#### COSMIC RAY PHYSICS: DIFFUSION AND ACCELERATION

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#### **LECTURE I**

4<sup>th</sup> graduate school on Plasma-Astroparticle Physics

Jan.29 - Feb. 3, 2023 Bad Honnef

G. Morlino, Bad Honnef - Feb 2023

### OUTLINE

- The SNR-CR connection
  - Why SNRs?
  - Propagation in the Galaxy
- Diffusive motion

Motion of particles in a perturbed magnetic field

- From diffusion to energy gain
  - Second order Fermi acceleration

#### **THE SNR-CR CONNECTION**













#### The chemical composition of CRs



### **Propagation time of CRs**

Assuming that cosmic rays propagate simply gyrating along magnetic field lines, than:



All these time scales are extremely short compared with the residence time

#### $\rightarrow$ CRs have to diffuse in the Galaxy



The second argument supporting the diffusion scenario is the anisotropy of arrival direction of CR to the Earth

 $\rightarrow$  The location of sources is lost and cannot be identified measuring the arrival directions

In fact the anisotropy is very small

DEF

**DEFINITION** 
$$\delta \stackrel{\text{def}}{=} \frac{n(\Omega) - n(-\Omega)}{n(\Omega) + n(-\Omega)} \simeq 10^{-3}$$

**THEORETICAL**  
**DEFINITION** 
$$\delta \stackrel{\text{def}}{=} \frac{diffusive flux}{ballistic flux} = \frac{D\nabla n}{c/3n}$$



## Anisotropy



Local *D* assumed to be equal to the average Galactic D obtained from B/C (see later)

### **Origin of Galactic CRs**



Zwicky & Baade were the first to mention SNRs as sources of CRs (1934) but arguing <u>against</u> them because CRs where thought to be extragalactic

Vitali Lazarevich Ginzburg made the argument for SNRs as sources of galactic CR in the 60's in a more quantitative form.



### The SNR hypothesis

#### Why supernova remnant are so popular?

1) Enough power to sustain the CR flux (~10% of kinetic energy)

$$W_{CR} \sim \frac{U_{CR}V_{CR}}{\tau_{res}} \approx 10^{40} \frac{erg}{s} \Rightarrow \frac{W_{CR}}{W_{SN}} \approx 0.03 \div 0.3$$
$$W_{SN} \sim R_{SN} E_{SN} \approx 3 \cdot 10^{41} \frac{erg}{s}$$

2) Spatial distribution of SNRs compatible with CR distribution (inferred from diffuse gamma-ray emission)

- 3) Enough sources to explain anisotropy [SN rate ~ (1-3)/100 yr]
- 4) Observations show the presence of non thermal particles

5) A well developed theory for particle acceleration (DSA) predicting a power law spectrum

#### **Short hints on MHD**

### **Basic equations of MHD**

#### **Fluid equations**

Mass conservation Momentum conservation Entropy variation  $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \vec{v}) = 0 \qquad \frac{\partial \vec{v}}{\partial t} + \vec{v} \cdot \nabla \vec{v} = -\frac{\nabla P}{\rho} + \frac{\vec{j} \times \vec{B}}{\rho c} \qquad T \frac{D s}{D t} = \frac{j^2}{\rho \sigma}$ 

#### **Maxwell equations**

Electric field

$$\nabla \cdot \vec{E} = 4\pi\rho = 0; \quad \nabla \times \vec{E} = -\frac{1}{c} \frac{\partial B}{\partial t}$$

Magnetic field

$$\nabla \cdot \vec{B} = 0; \qquad \nabla \times \vec{B} = -\frac{4\pi}{c}\vec{j}$$

#### Limit of ideal MHD

$$\frac{\partial \vec{B}}{\partial t} = -c \nabla \times \vec{E} = -c \nabla \times \left[\frac{\vec{j}}{\sigma} - \frac{\vec{v} \times \vec{B}}{c}\right] = \frac{c^2}{4\pi\sigma} \nabla^2 \vec{B} + \nabla \times (\vec{v} \times \vec{B})$$

#### Timescale for magnetic dissipation

### Limit of ideal MHD

#### Fluid equations

#### Maxwell equations

Electric field

$$\nabla \cdot \vec{E} = 0; \qquad \frac{\partial \vec{B}}{\partial t} = \nabla \times (\vec{v} \times \vec{B})$$

