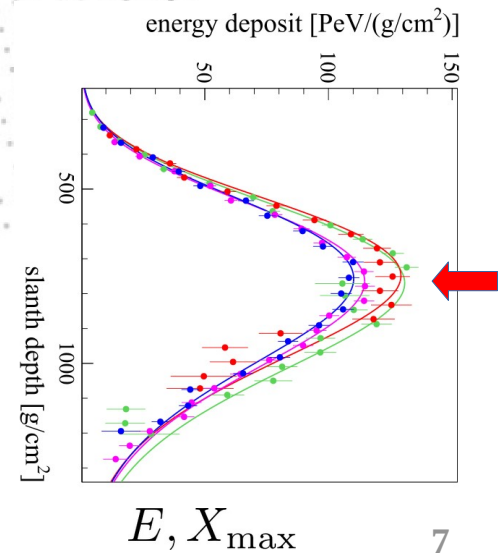


# Hybrid measurement at Pierre Auger Observatory

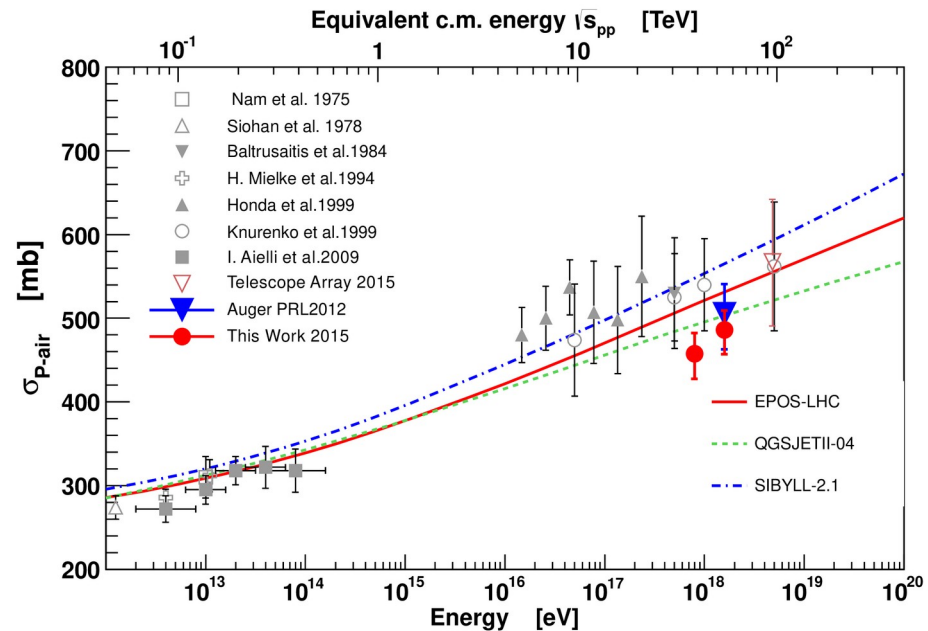
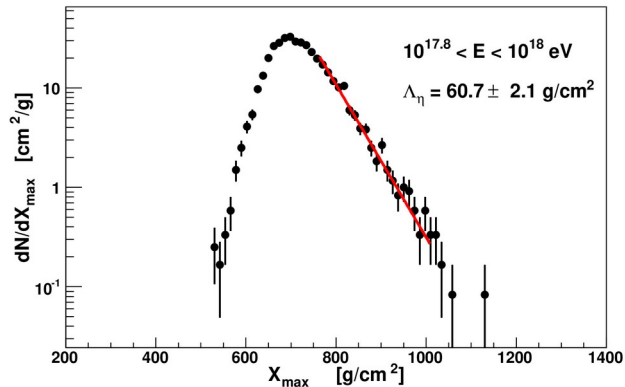
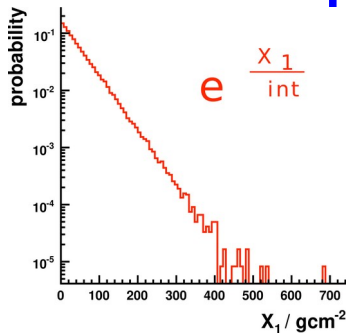
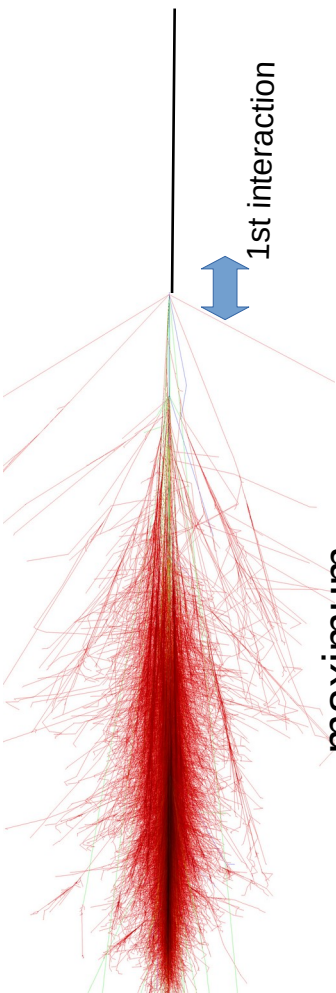


$N_e N_\mu$

Related to primary?



# Example of particle physics measurement: p-Air cross section

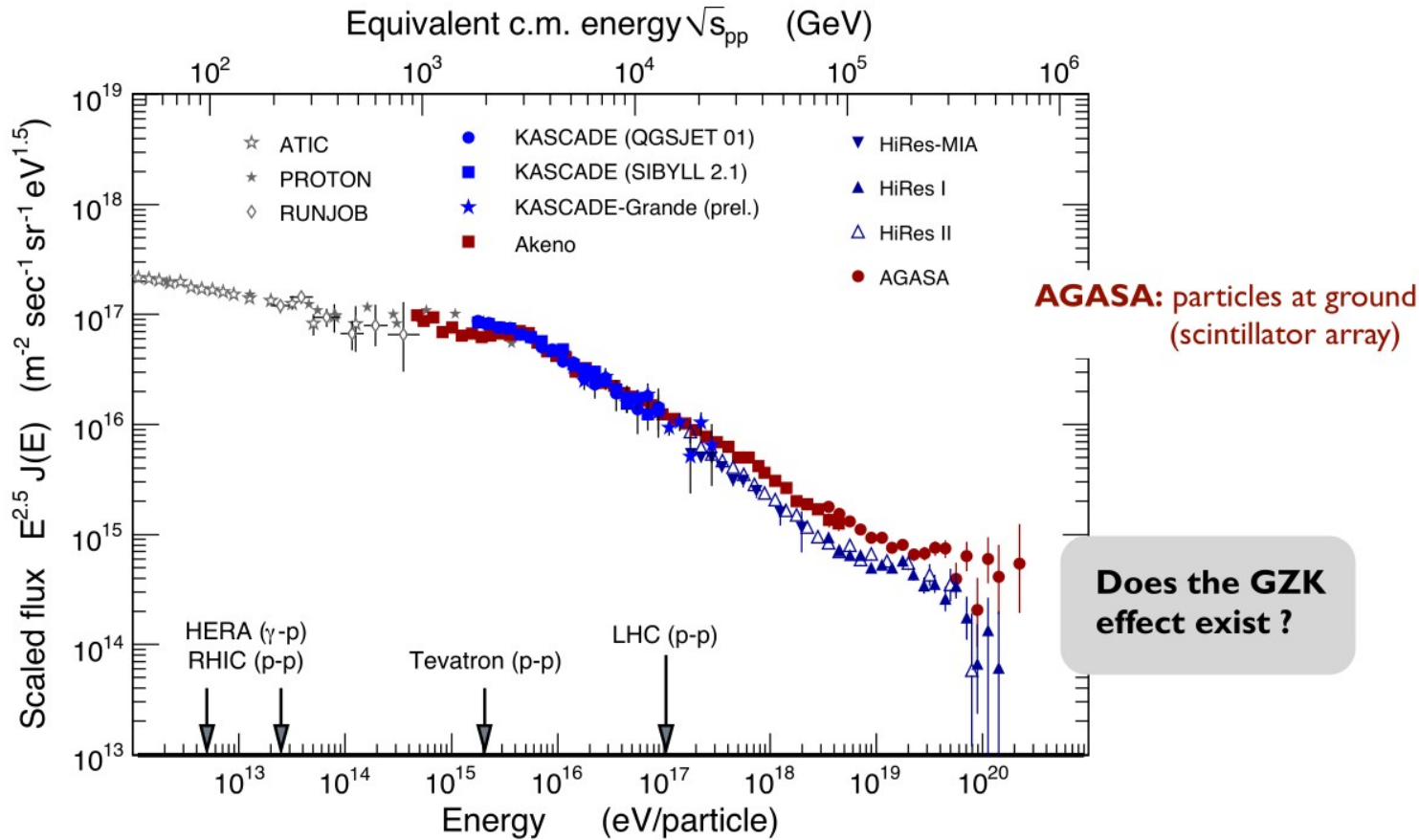


↑ Deep showers  
→ protons no need to measure mass



# New physics at Pierre Auger Observatory

(R. Engel Auger review 2023)



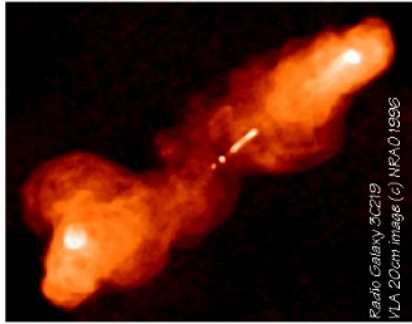
GZK effect:

→ flux limit due to interaction of CR protons with CMB photons

HiRes Fly's Eye: longitudinal shower profile  
(fluorescence telescopes)

# Source and propagation model scenarios (2004)

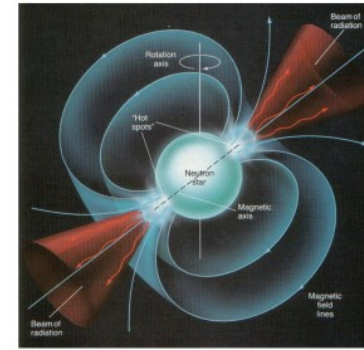
(R. Engel Auger review 2023)



**Active Galactic Nuclei (AGN):**  
Black Hole of  $\sim 10^9$  solar masses

	Process	Distribution	Injection flux
AGNs, GRBs, ... (★)	Diffuse shock acceleration	Cosmological	p ... Fe
Young pulsars (★★)	EM acceleration	Galaxy & halo	mainly Fe
X particles (★★★)	Decay & particle cascade	(a) Halo (SHDM) (b) Cosmological	$\nu$ , $\gamma$ -rays and p
Z-bursts (★★★★)	$Z^0$ decay & particle cascade	Cosmological & clusters	$\nu$ , $\gamma$ -rays and p

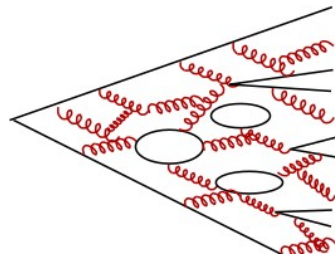
**Magnetars:**  
magnetic field up to  $\sim 10^{15}$  G



**X particles from:**

- topological defects
- monopoles
- cosmic strings
- cosmic necklaces
- .....

**Big Bang:**  
super-heavy particles,  
topological defects:  
 $M_X \sim 10^{23} - 10^{24}$  eV

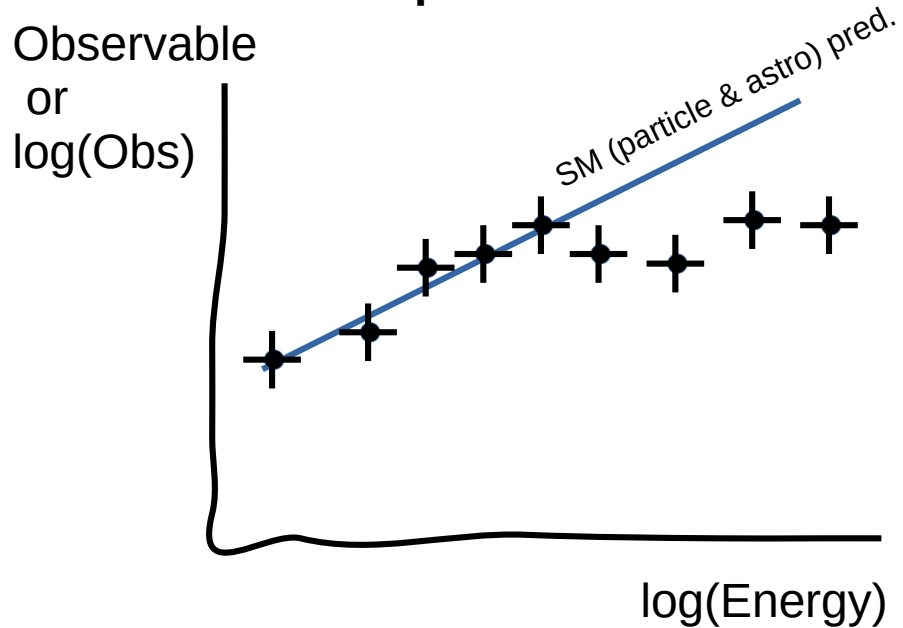


large fluxes of  
photons and  
neutrinos

Every week 5-7 papers on  
UHECRs in the archive

# Signatures of new physics

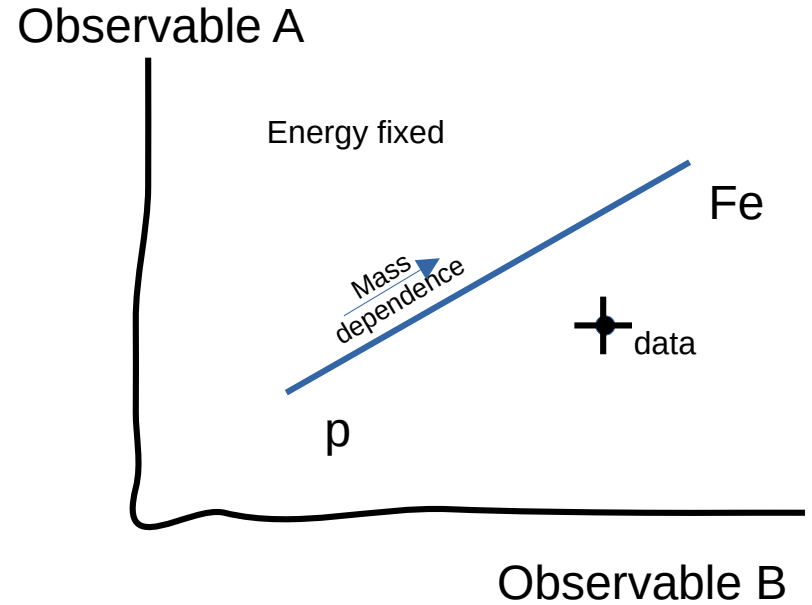
## Kinks in energy dependence



If Observable depends on mass:  
Need to know mass composition

or

## 'Inconsistencies'



If both Obs A and Obs B  
~ mass

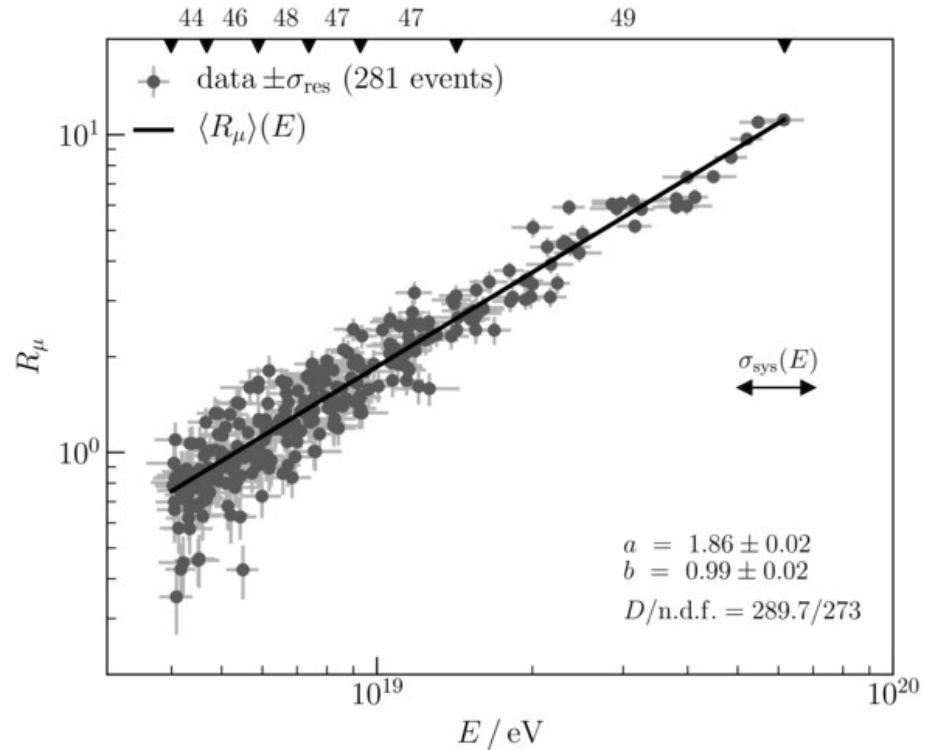
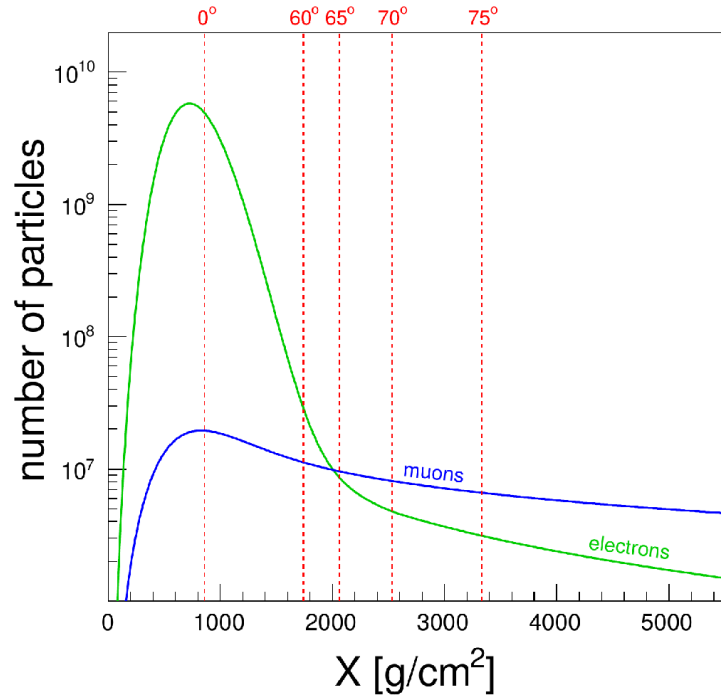
# Measurement of muon content

Hybrid (energy + Xmax)

+

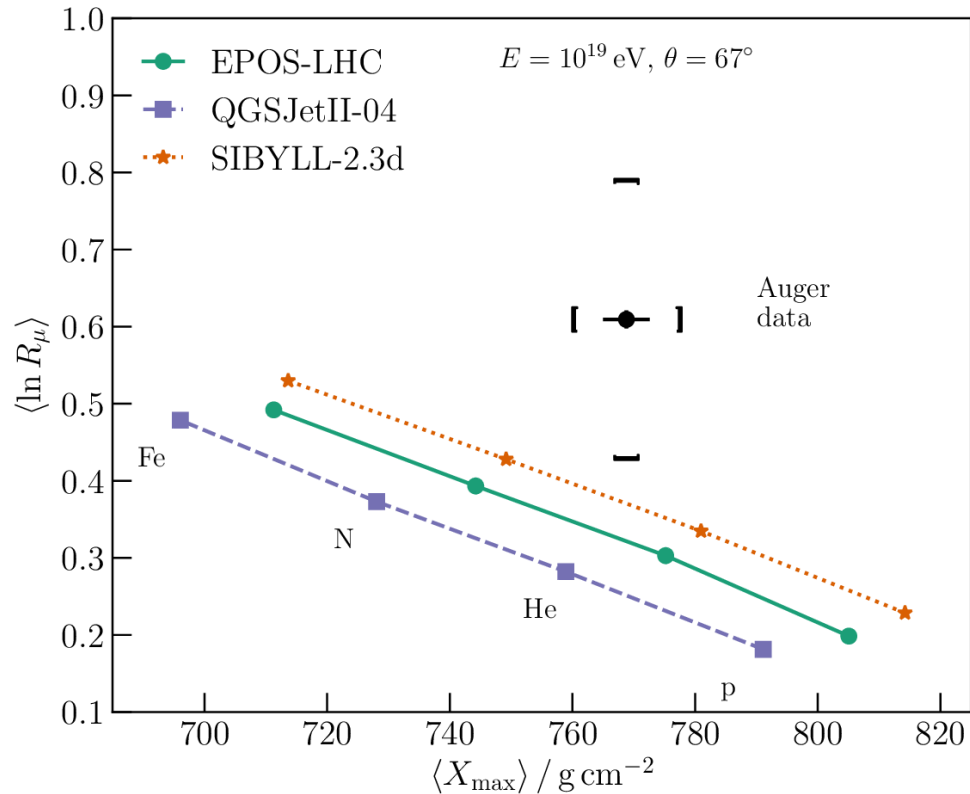
inclined (surface signal  $\rightarrow$  muons)

(H. Dembinski, Auger 2015)





# Comparing muon content with Xmax

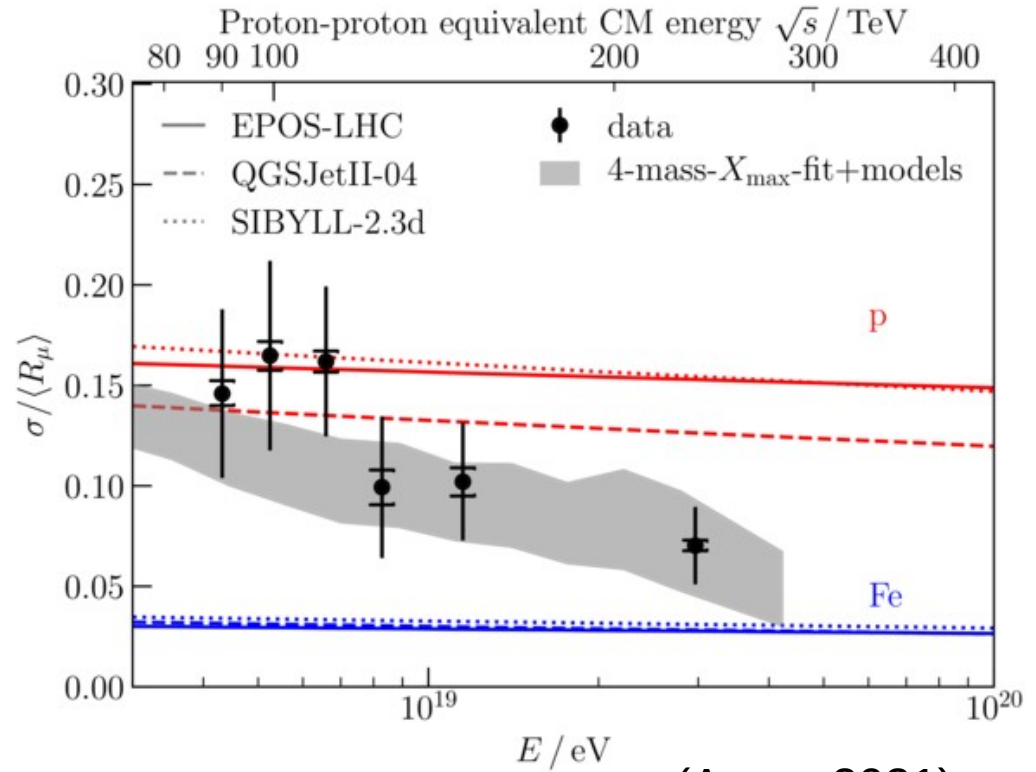


**Muon puzzle!**

Seen in many experiments !  
see review by Dembinski et al.  
(Astrophys. Space. Sci. 367, 27 (2022))

# New physics?

Fluctuations are due to first interaction



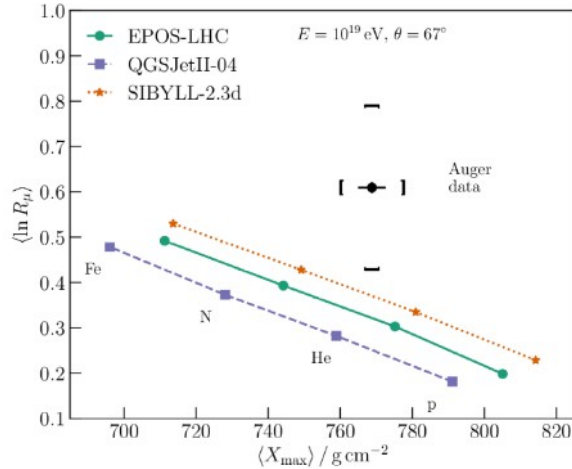
(Auger 2021)

Fluctuations match expectation  
→ no new physics needed/allowed

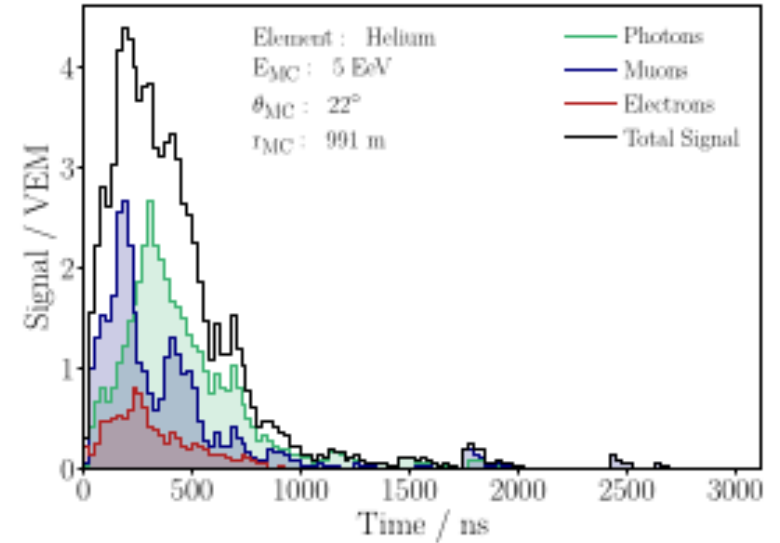
# Impact of the muon puzzle on UHECR

Cannot trust EAS simulations!

→ No ML, no detailed reconstruction



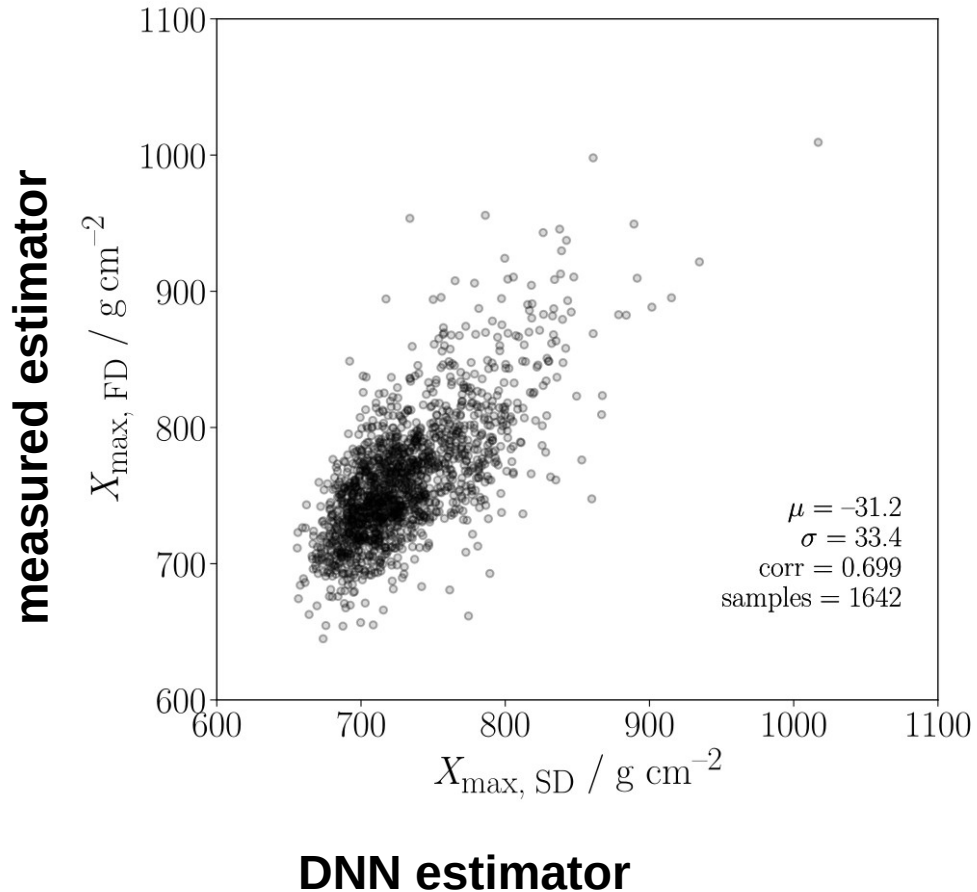
Simulated signal trace of one station



(Auger 2021)

→ No event-by-event PID with Auger Phase 1 (2004-2020) data !  
Unless muon puzzle is fixed

# DNN for Xmax reconstruction



\* Training DNN on simulations of surface detector signals to produce mass estimator Xmax

\* Cross check with hybrid measurements results in **30g/cm<sup>2</sup>** bias (p – Fe ~ 100g/cm<sup>2</sup> )

(Auger ICRC 2023, to be published)



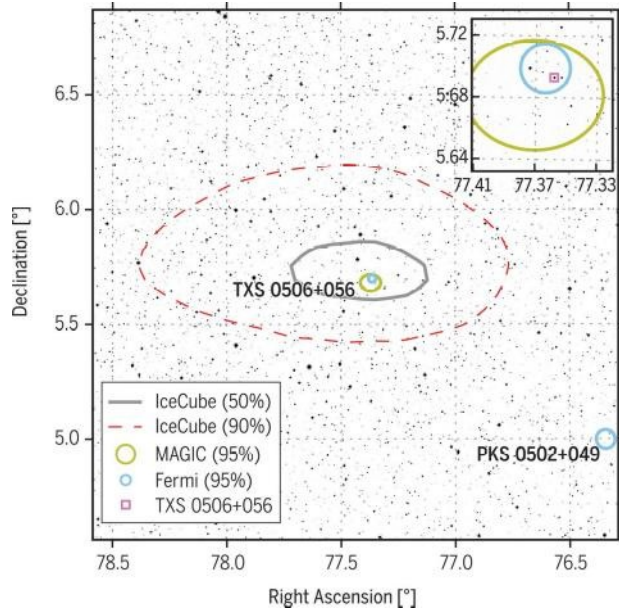
# Forget charged CRs, neutrinos !

*Interaction of UHECRs in source environment will produce HE neutrinos.*

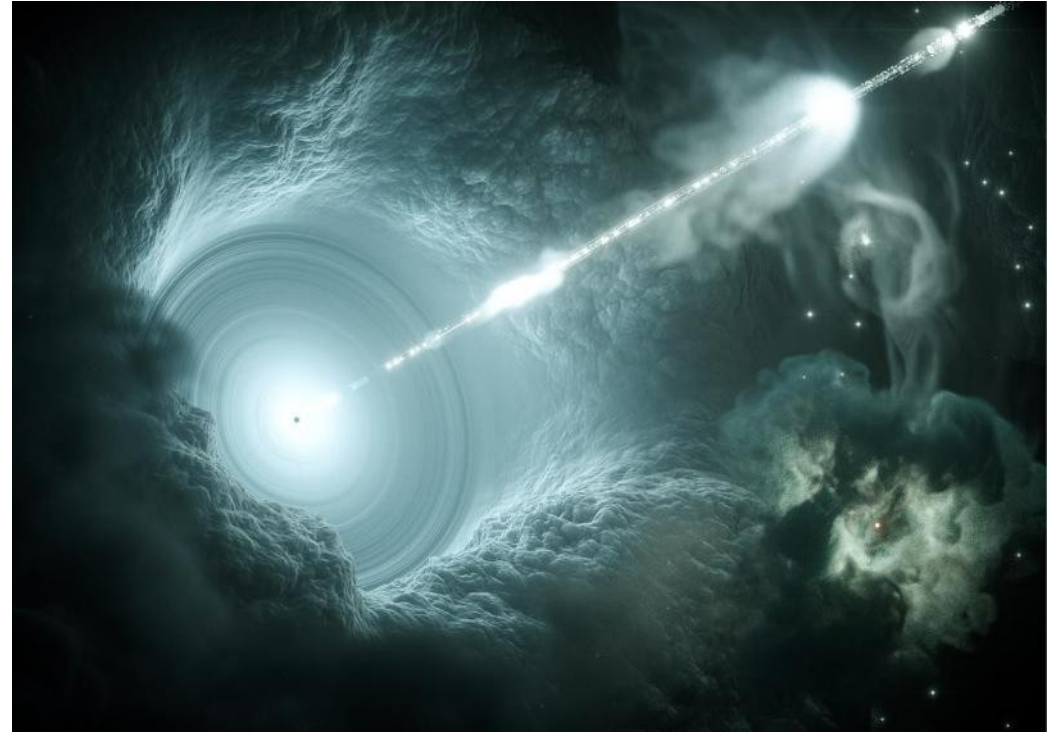
*HE neutrinos will reveal CR sources!*

# Yes, ..

## Multimessenger observation of blazar



(Science 361, eaat1378 (2018))