Magnetic field

$$\nabla \cdot \vec{B} = 0; \qquad \nabla \times \vec{B} = -\frac{4\pi}{c}\vec{j}$$

#### Waves in ideal MHD

#### We apply the technique of small perturbations

1) Assume a small perturbation of a stationary system

$$\rho = \rho_0 + \delta \rho; \quad \vec{v} = \delta \vec{v}; \quad p = p_0 + \delta p; \quad \vec{B} = B_0 \hat{z} + \delta \vec{B}$$

2) Plug the perturbations in the ideal MHD equations and retain the first order terms

$$\begin{cases} \frac{\partial}{\partial t} \frac{\delta \rho}{\rho_0} = \nabla \cdot \delta \vec{v} & \text{mass conservation} \\ \frac{\partial}{\partial t} \frac{\delta \vec{v}}{\partial t} = -c_2^2 \nabla \frac{\delta \rho}{\rho} + \frac{(\nabla \times \delta \vec{B}) \times \vec{B}_0}{4\pi\rho_0} & \text{momentum conservation} \\ \frac{\partial}{\partial t} \delta \vec{B} = B_0 \frac{\partial}{\partial z} \delta v - B_0 \hat{z} \nabla \cdot \delta \vec{v} & \text{Faraday equation} \end{cases}$$

3) Assume a sinusoidal variation of the perturbations

$$\frac{\delta\rho}{\rho_0} = r e^{i(\omega t - \vec{k} \cdot \vec{x})}; \quad \delta \vec{v} = \vec{V} e^{i(\omega t - \vec{k} \cdot \vec{x})}; \quad \frac{\delta \vec{B}}{B_0} = \vec{b} e^{i(\omega t - \vec{k} \cdot \vec{x})};$$

#### Waves in ideal MHD



#### Phase-velocity polar diagram for MHD waves



#### **DIFFUSIVE MOTION**

#### **MOTION IN A REGULAR FIELD**





Electric field is usually shortcut in a plasma

$$m\gamma \frac{\mathrm{d}\mathbf{v}}{\mathrm{d}t} = \frac{q}{c}(\mathbf{v} \times \mathbf{B}_0) \implies \begin{cases} m\gamma \frac{\mathrm{d}v_x}{\mathrm{d}t} = \frac{q}{c}v_y B_0\\ m\gamma \frac{\mathrm{d}v_y}{\mathrm{d}t} = -\frac{q}{c}v_x B_0\\ m\gamma \frac{\mathrm{d}v_z}{\mathrm{d}t} = 0 \end{cases}$$

**Solution** 

$$\begin{cases} v_x(t) = v_{0x} \cos(\Omega t) \\ v_y(t) = -v_{0y} \sin(\Omega t) \\ v_z(t) = v_{0z} \end{cases}$$



Making the second derivative:

$$\frac{\mathrm{d}^2 v_x}{\mathrm{d}t^2} = -\left(\frac{qB_0}{m\gamma c}\right)^2 v_x = -\Omega^2 v_x$$

$$\begin{array}{ll} \text{Larmor} \\ \text{frequency} \end{array} \quad \Omega = \frac{q B_0}{mc \gamma} \end{array}$$



#### <u>Assuming a small sinusoidal perturbation</u> <u>due to Alfven waves</u>

$$\delta B \perp B_0 \quad ; \quad \delta B \ll B_0$$
  

$$\delta B_x = \delta B_k \cos(kz - \omega t + \phi);$$
  

$$\delta B_y = \mp \delta B_k \sin(kz - \omega t + \phi)$$
  
Right/left polarized waves





### Assuming a small sinusoidal perturbation due to Alfven waves $\delta B \perp B_0$ ; $\delta B \ll B_0$ $\delta B_x = \delta B_k \cos(kz - \omega t + \phi);$ $\delta B_y = \mp \delta B_k \sin(kz - \omega t + \phi)$ Right/left polarized waves