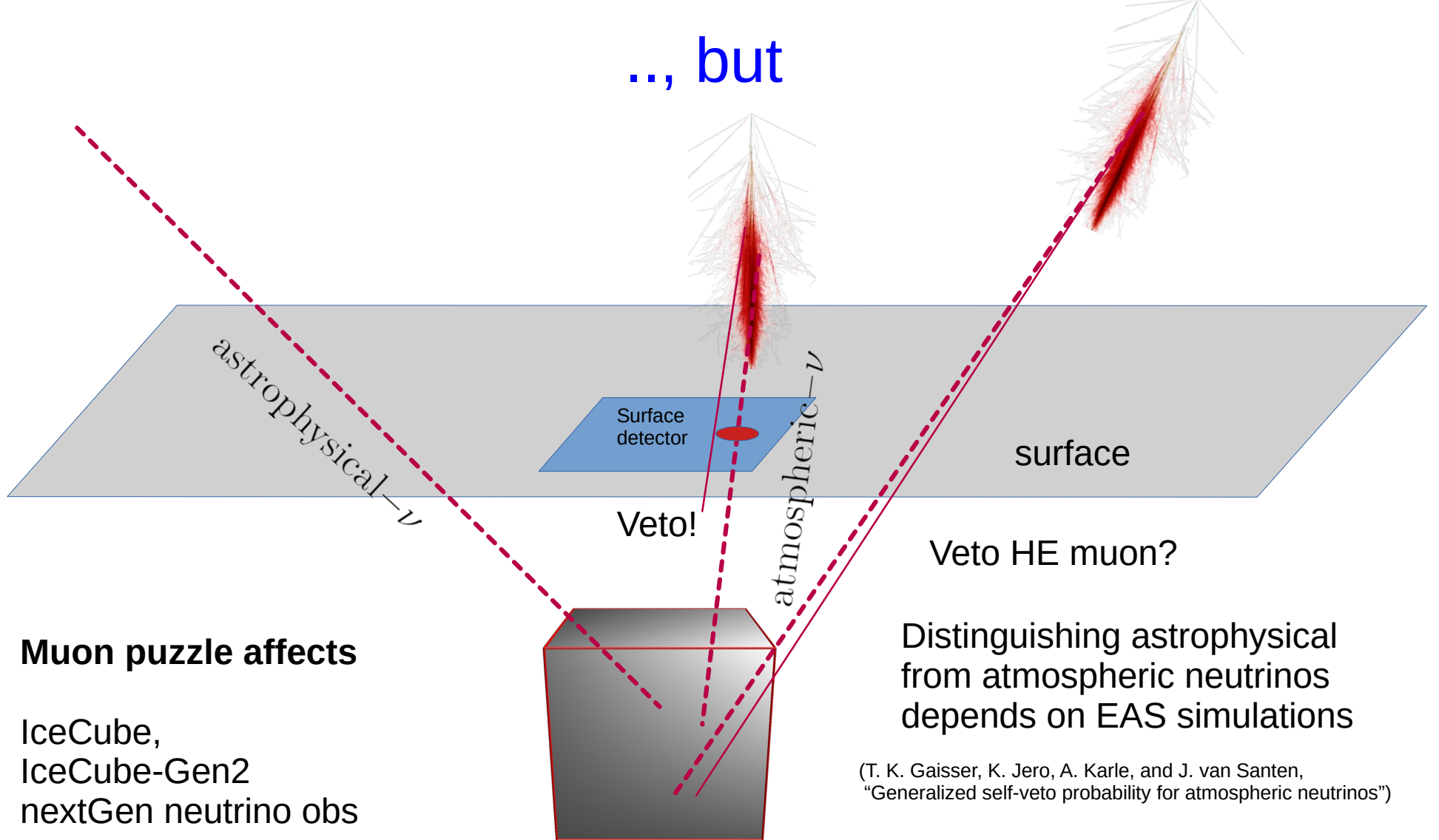


(DESY 2018)

## But..

... The vast majority of neutrinos detected by IceCube arise from cosmic-ray interactions within Earth's atmosphere. Although atmospheric neutrinos are dominant at energies below 100 TeV, their spectrum falls steeply with energy, allowing astrophysical neutrinos to be more easily identified at higher energies. The muon-neutrino astrophysical spectrum, together with simulated data, was used to calculate the **probability that a neutrino at the observed track energy and zenith angle in IceCube is of astrophysical origin. This probability, the so-called signalness of the event (14), was reported to be 56.5% (17).** Although IceCube can robustly identify astrophysical neutrinos at PeV energies, for individual neutrinos at several hundred TeV, an atmospheric origin cannot be excluded. ...

..., but

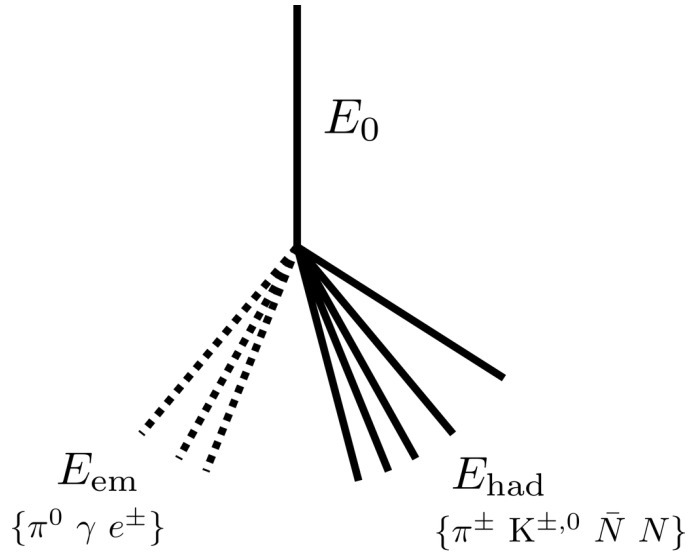


How to explain the muon puzzle



# Air shower development: two cascades

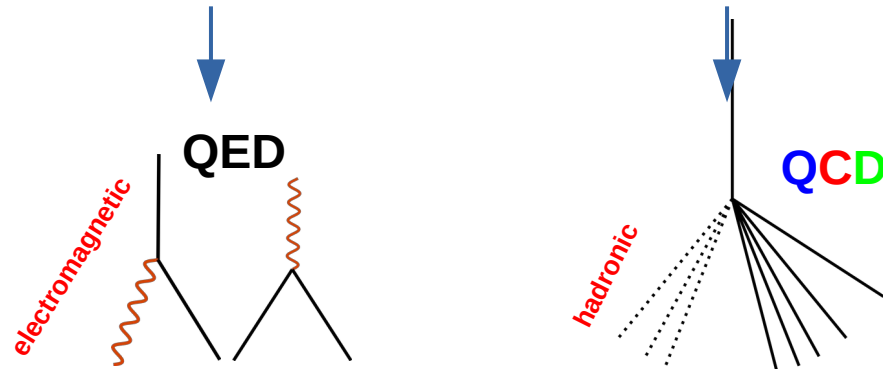
Assume: 1<sup>st</sup> interaction according to Standard Model



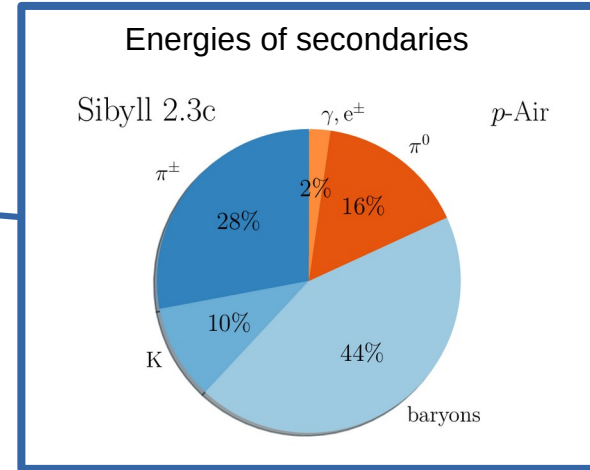
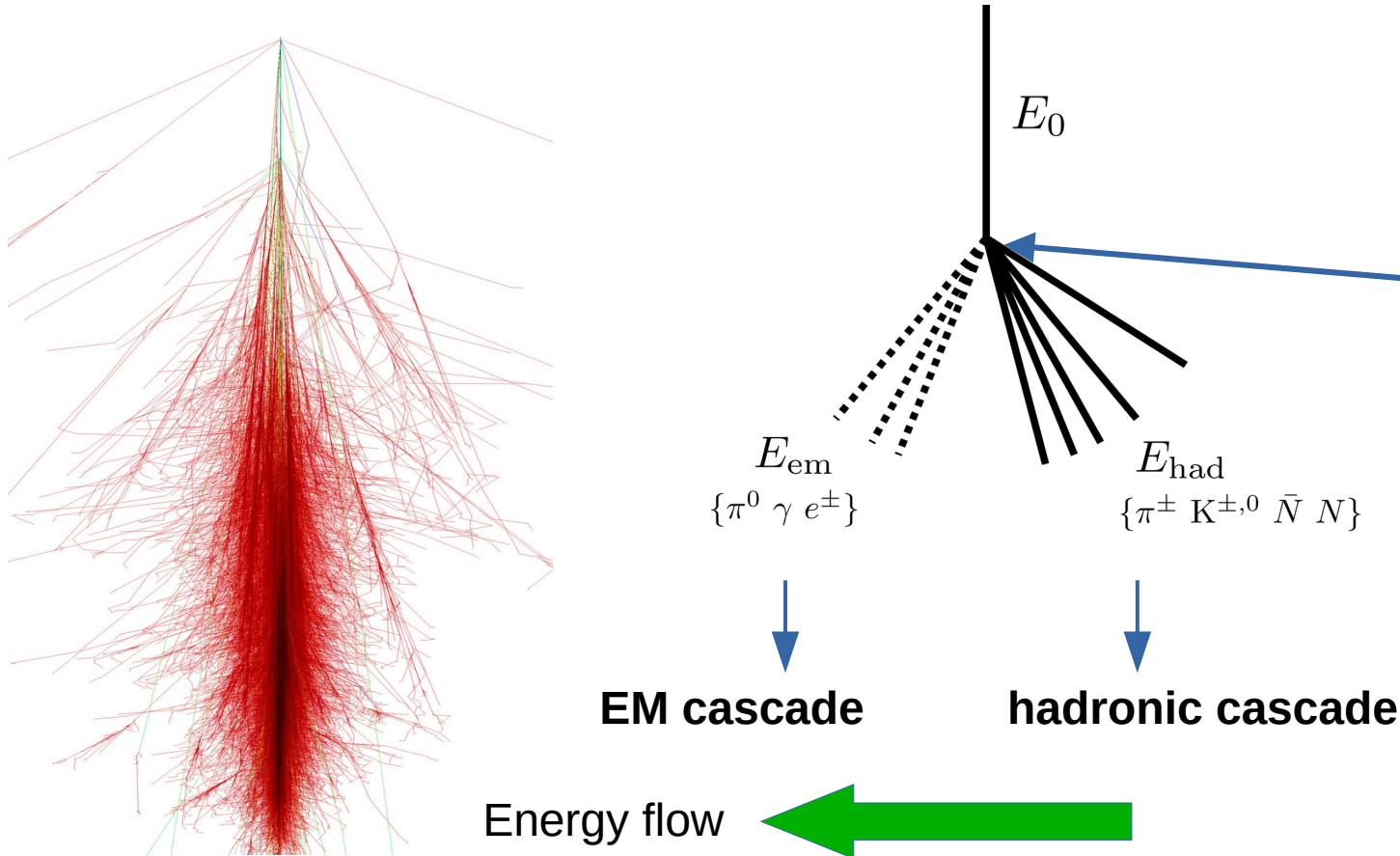
$$c\tau_{\pi^\pm} = 7.8 \text{ m}$$

$$c\tau_{\pi^0} = 25 \text{ nm } \pi^0 \rightarrow \gamma\gamma$$

2<sup>nd</sup> interactions ..



# Air shower development: energy flow



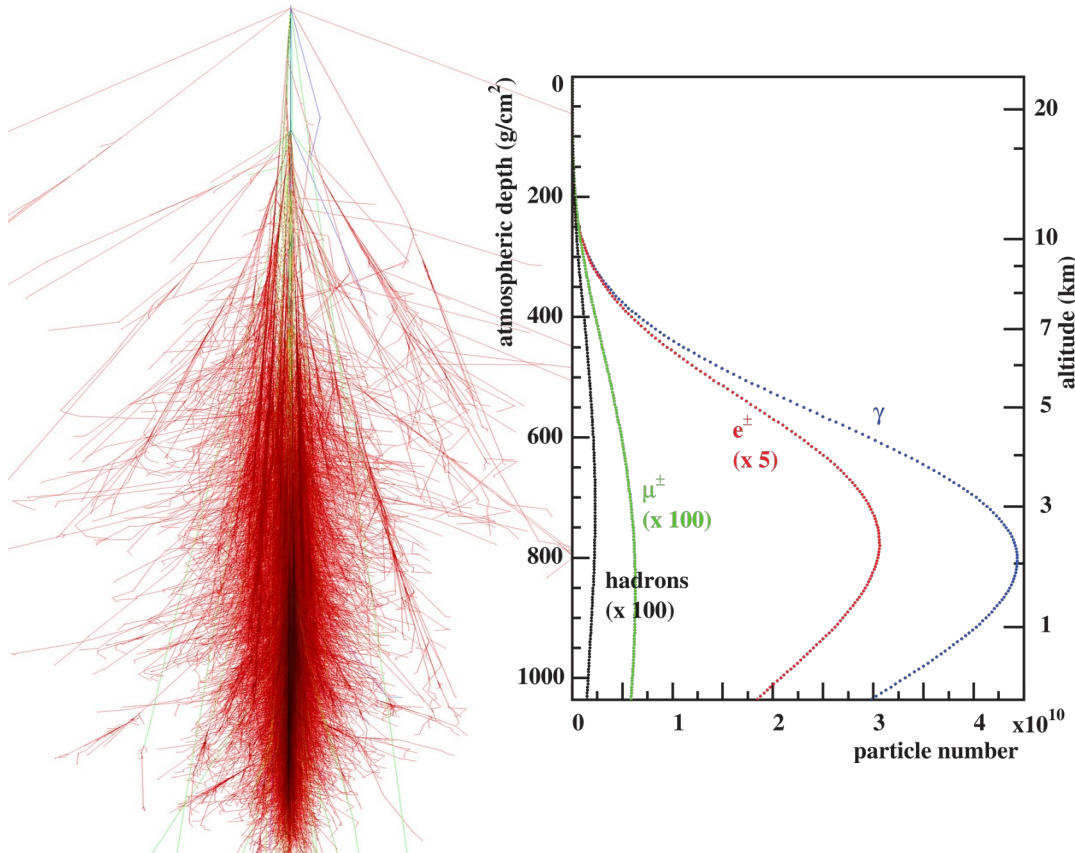
Only 80% energy remains in hadrons ..

After  $n$  steps:

$$E_n^{\text{had}} = 0.8^n E_0$$

$$E_n^{\text{EM}} = (1 - 0.8^n) \tilde{E}_0$$

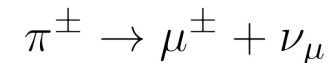
# Air shower development: observables



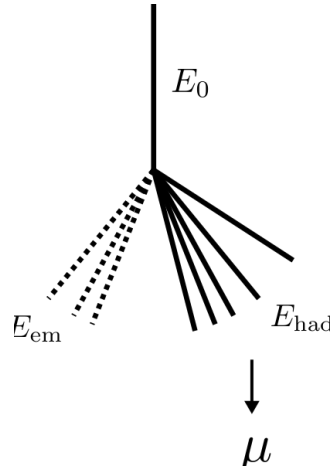
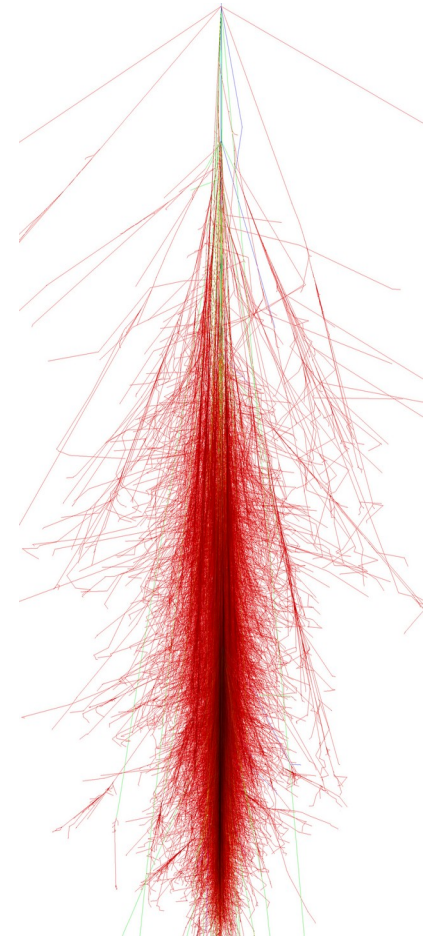
Shower profile & Xmax:  
EM cascade,  
first few hadronic interactions

$$E_n^{\text{EM}} = (1 - 0.8^n) E_0$$

muons: **Full** hadronic cascade  
muons produced at end



# How to get more muons: two scenarios



## 1) New physics scenario

**1<sup>st</sup> (UHE) interaction** modified, a process missing in interaction models

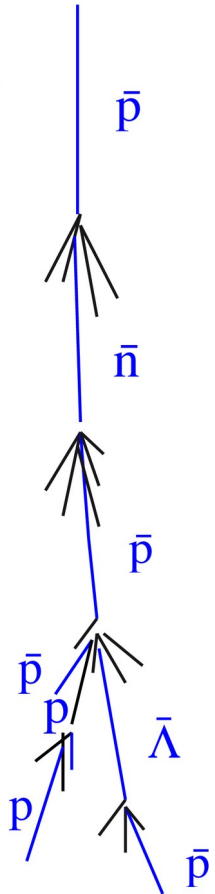
- exhibit Lorentz Invariance Violation?
- Chiral Symmetry Restoration
- severe enhancement of strangeness? (fireball, string-percolation)
- Higgspllosion
- ....