**Equation of motion** 

$$m\gamma \frac{\mathrm{d}\mathbf{v}}{\mathrm{d}t} = \frac{q}{c}\mathbf{v} \times (\mathbf{B}_0 + \delta\mathbf{B}) \implies \begin{cases} m\gamma \frac{\mathrm{d}v_x}{\mathrm{d}t} = \frac{q}{c}(v_y B_0 - v_z \delta B_y) \\ m\gamma \frac{\mathrm{d}v_y}{\mathrm{d}t} = -\frac{q}{c}(v_x B_0 - v_z \delta B_x) \\ m\gamma \frac{\mathrm{d}v_z}{\mathrm{d}t} = \frac{q}{c}(v_x \delta B_y - v_y \delta B_x) \end{cases}$$

 $B_0$  changes only *x* and *y* components of the momentum

 $\delta B$  changes only z component of the momentum

Solution for  $v_{z}$ 

$$v_0 \frac{\mathrm{d}\mu}{\mathrm{d}t} = \frac{q\delta B}{m\gamma c} v_0 (1-\mu^2)^{1/2} \left[\cos(kz-\omega t+\varphi)\cos(\Omega t)\mp\sin(kz-\omega t+\varphi)\sin(\Omega t)\right]$$

Solution for  $v_z = v_0 \frac{\mathrm{d}\mu}{\mathrm{d}t} = \frac{q\delta B}{m\gamma c} v_0 (1-\mu^2)^{1/2} \left[\cos(kz-\omega t+\varphi)\cos(\Omega t)\mp\sin(kz-\omega t+\varphi)\sin(\Omega t)\right]$ 

The wave motion is much smaller than the particle motion

$$\frac{kz}{\omega t} = \frac{kv_0\mu t}{kv_A t} = \mu \frac{v_0}{v_A} \gg 1 \quad \Longrightarrow \quad \frac{d\mu}{dt} = \frac{q\delta B}{m\gamma c} (1-\mu^2)^{1/2} \cos\left(\Omega t \mp kz \mp \varphi\right)$$

Solution for  $v_z = v_0 \frac{\mathrm{d}\mu}{\mathrm{d}t} = \frac{q\delta B}{m\gamma c} v_0 (1-\mu^2)^{1/2} \left[\cos(kz-\omega t+\varphi)\cos(\Omega t)\mp\sin(kz-\omega t+\varphi)\sin(\Omega t)\right]$ 

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Average displacement for a time  $\Delta t$ :

$$\langle \Delta \mu \rangle = \frac{1}{\Delta t} \int_{0}^{\Delta t} \mathrm{d}t \left( \frac{\mathrm{d}\mu}{\mathrm{d}t} \right) = 0$$

Solution for  $v_z = v_0 \frac{\mathrm{d}\mu}{\mathrm{d}t} = \frac{q\delta B}{m\gamma c} v_0 (1-\mu^2)^{1/2} \left[\cos(kz-\omega t+\varphi)\cos(\Omega t)\mp\sin(kz-\omega t+\varphi)\sin(\Omega t)\right]$ 

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Average displacement for a time  $\Delta t$ :

Computing the diffusion coefficient:

$$\langle \Delta \mu \rangle = \frac{1}{\Delta t} \int_{0}^{\Delta t} dt \left( \frac{d\mu}{dt} \right) = 0$$
$$D_{\mu\mu} := \frac{1}{2} \left\langle \frac{\Delta \mu \Delta \mu}{\Delta t} \right\rangle$$

Step 1:  
time average 
$$\langle \Delta \mu \Delta \mu \rangle = \left(\frac{q\delta B}{m\gamma c}\right)^2 (1-\mu^2) \int_0^{\Delta t} dt \int_0^{\Delta t} dt' \cos\left(\Omega t \mp kv_0\mu t \mp \varphi\right) \cos\left(\Omega t' \mp kv_0\mu t' \mp \varphi\right)$$

Step 2: Phase average  $\langle \Delta \mu \Delta \mu \rangle_{\varphi} = \frac{1}{2\pi} \int_{0}^{2\pi} \mathrm{d}\varphi \, \langle \Delta \mu \Delta \mu \rangle = \left(\frac{q\delta B}{m\gamma c}\right)^{2} (1-\mu^{2}) 2\pi \Delta t \delta(\Omega \mp v_{0}k\mu)$ 

#### **MANY WAVES**

#### **Particles are scattered only by resonating waves**

Final result: 
$$\langle \Delta \mu \Delta \mu \rangle_{\varphi} = \left(\frac{q\delta B}{m\gamma c}\right)^2 (1-\mu^2) \frac{2\pi\Delta t}{v_0\mu} \delta\left(k \mp \frac{\Omega}{v_0\mu}\right)$$
  