## 2) Standard physics scenario

**all hadronic interactions** modified by a small amount, interaction models essentially correct

- baryon production
- rho0 production
- enhanced strangeness (QGP)

# More muons: baryon, rho0 and strange production



Baryon number conservation !

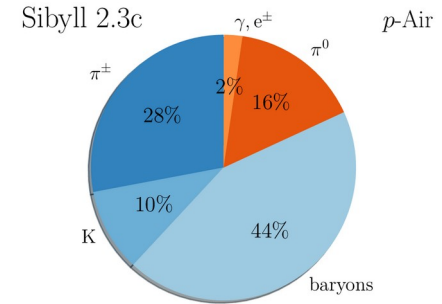
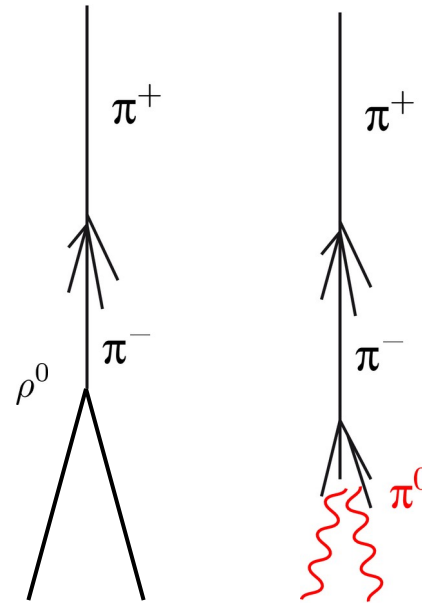
particle production until Sub-relativistic !

$$\pi^+ + p \rightarrow \text{leading} + X$$

leading :  $\pi$  (spin = 0) or  $\rho$  (spin = 1)

$$\rho^0 \rightarrow \pi^\pm$$

$$\pi^0 \rightarrow 2\gamma$$

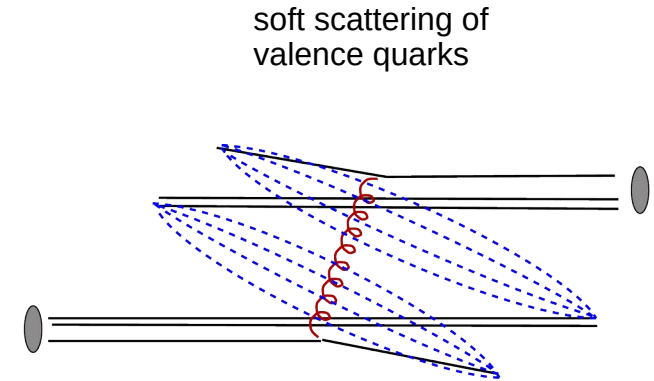
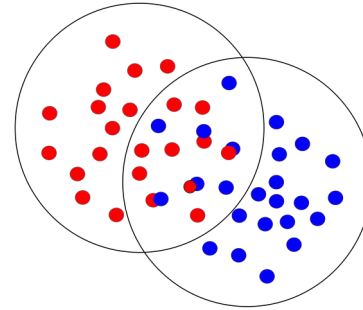


More Kaons  $\rightarrow$   
More Ehad

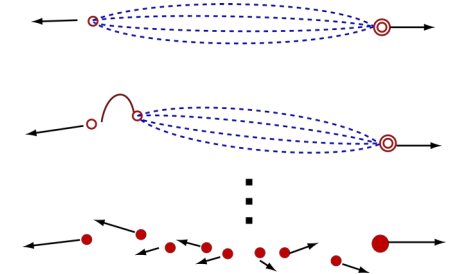
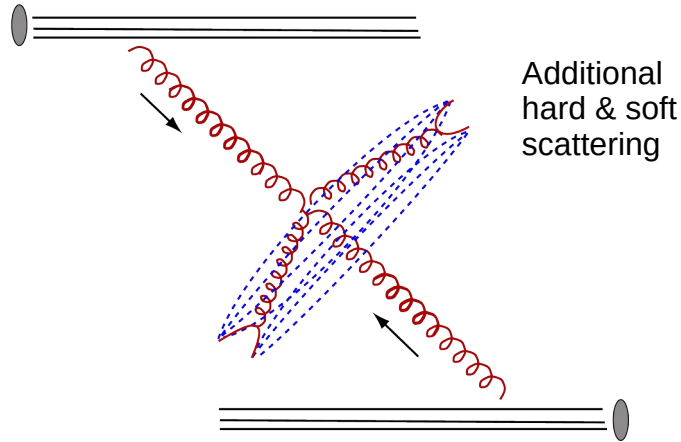
QCD  
conserves  
strangeness

# Interlude: hadron interactions in SIBYLL

- \* parton picture
- \* LO QCD jets  $\rightarrow$  minijets
- \* multiparticle interactions
- \* diffraction dissociation
- \* leading particles, associated production
- \* Lund string fragmentation



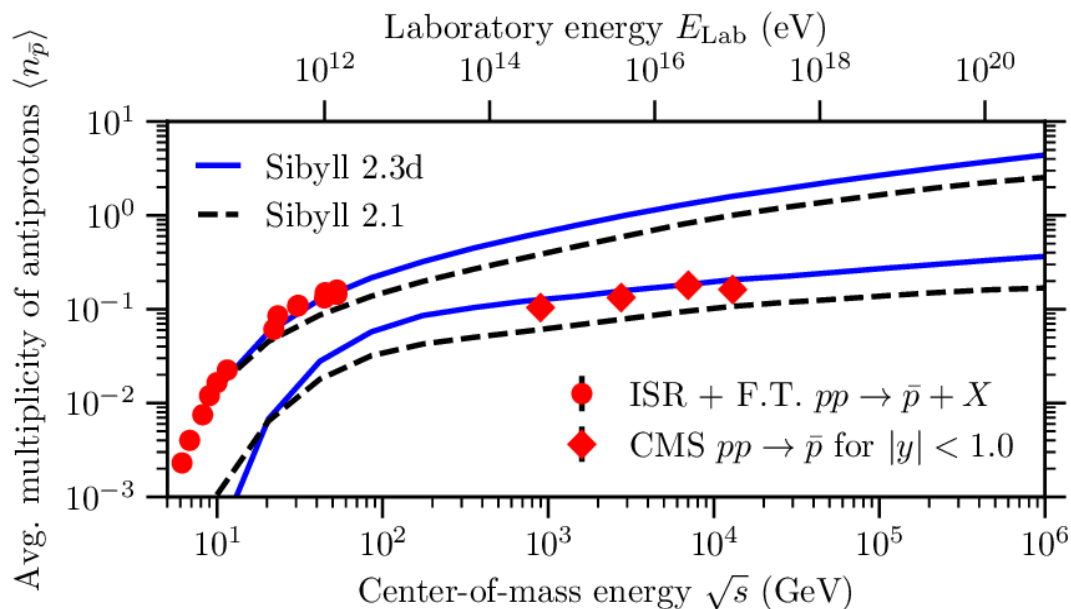
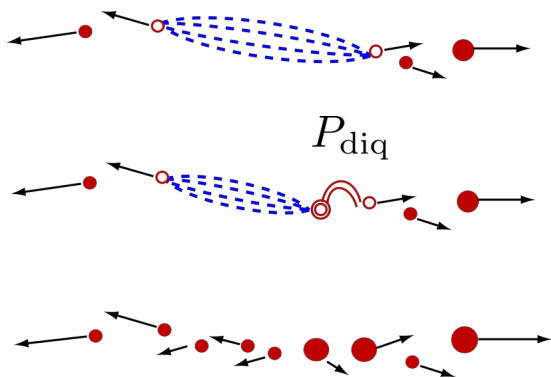
Model for:  
Pions, Kaons, Protons and  
Nuclei up to 1 PeV CoM



(PRD 80 (2009) 094003,  
PRD 102 (2020) 6, 063002)



# Baryon production in SIBYLL

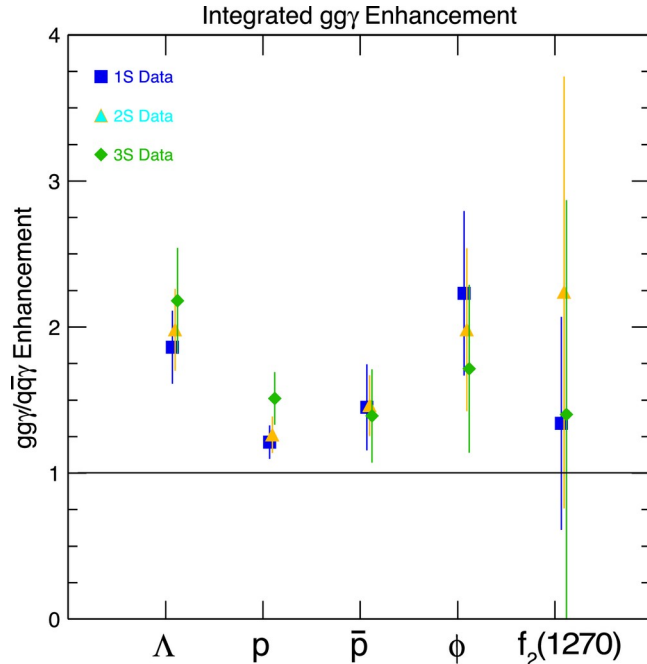


Sibyll 2.1 (from TeVatron times)

Fixed rate of baryon (diq) production

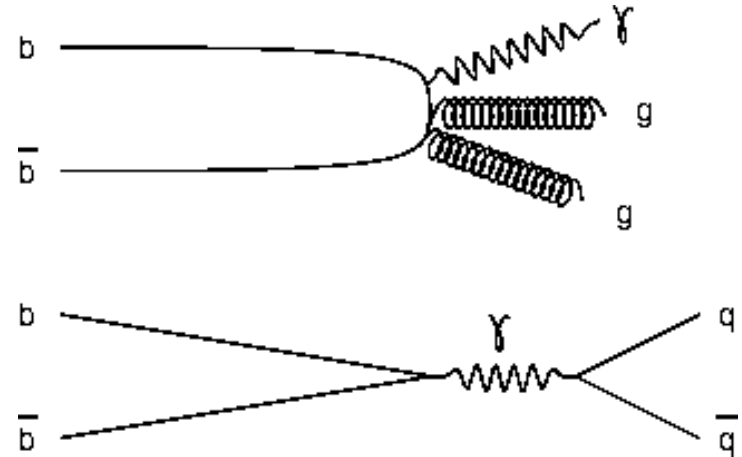
# Baryon production not universal ?

( CLEO collab. R.Briere et al, Phys.Rev.D76,2007 )



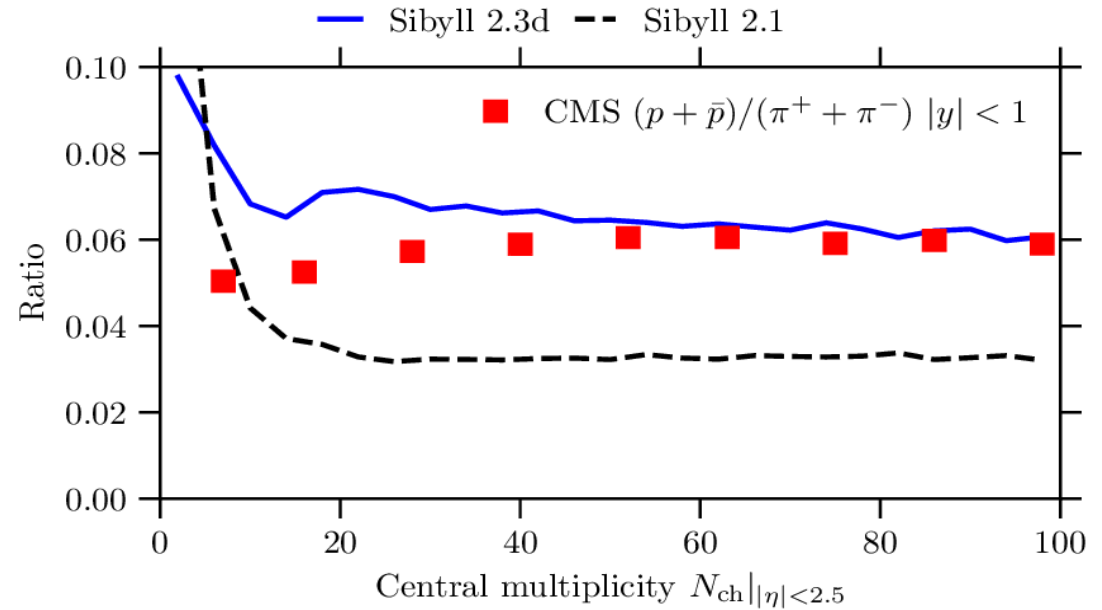
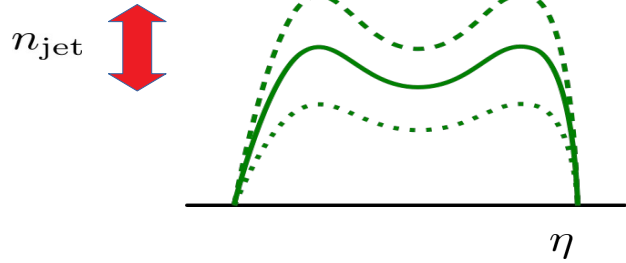
$P_{dij}$  depends on gluon density?

Decay of  $Y(9460)$  resonance



Compare with off-resonance scattering

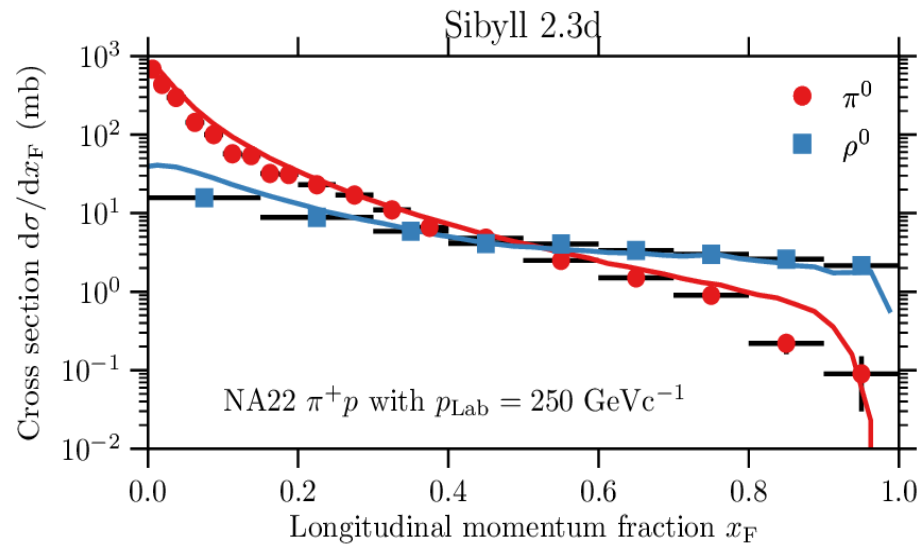
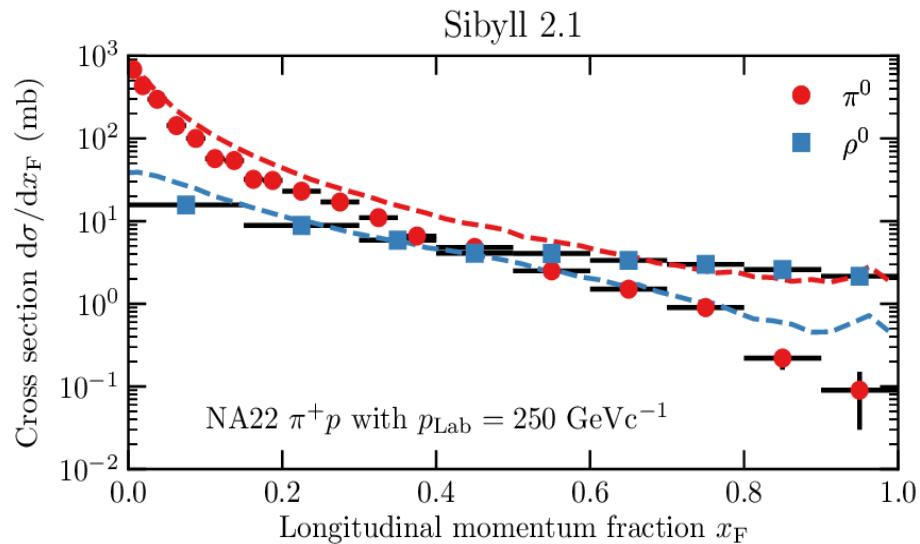
# Baryon production constant in rapidity



# Leading rho0

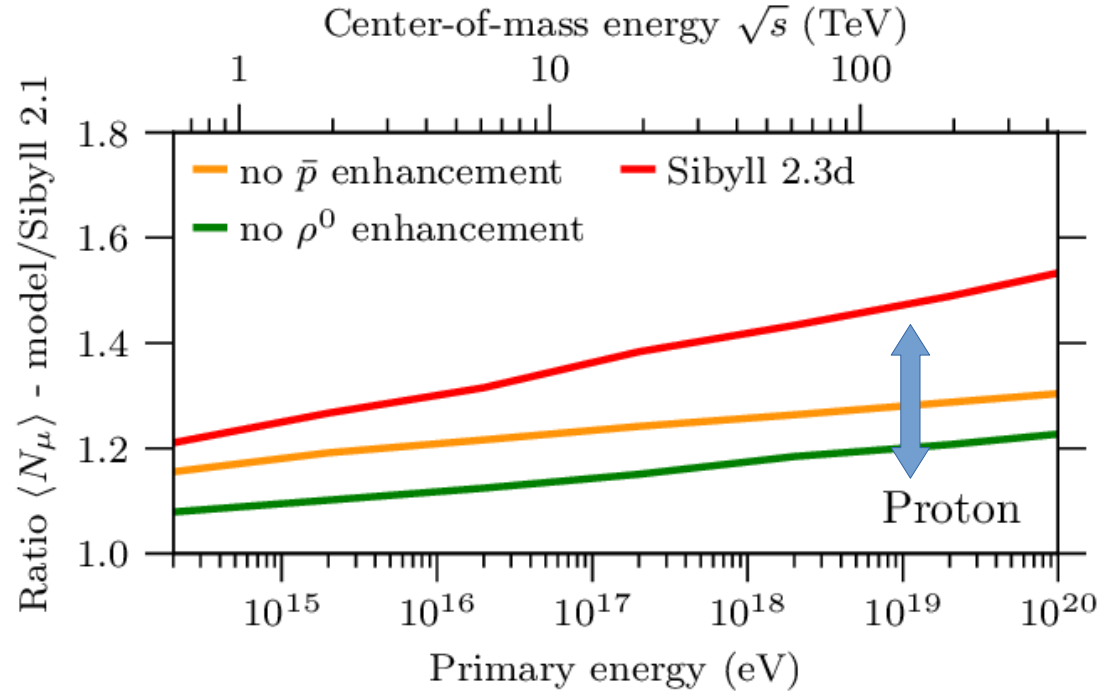
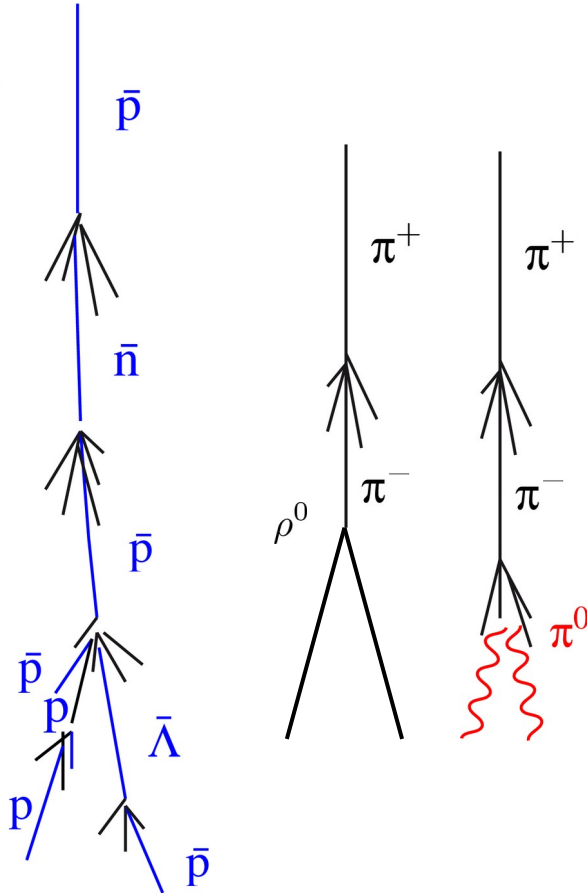
$\pi^+ + p \rightarrow \text{leading} + X$

leading :  $\pi, \rho$



$$P_{\pi:\rho} = 1/3$$

# Effect on muons in EAS



~40% increase

# Muon discrepancy in Sibyll

30% enhancement in number of muons from 2.1 → 2.3d

Achieved through:

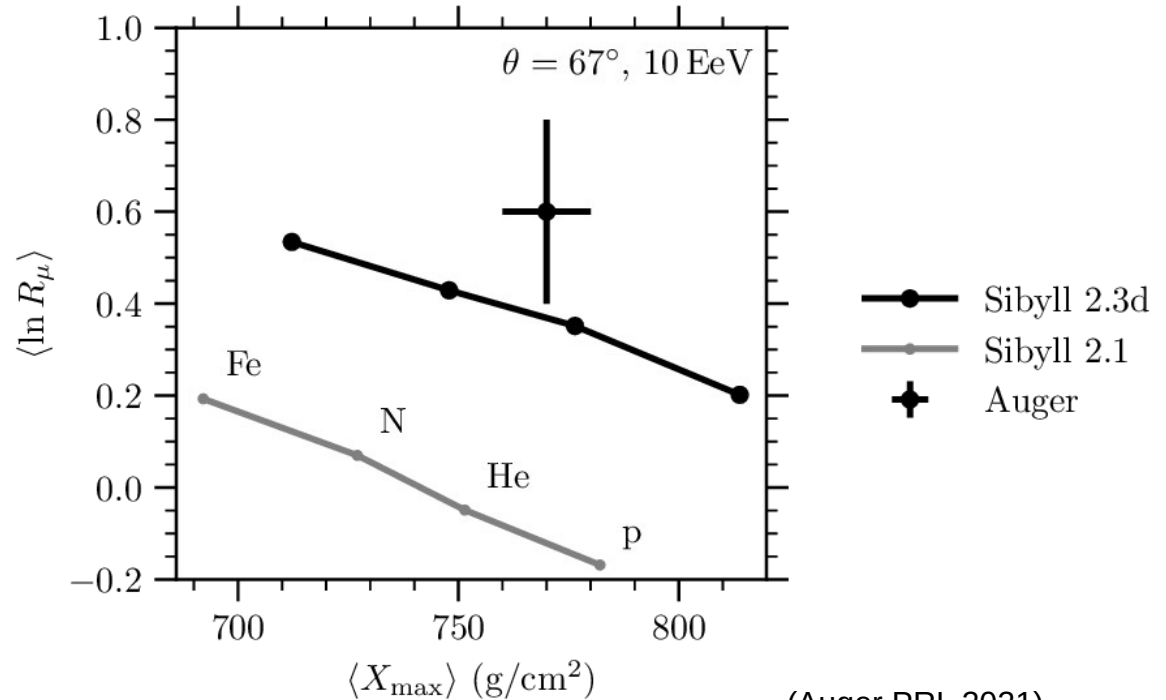
- baryon production
- Forward Rho meson production

Data driven (LHC, NA22/NA61) !

**NOT ENOUGH MUONS !**

Is there more room within standard physics ?

→ **Sibyll\***



(Auger PRL 2021)

In addition, **ML** analyses require detailed simulations that are consistent with data



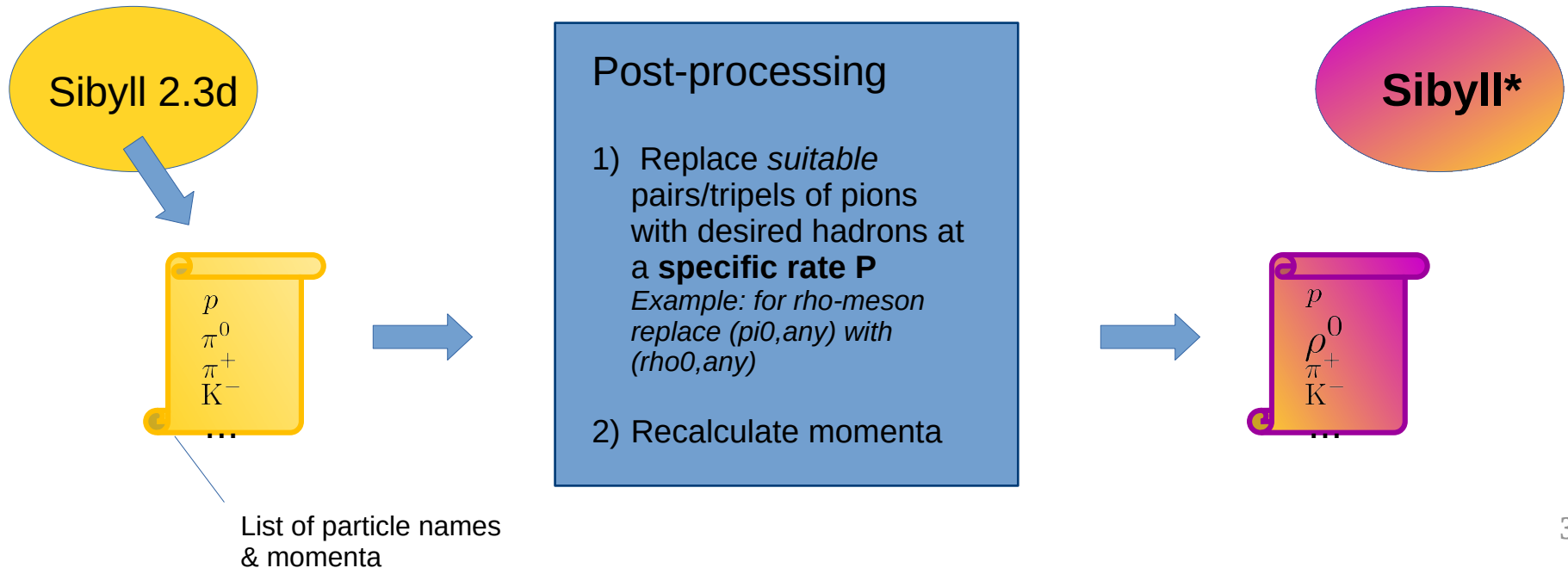
# Sibyll\*

We want:

- \* test different scenarios
- \* simple adjustable parameters
- \* physically consistent events  
(energy/momentum + Q,B,S conservation)



Therefore leave Sibyll unmodified,  
but alter final state.



# Energy- and phasespace dependent modifications

Start from Sibyll 2.3d and only change events **outside** of phasespace covered by accelerator experiments

$$P_i = P_{i,0} \cdot |x_F|^{\epsilon_i} \cdot f(\sqrt{s}, E_{\text{thr}})$$

Base rate

Longitudinal phasespace dependence

Epsilon  $\rightarrow$  1 max.  
change in forward  
phasespace

Epsilon  $\rightarrow$  0 no change

Energy dependence

- \* linear in  $\log(s)$
- \* zero at thresh.
- \* unity at  $10^{19}\text{eV}$

# Four variants

We test 4 scenarios:

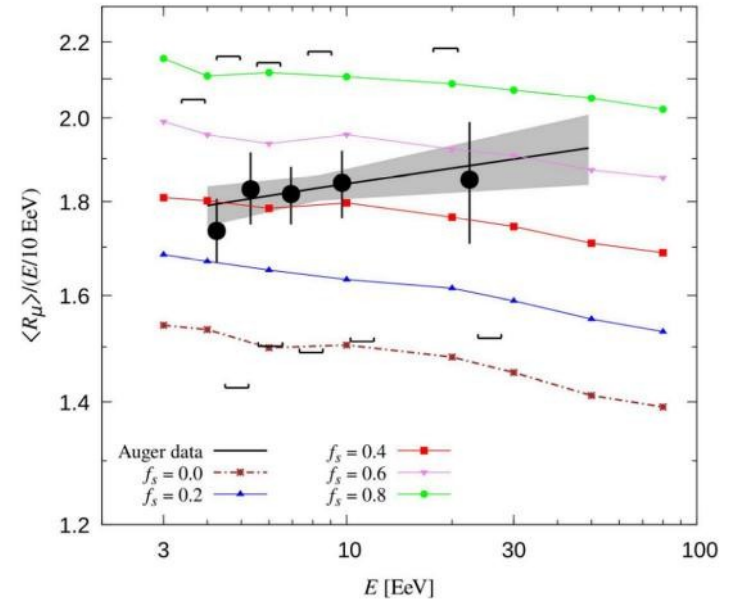
- $S^\star(\bar{p})$
- ....  $S^\star(\rho^0)$
- .-.  $S^\star(K^{\pm,0})$
- $S^\star(\text{mix})$

Sibyll 2.3d

$\bar{p} + \rho^0$

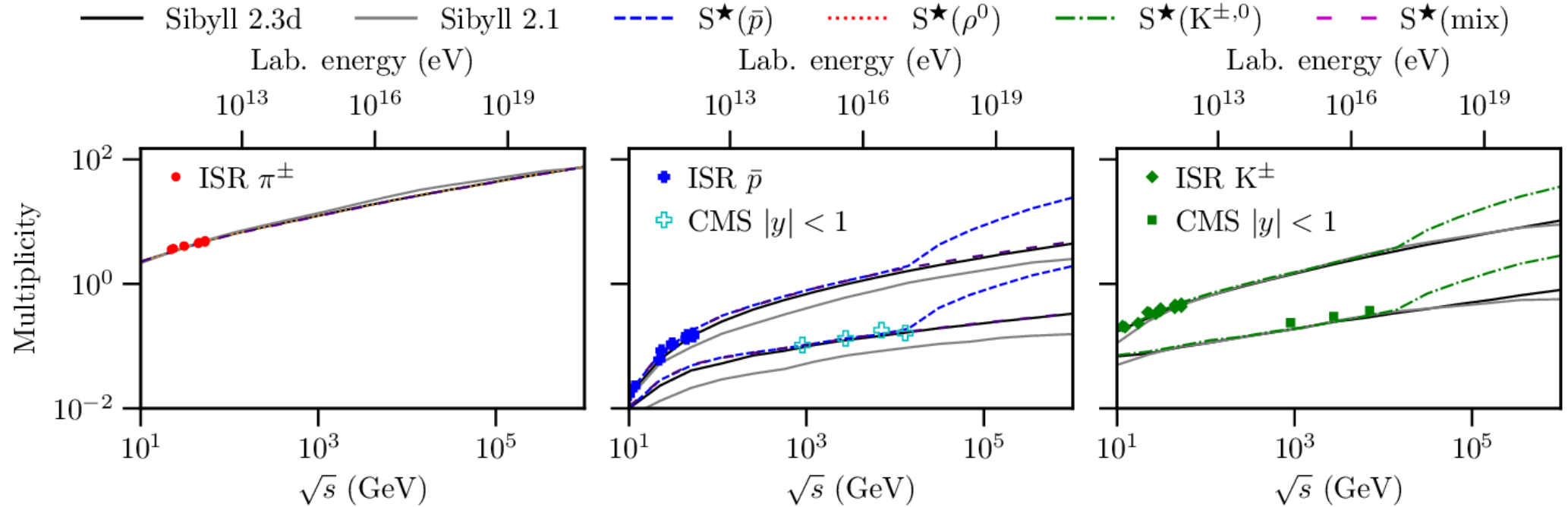
Enhancements fine-tuned

Kaon/strangeness enhancement



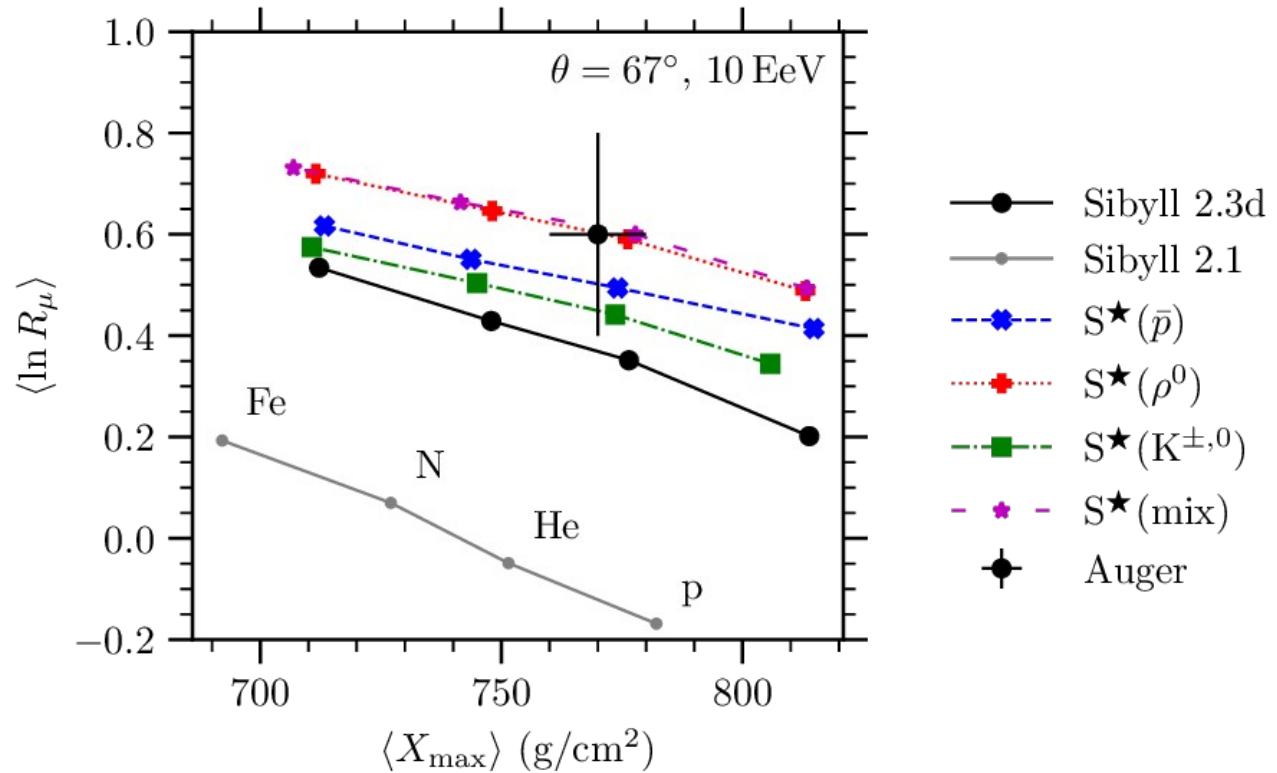
(Anchordoqui et al. 2017,  
Manshanden 2022,  
Sciutto et al. 2022,  
Baur et al. 2023)