Resonant wave-number  
 $k_{res} = \frac{\Omega}{v_0\mu} \approx \frac{1}{r_L}$ 

#### MANY WAVES

 $\left\langle \Delta\mu\Delta\mu\right\rangle_{\varphi} = \left(\frac{q\delta B}{m\gamma c}\right)^2 (1-\mu^2) \frac{2\pi\Delta t}{v_0\mu} \delta\left(k \mp \left(\frac{\Omega}{v_0\mu}\right)\right)$ 

#### Particles are scattered only by resonating waves

Final result:

We introduce the power spectrum:

$$rac{\delta B^2(k)}{B_0^2}=:\mathcal{F}(k)\mathrm{d}k$$

And the logarithmic power spectrum: P(k) = k F(k)

Step 3: averaging over a power spectrum of waves

$$D_{\mu\mu} := \frac{1}{2} \left\langle \frac{\Delta \mu \Delta \mu}{\Delta t} \right\rangle$$

$$D_{\mu\mu} = \Omega(1-\mu^2) \int dk \,\mathcal{F}(k)\pi k_{\rm res}\delta(k\mp k_{\rm res}) = \pi\mathcal{F}(k_{\rm res})k_{\rm res}\Omega(1-\mu^2)$$



#### **MANY WAVES**

#### 1) PARTICLES DIFFUSE IN ANGLE

Diffusion coefficient in angle: 
$$D_{\theta\theta} = \frac{1}{2} \langle \frac{\Delta \theta \Delta \theta}{\Delta t} \rangle = \frac{D_{\mu\mu}}{\sin^2 \theta} = \pi \Omega P(k_{res})$$

Λ

Time needed for a particle to change direction by  $\pi$ 

$$t = \frac{\pi}{D_{\theta\theta}} = \frac{1}{\Omega P(k)} \sim \frac{T_{gyration}}{P(k)} \gg T_{gyration}$$
$$\uparrow$$
usually  $P(k) \ll 1$ 

#### 2) PARTICLES DIFFUSE IN SPACE

Spatial diffusion coefficient

$$D_{zz} = \frac{1}{3} v \lambda_{mfp} = \frac{1}{3} v (v \Delta t) \approx \frac{v^2}{3\Omega P(k_{res})} = \frac{1}{3} \frac{r_L v}{P(k_{res})}$$

Bohm diffusion  $D_{Bohm} = \frac{1}{3} r_L v$ 

The most efficient scattering happens for  $P=1 \Rightarrow \delta B \sim B_0$ 



- Each time a resonance occurs, the particle change pitch angle by  $\Delta\theta \sim \delta B/B_0$  with a random sign
- The resonance occurs only with <u>right-hand polarized</u> waves if <u>positive charged</u> particles move to the right (and *vice versa*)
- The resonant condition tells us that:
  - $r_L \ll \lambda$  particles surf adiabatically
  - $r_L \gg \lambda$  particles do not feel the wave

#### Where do waves come from?

### **Kolmogorov theory of turbulence**



#### Kolmogorov theory of turbulence

#### **Turbulence in isotropic uniform 3D fluids**

Dissipation scale:  $[\lambda] = L$ Viscosity:  $[\nu] = L^2 T^{-1}$   $\longrightarrow \lambda_{diss} \propto \left(\frac{\nu^3}{\epsilon}\right)^{1/4}$ Dissipation rate:  $[\epsilon] = L^2 T^{-3}$ 

Power spectrum: 
$$F(k)dk = \frac{dU}{\rho} \Rightarrow [F] = L^3 T^{-2}$$

In the inertial range F should not depend on viscosity

$$F = F(k, \epsilon)$$

From dimensional analysis:

$$F(k) \propto \epsilon^{2/3} k^{-5/3}$$



### The interstellar turbulence

#### A simplified model for turbulence



Electron density fluctuation in the ISM [Armstrong et al. 1995, ApJ 443, 209]