# Sibyll\* variants in proton-proton



# Sibyll\* vs Auger inclined

(Astropart.Phys. 160 (2024) 102964)



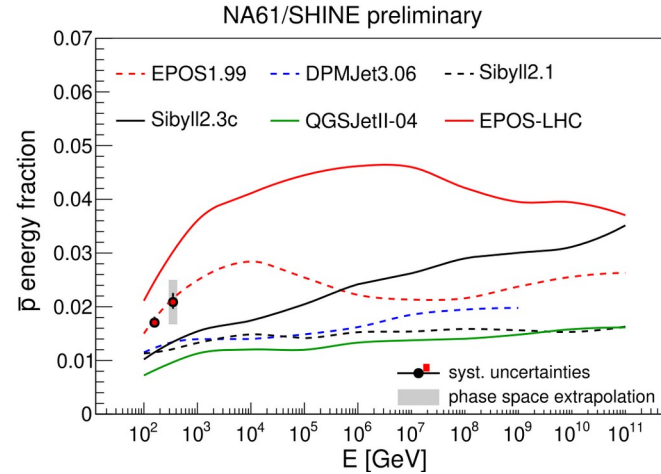
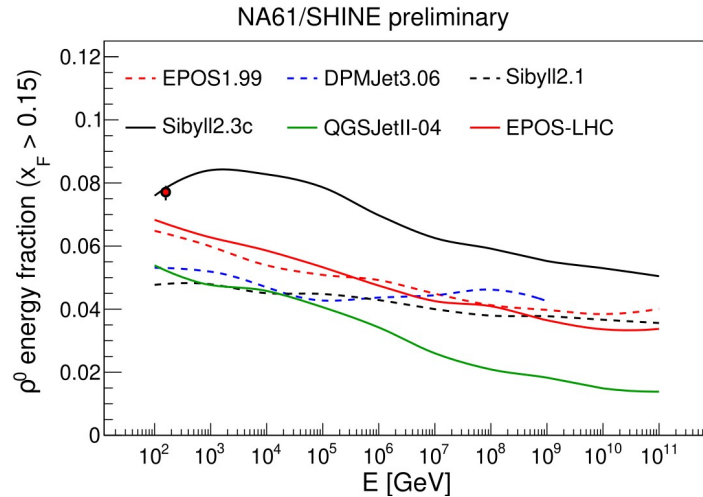
**YES!**

Finally  
sufficient  
muons

Preliminary studies  
suggest Sibyll\* also  
works for machine  
learning

# More measurements needed

$\pi^0$

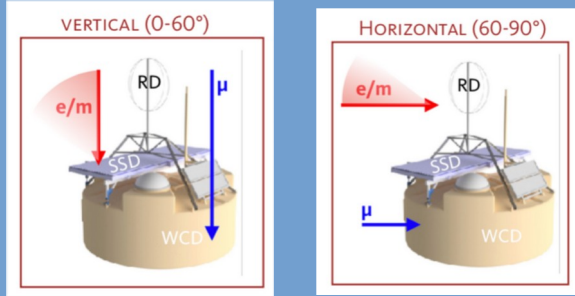


- \* baryon / rho0 production still uncertain !  
solely based on NA49-p-p and p-C, NA22-pi-p and NA61-pi-C at  $\sim 20$  GeV
- \* LHCb –  $p^0$  measurements at 110 GeV (SMOG)  
and 9 TeV much desired to constrain baryon production.
- \* precision needed (in exp. data but **mostly** in model tuning)



# Dreaming of the future

AugerPrime!

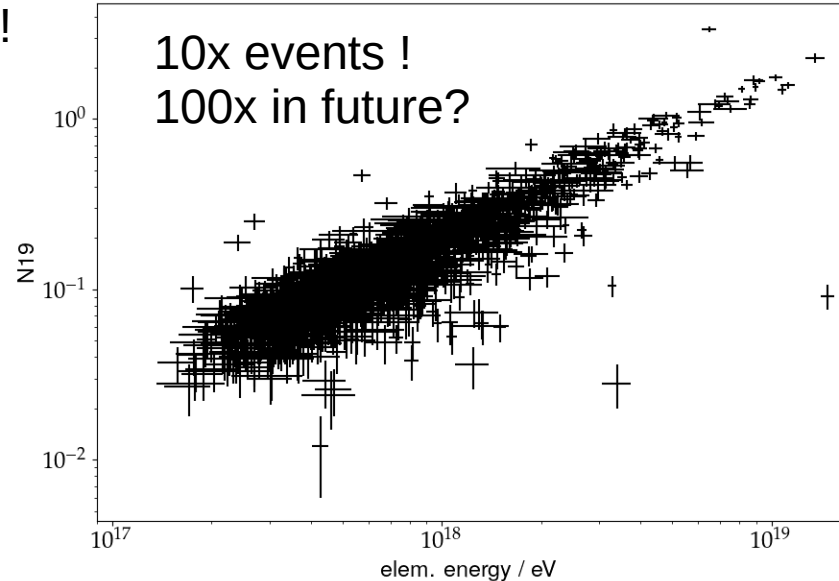
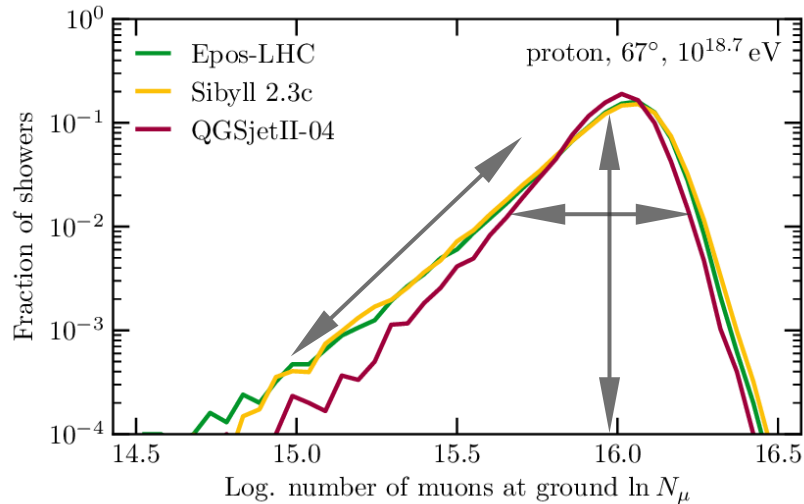


More observables!

More exposure! (Radio duty cycle  $\rightarrow$   $\sim$ 100%)

Better reconstructions (after muon puzzle)

Interaction physics is in the fluctuations !



# Summary

- \* air showers sensitive to hadronic interactions beyond LHC range
- \* muon puzzle
  - linked to details of hadronic interactions, unlikely new physics at UHE
  - introduces large/unknown systematic all terrestrial astroparticle experiments
- \* inclusive muon flux probes charm production in nuclear interactions
  
- \* LHCb best detector to help resolve muon puzzle through pO measurements
- \* AugerPrime will enhance sensitivity to UHE hadronic interactions
- \* after muon puzzle is resolved → probe 100TeV center-of-mass interactions



# Inclusive atmospheric flux

$$\Phi_{\mu} = \int \text{[Graph]} \times \text{[Diagram]} dE$$

The graph shows the scaled flux of muons as a function of energy. The y-axis is 'Scaled flux: E<sup>2.7</sup> J(E) (m<sup>2</sup> s<sup>-1</sup> sr<sup>-1</sup> eV<sup>-1.7</sup>)' on a log scale from 10<sup>13</sup> to 10<sup>19</sup>. The x-axis is 'Energy (eV/particle)' on a log scale from 10<sup>13</sup> to 10<sup>21</sup>. Data points from various experiments are shown: ATIC, PROTON, RUNJOB, KASCADE (SIBYLL 2.1), KASCADE-Grande, Tibet AS<sub>γ</sub> (SIBYLL 2.1), IceTop, Telescope Array, and Pierre Auger Obs. Theoretical curves for SIBYLL 2.1 and SIBYLL 2.1+ are also plotted. Above the graph, 'Equivalent c.m. energy √s<sub>pp</sub> (GeV)' is indicated for various colliders: HERA (14 p-p), RHIC (200 p-p), Tevatron (960 p-p), 7 TeV LHC (p-p), 13 TeV LHC (p-p), and 100 TeV FCC (p-p). The diagram to the right shows a shower of particles, with a dense red core representing muons and other particles branching out as they travel.

Muons in single EAS:  $N_{\mu} \sim E$ , mostly GeV-muons, at  $10^{19}$  eV  $N_{\mu} \sim O(100M)$

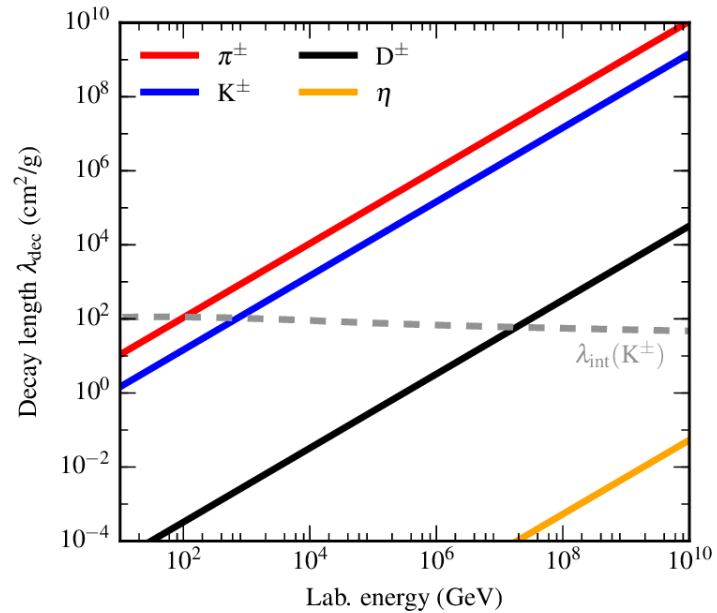
**But**

CR spectrum:  $\sim E^{-2.7..3}$

→ inclusive flux of muons (neutrinos) is dominated by first interaction!

→ flux of PeV muons (IceCube) →  $\sim 10$  PeV CR interactions == LHC energies

# PeV muons



..are decay product of...

charmed hadrons !

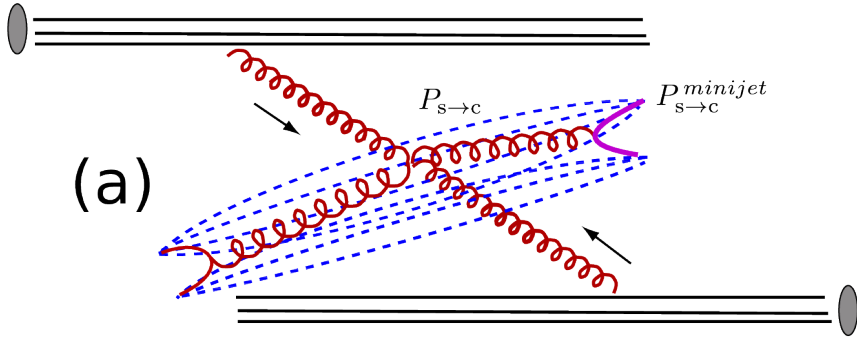
PeV Kaons and Pions all interact !



# Charm production in SIBYLL

Purely phenomenological!

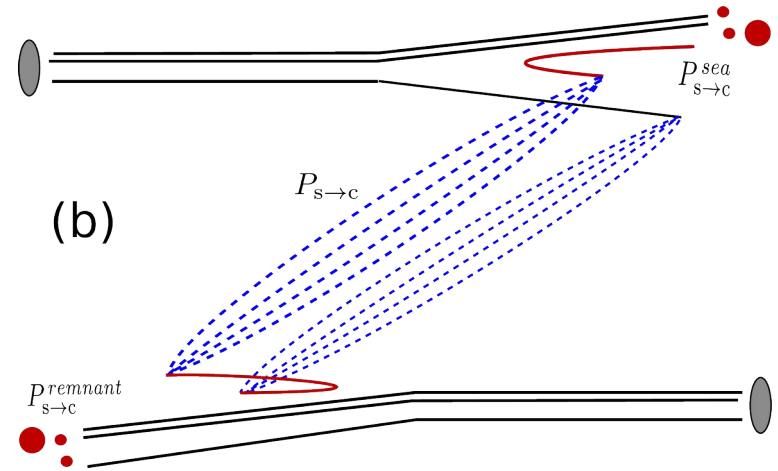
$$m_c \approx 2 \text{ GeV} \rightarrow \text{pQCD} \rightarrow \text{minijets}$$



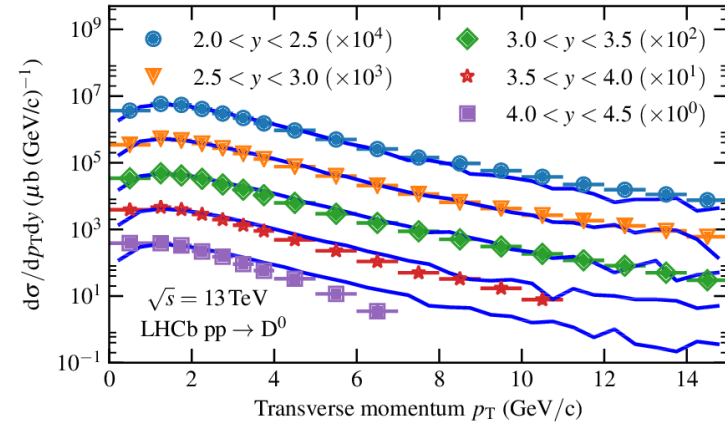
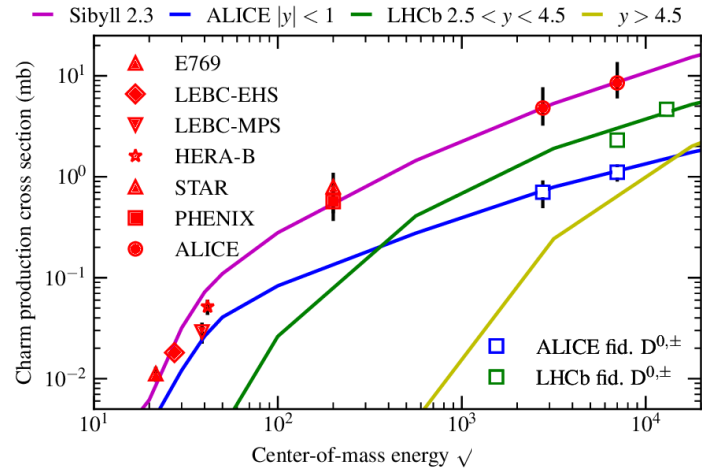
leading, soft charm  
intrinsic

Mechanism:  
Replace strange  $\rightarrow$  charm

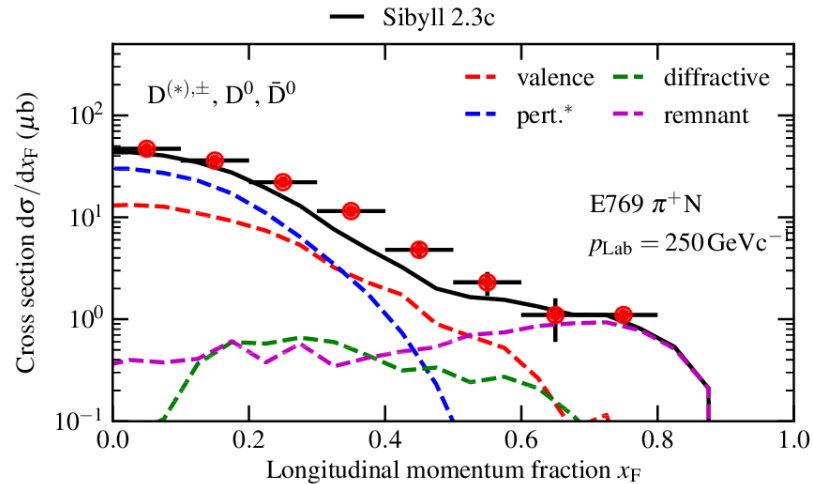
$$P_{s \rightarrow c}$$



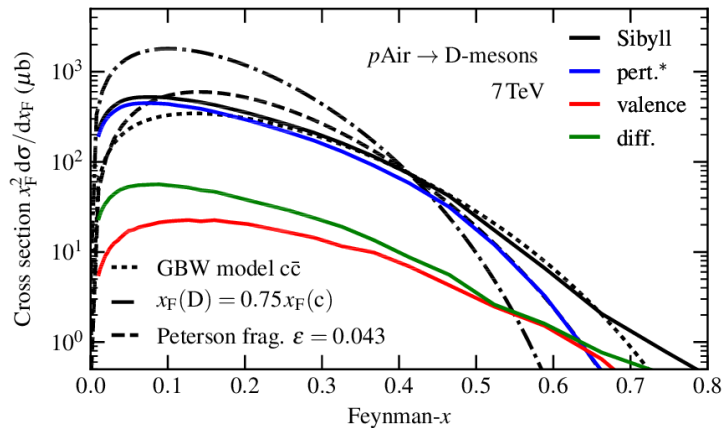
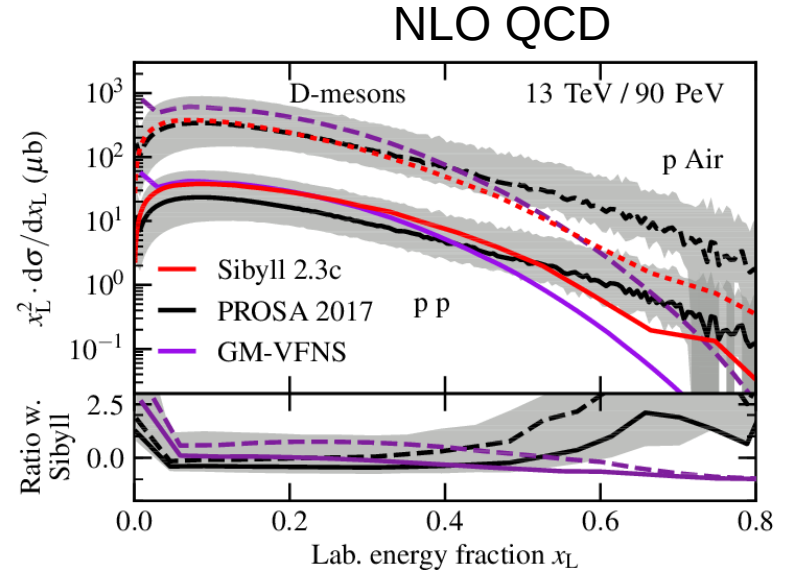
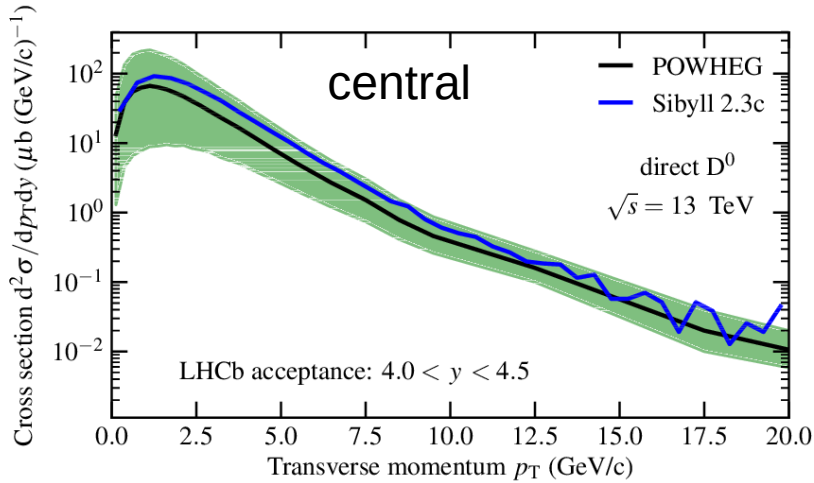
# Charm tuning



parameter	value
perturbative	
$P_{s \rightarrow c}^{\text{minijet}}$	0.08
non-perturbative	
$P_{s \rightarrow c}^{\text{soft}}$	0.004
$P_{s \rightarrow c}^{\text{sea}}$	0.002
$P_{s \rightarrow c}^{\text{remnant}}$	0.0
$P_{s \rightarrow c}^{\text{string}}$	0.004

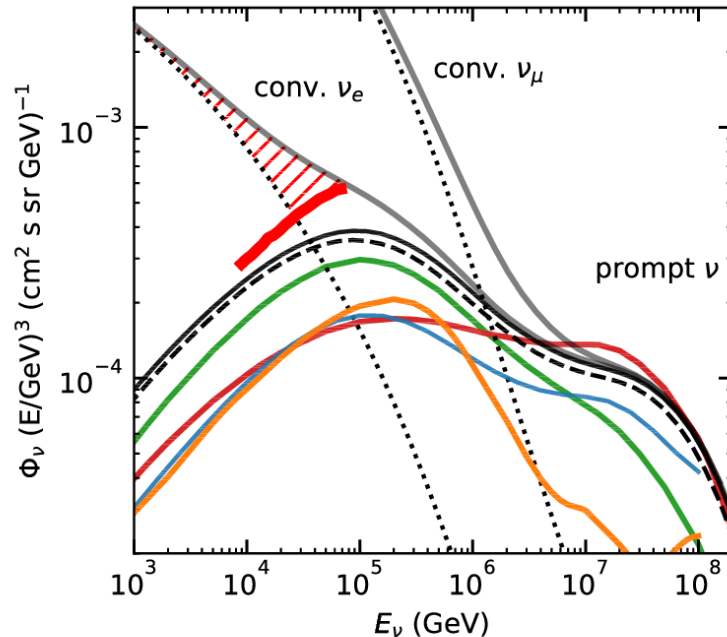


# SIBYLL vs. the rest



(with M.V. Garzelli)

# Atmospheric lepton fluxes



(MCEq,  
reference)

\* Rich variety of model predictions

Again:

Input from LHCb crucial for transition

$pp \rightarrow pO$

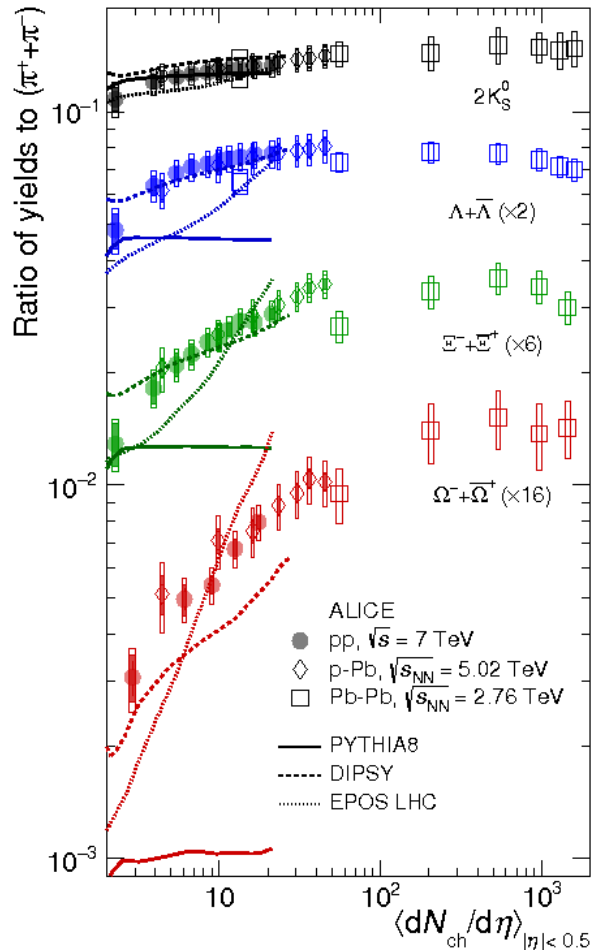
Charm prod == pQCD

Nuclear modification of PDFs ?

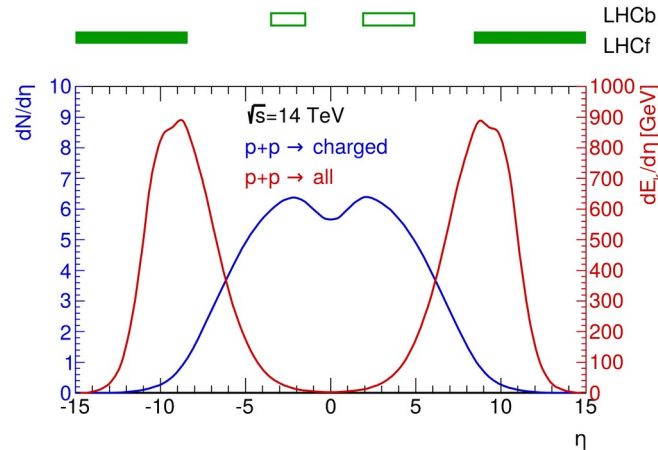
→ large effect at large xF



# More measurements: strangeness enhancement

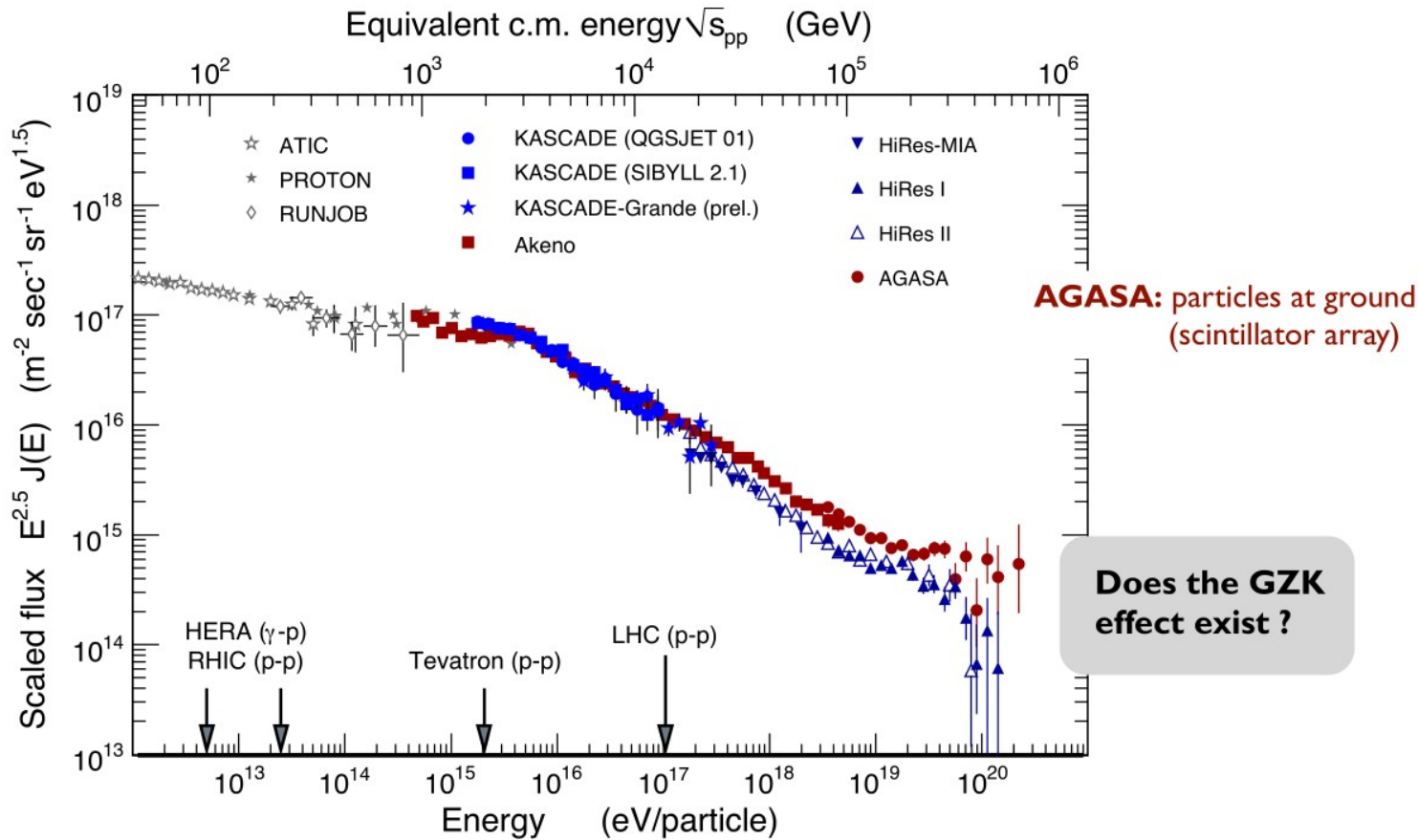


- \* already in pp enhancement of strange production
- \* same behavior as pPb and PbPb
  - large parton density ?
- \* confirmation from LHCb at large(r) xF?
- \* confirmation in pO? At lower energy?





# UHECRs before the Pierre Auger Observatory



**AGASA:** particles at ground  
(scintillator array)

Does the GZK  
effect exist ?

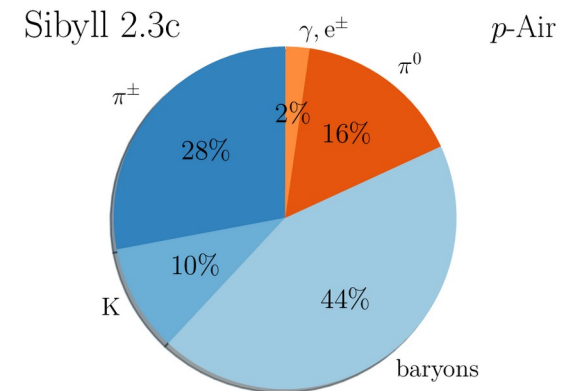
HiRes Fly's Eye: longitudinal shower profile  
(fluorescence telescopes)

# Distinguish: standard/exotic

Fluctuations!