- Electron density fluctuation follow Kolmogorov spectrum:  $\delta n \propto k^{-5/3}$
- Magnetic turbulence has a Kolmogorov spectrum  $k^{-5/3}$  (density is a passive tracer so it has the same spectrum:  $\delta n \propto \delta B^2$ ):

$$F(k) = \frac{\langle \delta B(k) \rangle^2}{B_0^2} \propto \left(\frac{k}{k_0}\right)^{-5/3}$$

- Turbulence is stirred by SNe at a typical scale  $L_0 = 1/k_0 \sim 10-100 \text{ pc}$
- Fluctuation of velocity and magnetic field are assumed to be Alfvénic



### **Diffusion from interstellar turbulence**

#### The main origin of turbulence are thought to be SN explosion.

Turbulence is injected at a scale comparable with the size of SNR (or super-bubbles) and than cascades at smaller scales.

Power injected at:

$$_{0} = 1/L_{0} \approx (10 \ pc)^{-1}$$

Kolmogorov cascade:  $F(k) = \frac{2}{3} \frac{\eta_B}{k_0} \left(\frac{k}{k_0}\right)^{-5/3}$ 

k

Energy density of turbulence (from observation):

$$\eta_{B} = \int_{k_{0}}^{\infty} F(k) dk = \frac{\delta B_{tot}^{2}}{B_{0}^{2}} \sim 0.01 - 0.1$$

$$D_{zz}(p) = \frac{v r_L}{3} \frac{1}{k_{res} F(k_{res})} = \frac{c}{2 \eta_B} r_L^{1/3} L_c^{2/3}$$
  
~  $3 \times 10^{28} \left(\frac{p c}{GeV}\right)^{1/3} \left(\frac{\eta_B}{0.1}\right)^{-1} \left(\frac{B_0}{3 \mu G}\right)^{1/3} \left(\frac{L_c}{10 pc}\right)^{2/3} cm^2 s^{-1}$ 

#### FROM DIFFUSION TO ENERGY GAIN

- ALL ACCELERATION MECHANISMS ARE ELECTROMAGNETIC IN NATURE
- MAGNETIC FIELDS DO NOT MAKE WORK ON CHARGED PARTICLES!
- WE NEED ELECTRIC FIELDS
- BUT FOR THE MAJORITY OF ASTROPHYSICAL THE CONDUCTIVITY  $\square ~\infty,$  Hence  ${<}E{>}=0$
- THE MAJORITY OF ACCELERATION PROCESS ARE STOCHASTIC

**STOCHASTIC ACCELERATION** 

$$\langle \vec{E} \rangle = 0 \quad \langle \vec{E}^2 \rangle \neq 0$$



$$E' = \gamma E_i (1 - \beta \mu)$$
  

$$E_f' = E_i' = E'$$
  

$$E_f = \gamma E' (1 + \beta \mu')$$
  

$$\rightarrow E_f = \gamma^2 E_i (1 - \beta \mu) (1 + \beta \mu')$$



$$\left\langle \frac{\Delta E}{E} \right\rangle_{\mu'} = \int_{-1}^{1} \frac{E_f - E_i}{E_i} d\mu' = 2 \left[ \gamma^2 (1 - \beta \mu) - 1 \right]$$
 Assuming isotropy in the cloud's reference frame



present but do not compensate exactly

Particle flux incident of the cloud  $\beta_{vol} = (c - v \mu)/c$ 



• IF MAGNETIC FIELD DOES NOT MAKE WORK, WHO ENERGIZE PARTICLES?



• IF MAGNETIC FIELD DOES NOT MAKE WORK, WHO ENERGIZE PARTICLES?

TIME VARING MAGNETIC FIELD 
ELECTRIC FIELD

$$\frac{\partial \,\delta \,\vec{B}}{\partial t} = -\nabla \times \delta \,\vec{E}$$

- THE INDUCED ELECTRIC FIELD ENERGIEZES THE PARTICLES
- THE SCATTERING PRODUCES A MOMENTUM TRANSFER, BUT TO WHAT?



$$\left\langle \frac{\Delta E}{E} \right\rangle \propto \left( \frac{v}{c} \right)^2$$