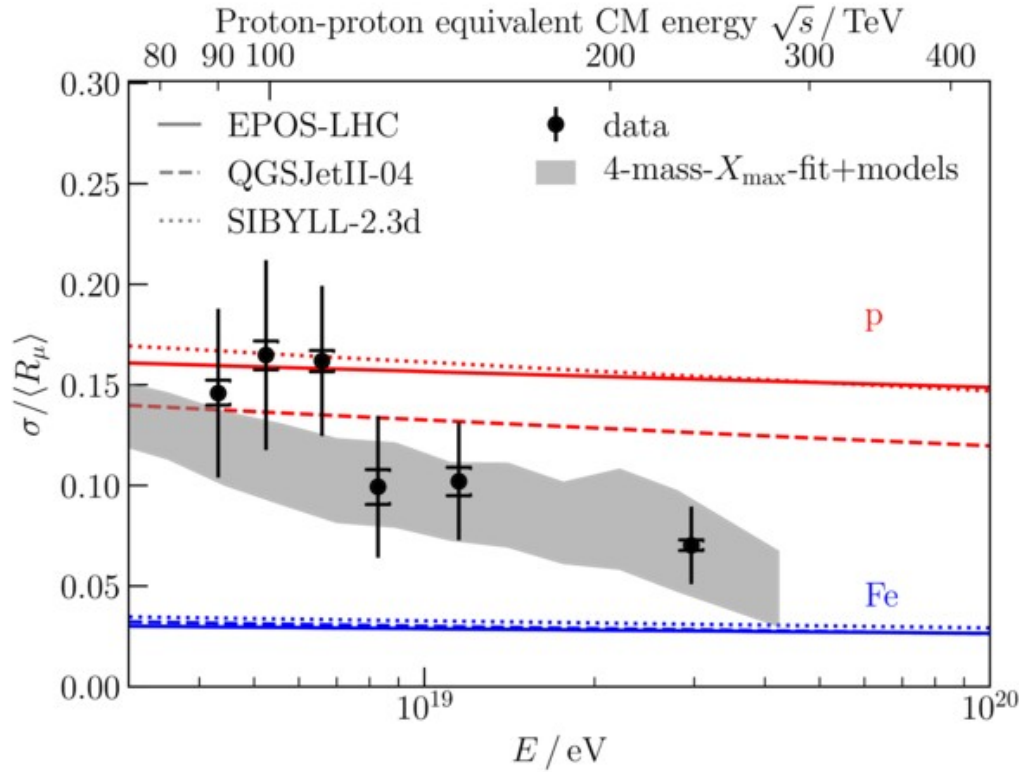


Energypartition



*“fluctuations are dominated by the 1<sup>st</sup> interaction”*

# Muon fluctuations



**4-mass-Xmax-fit:**

**Ansatz:** 4 different primaries

**Take:** Xmax measurements, Sibyll model

Example at  $10^{19}$  eV:

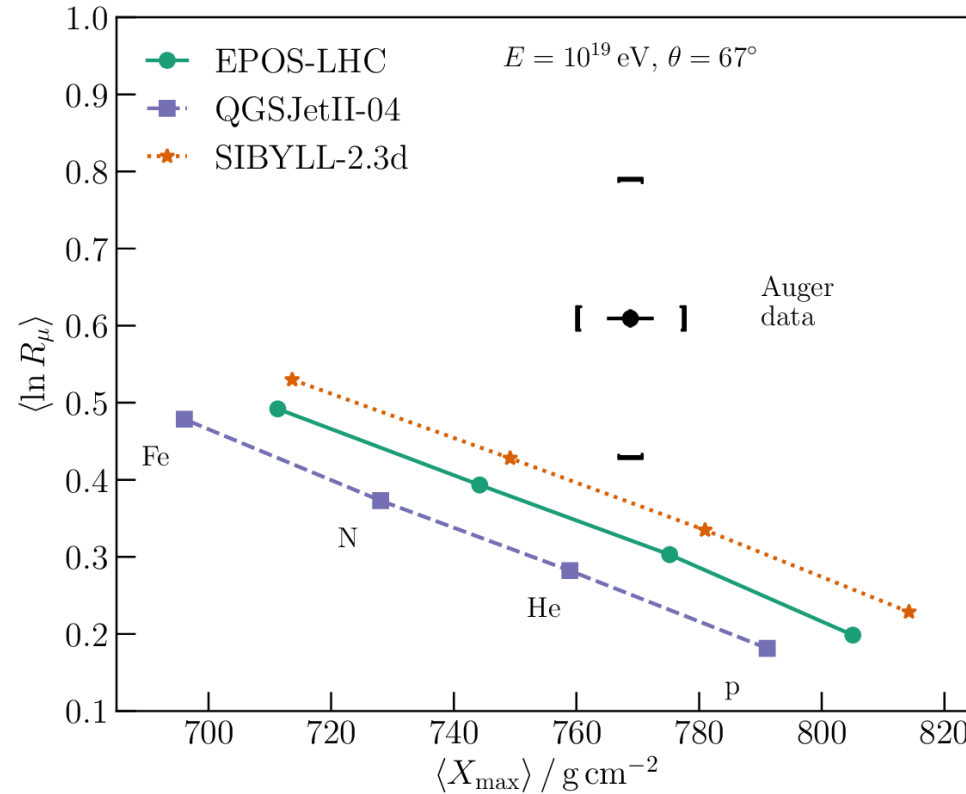
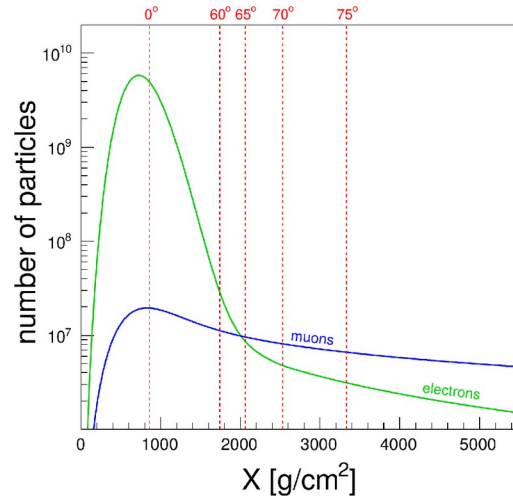
0% iron  
 60% nitrogen  
 37% helium  
 3% proton

→ fluctuations consistent !

# Measurement of muon content

(H. Dembinski, Auger 2015)

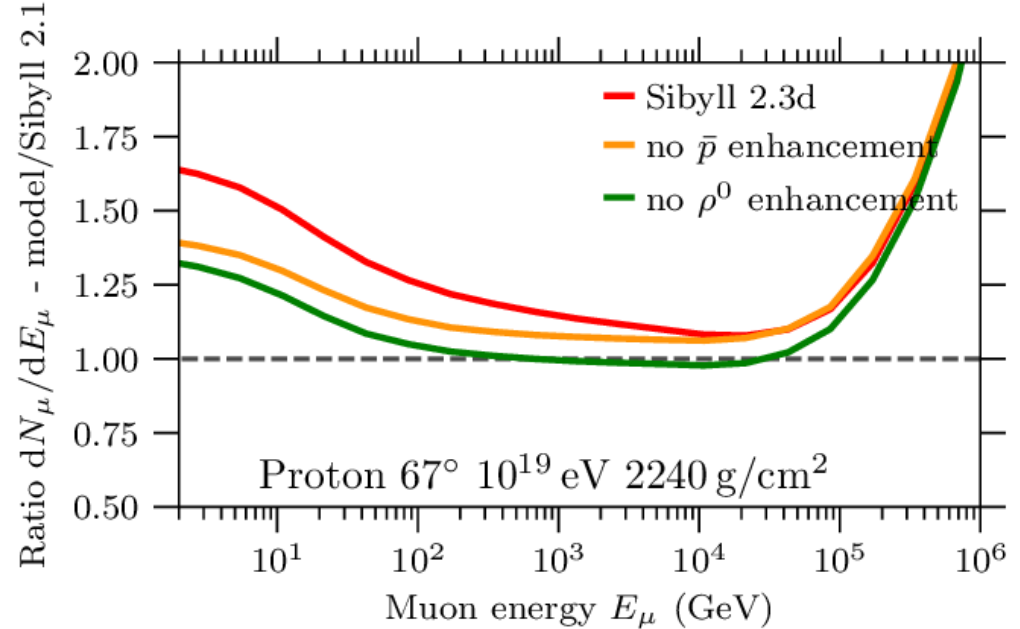
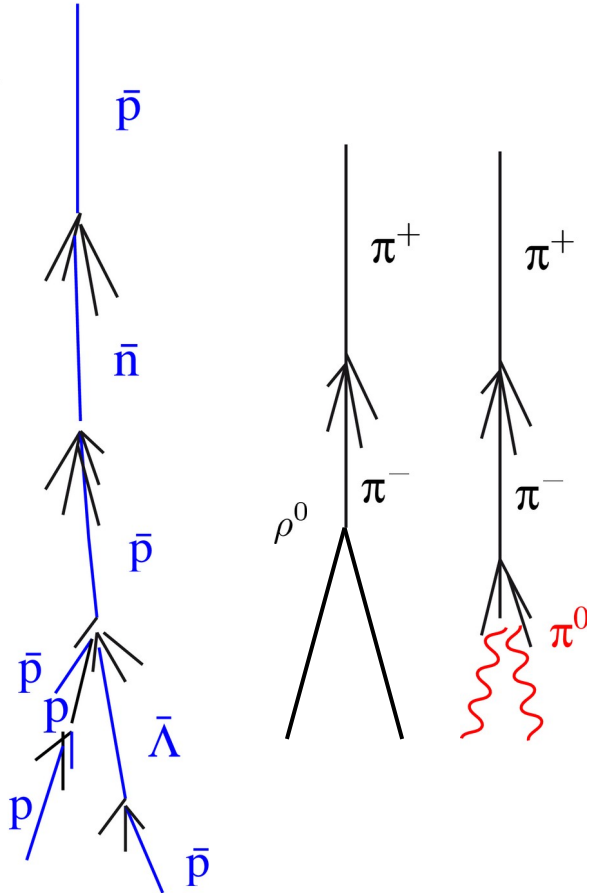
Hybrid (energy + Xmax)  
+  
inclined (surface signal → muons)



Seen in many experiments !  
see review by Dembinski et al. (Astrophys. Space. Sci. 367, 27 (2022))

**Muon puzzle!**

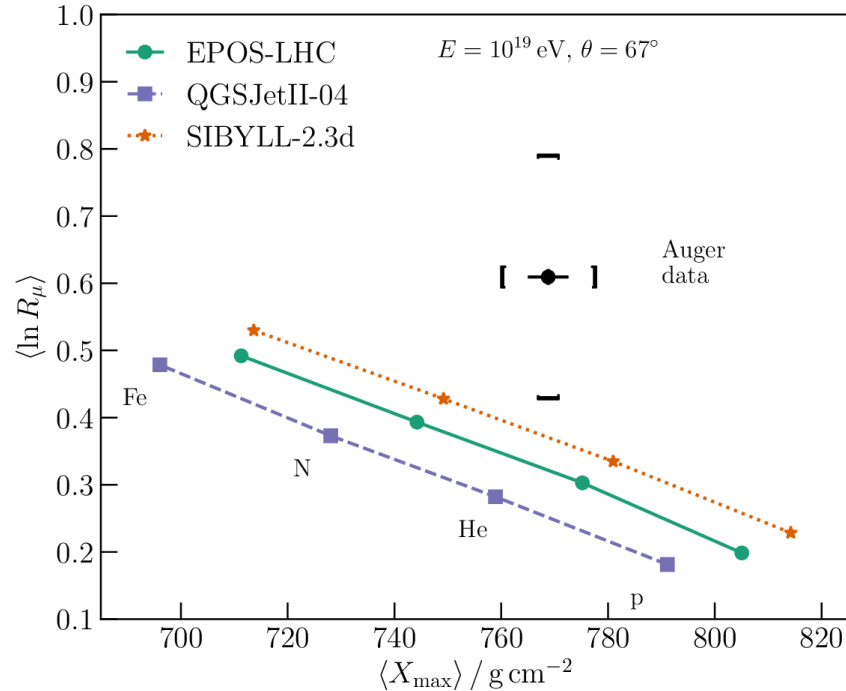
# Muon energy spectrum



# Measurement of muon content

## 2) Standard physics scenario

- baryon production ✓
- rho0 production ✓
- enhanced strangeness (QGP) ?



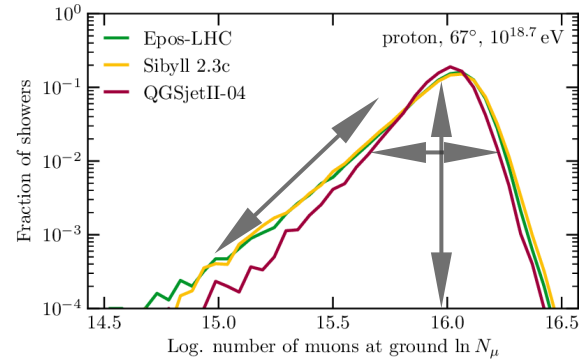
## Muon puzzle!

*“ $X_{\max}$ - and muon-based observables of extensive air showers when compared with simulations give inconsistent interpretations for the cosmic ray mass”*

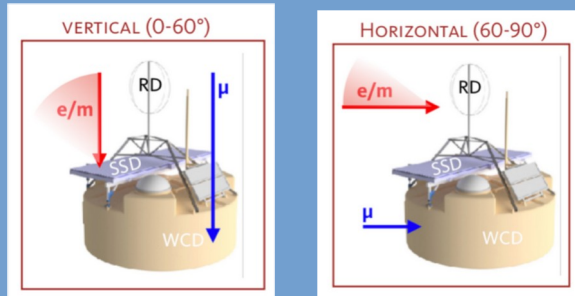


# More options for UHE interactions

- \* Fluctuations! → muon distribution
- tail ~ pion spectrum at large-xF



AugerPrime!



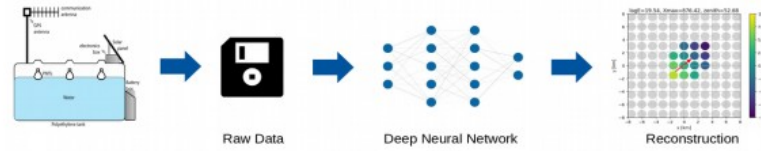
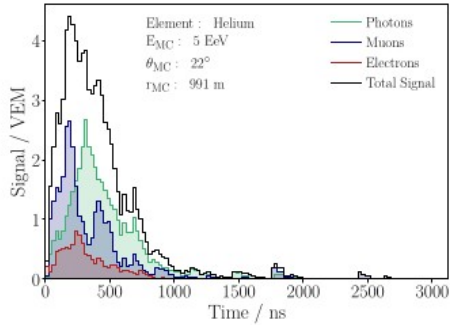
More observables!  
More exposure! (Radio duty cycle → ~100%)

- \* high energy muons ! → IceCube
- \* inclusive muon and neutrino flux!

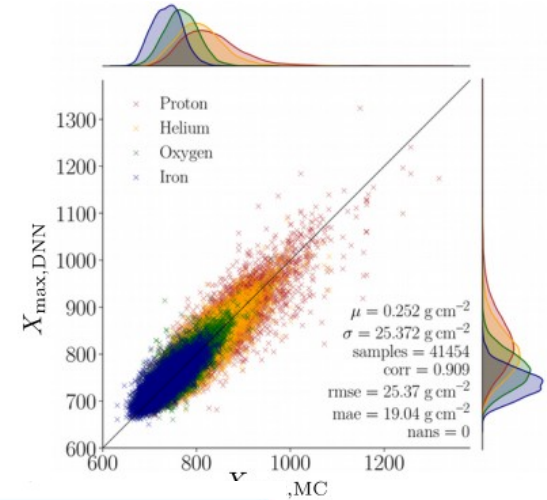
# Discrepancy is limiting factor in many applications

One example:

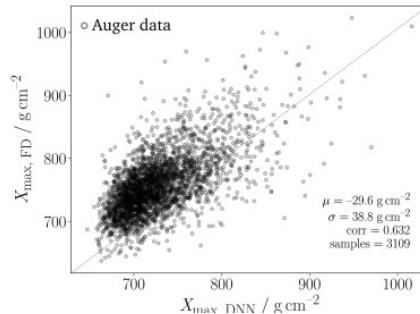
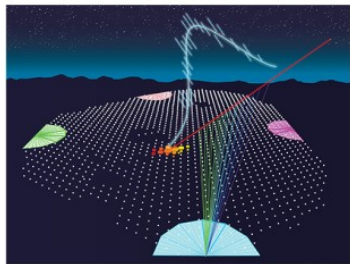
## Training of DNN with MC simulations



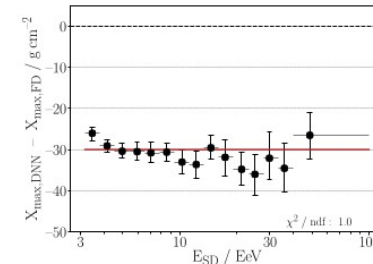
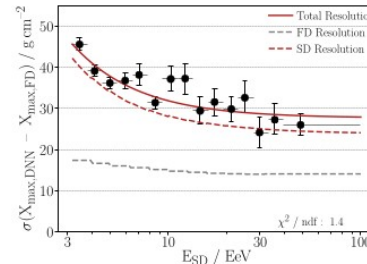
(Auger, JINST 16 (2021) 07, P07019)



## Reconstructing Xmax: ultimate check with data



Very good resolution, unexpected offset of  $\sim 30 \text{ g/cm}^2$

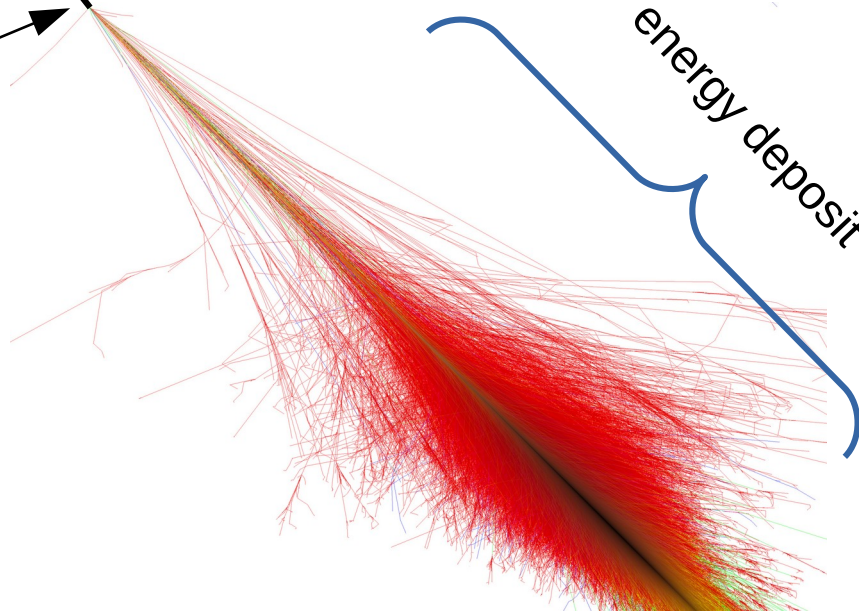


# Extensive air showers

Top of atmosphere

CR  
interaction

$\gamma, \nu, p, n, \text{Nuclei}$



particle density at (under)ground

Extensive air shower:

- \*  $\gamma, e^{\pm}$
- \*  $\mu^{\pm}$
- \* hadrons