- THE ENERGY GAIN IS ONLY PROPORTIONAL TO  $(v/c)^2$ AND TYPICALLY  $v \sim v_A \sim 10^{-4} c$
- THE PREDICTED SPECTRUM STRONGLY DEPENDS ON DETAILS LIKE THE CLOUDS DISTRIBUTION IN THE GALAXY AND THEIR VOLUME FILLING FACTOR
  - IT IS DIFFICULT TO EXPLAIN THE OBSERVED SPECTRUM E<sup>-2.7</sup>
  - THE MAXIMUM ENERGY IS AT MOST ~10 GeV

#### EXERCISE.

Estimate the II order Fermi acceleration of 1 GeV particles in the Galaxy, assuming that the magnetic turbulence power spectrum follows a Kolmogorov distribution:

$$k F(k) \simeq (k L_c)^{-2/3} = \left(\frac{L_c}{r_L}\right)^{-2/3}$$

with  $L_c \sim 10$  pc (coherence scale) and the average magnetic field in the Galaxy is  $B_0 = 3 \mu G$ .

Assume the residence time in the Galaxy to be  $\sim 100$  Myr and the average Galactic density is 1 proton/cm<sup>3</sup>.



#### EXERCISE: estimate the II order Fermi acceleration of 1 GeV particle in the Galaxy

Total e

Total energy gain 
$$\frac{E_f}{E_0} = \left(1 + \frac{\Delta E}{E}\right)^n = \left(1 + \frac{4}{3}\left(\frac{v_A}{c}\right)^2\right)^n$$
Number of interactions 
$$n = \frac{\tau_{res}}{\Delta t}$$
Time of a single interaction 
$$\Delta t \approx \frac{1}{D_{\theta\theta}} = \frac{1}{\Omega k_{res} F(k_{res})}$$
Kolmogorov power spectrum of turbulence in the Galaxy
$$k F(k) \simeq \left(k L_c\right)^{-2/3} = \left(\frac{L_c}{r_L}\right)^{-2/3}$$



#### EXERCISE: estimate the II order Fermi acceleration of 1 GeV particle in the Galaxy

Total

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Number of interactions
$$n = \frac{\tau_{res}}{\Delta t}$$
Time of a single interaction
$$\Delta t \approx \frac{1}{D_{\theta\theta}} = \frac{1}{\Omega k_{res}F(k_{res})} = \frac{r_L}{c} \left(\frac{L_c}{r_L}\right)^{2/3} \approx 0.1 \text{ yr } E_{GeV}^{1/3} B_{\mu G}^{-1/3} L_{10pc}^{2/3}$$
Kolmogorov power spectrum of turbulence in the Galaxy
$$k F(k) \approx (k L_c)^{-2/3} = \left(\frac{L_c}{r_L}\right)^{-2/3} \qquad L_c \sim 10 \div 100 \text{ } pc$$

$$r_L = \frac{pc}{eB} = 10^{-6} E_{GeV} B_{\mu G}^{-1} \text{ } pc$$

# EXERCISE: estimate the II order Fermi acceleration of 1 GeV particle in the Galaxy

$$\begin{array}{lll} \text{Total energy gain} & \frac{E_f}{E_0} = \left(1 + \frac{\Delta E}{E}\right)^n = \left(1 + \frac{4}{3} \left(\frac{v_A}{c}\right)^2\right)^n \approx 2 \\ \text{Number of} & n = \frac{\tau_{res}}{\Delta t} \approx \frac{100 \, Myr}{0.1 \, yr} \approx 10^9 & v_A = \frac{B}{\sqrt{4\pi\rho}} = 6.5 \frac{B}{3\mu G} \left(\frac{\rho}{1 \, cm^{-3}}\right)^{-1/2} \frac{km}{s} \\ \text{Time of a single} & \text{interaction} & \Delta t \approx \frac{1}{D_{\theta\theta}} = \frac{1}{\Omega k_{res} F(k_{res})} = \frac{r_L}{c} \left(\frac{L_c}{r_L}\right)^{2/3} \approx 0.1 \, yr \, E_{GeV}^{1/3} \, B_{\mu G}^{-1/3} \, L_{10pc}^{2/3} \\ \text{Kolmogorov power} & k \, F(k) \approx \left(k \, L_c\right)^{-2/3} = \left(\frac{L_c}{r_L}\right)^{-2/3} & L_c \sim 10 \div 100 \, pc \\ r_L = \frac{pc}{eB} = 10^{-6} E_{GeV} \, B_{\mu G}^{-1} \, pc \end{array}$$