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High-energy Lepton Propagation

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Introduction

Leptons

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- standard model fermions
- $\bullet\,$ come in three families: e, μ , τ
- neutrinos and charged leptons
- particles and antiparticles
- only electromagnetic and weak interaction



Figure: Standard Model of Elementary Particles [1]

Standard Model of Elementary Particles

Charged leptons

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• Charged leptons loose energy electromagnetically via

- ionization: $\ell^{\pm} + {}^A_Z N \rightarrow \ell^{\pm} + {}^A_Z N^+ + e^-$
- bremsstrahlung: $\ell^{\pm} + {}^{A}_{Z}N \rightarrow \ell^{\pm} + {}^{A}_{Z}N + \gamma$
- pair production: $\ell^{\pm} + {}^{A}_{Z}N \rightarrow \ell^{\pm} + {}^{A}_{Z}N + e^{+} + e^{-}$
- photonuclear interaction: $\ell^{\pm} + N \rightarrow \ell^{\pm} + X$

• Average energy loss per distance

$$\left\langle -\frac{dE}{dx}\right\rangle = \frac{N_{\rm A}}{A}\rho\int Ev\frac{d\sigma}{dv}dv\simeq a(E) + b(E)E$$
 (1)

• Muons and taus decay



Neutrinos

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- Neutrinos interact only via the weak interaction
 - Exchange of Z-bosons (NC): $\stackrel{(-)}{\nu_\ell} + N
 ightarrow \stackrel{(-)}{\nu_\ell} + X$
 - Exchange of W-bosons (CC): $\stackrel{(-)}{
 u_\ell} + N
 ightarrow \ell^\pm + X^\mp$
- $\bullet\,$ Mass eigenstates and interaction eigenstates do not coincide $\to\,$ neutrinos oscillate into different lepton family

$$P_{\alpha \to \beta} = \left| \sum_{i} U_{\alpha i}^{*} U_{\beta i} e^{-im_{i}^{2}L/2E} \right|^{2}$$
(2)

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Ionization



Ionization

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Ionization is a cover name for several processes

- excitation $\mu^{\pm} + {}^{A}_{Z}N \rightarrow \mu^{\pm} + {}^{A}_{Z}N^{*}$
- ionization in the strict sense $\mu^\pm + {^A_Z}N \to \mu^\pm + {^A_Z}N^+ + e^-$
- emission of $\delta\text{-electrons}\ \mu^\pm + {^A_Z}{\it N} \rightarrow \mu^\pm + {^A_Z}{\it N}^+ + e^-$

The first two processes are low-energy atomic physics processes (eV-scale). The dominant contribution for high-energy particles are δ -electrons.



• energy loss for relativistic particles

$$\left\langle -\frac{dE}{dx} \right\rangle = \kappa \frac{Z}{A} \frac{1}{\beta^2} \left[\frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{\text{max}}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} \right],$$

$$T_{\text{max}} = \frac{2m_e c^2 \beta^2 \gamma^2}{1 + 2\gamma m_e/\mu + (m_e/\mu)^2}.$$

$$(3)$$

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• Accuracy at small energies not worse than 1%



Density effect

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- The correction δ describes the density effect [2], which is connected to the polarisation of the medium at large energies
- Asymptotically

$$\delta \to \ln \frac{\hbar \omega_p}{I} + \ln \beta \gamma - \frac{1}{2} \tag{4}$$

• The Sternheimer parametrization of δ is not worse than 10% at small energies and asymptotically exact at high energies.



Figure: $\langle -dE/dx \rangle$ for muons in copper [3]



Radiative corrections

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In the calculation of corrections to bremsstrahlung of muons scattered by atomic electrons, also the radiative corrections to ionization was obtained in logarithmic approximation [4]

$$\Delta \left| \frac{dE}{dx} \right| = \frac{NZ}{A} m \alpha r_e^2 \left(\ln \frac{2E}{\mu} - \frac{1}{3} \ln \frac{2\epsilon_{\max}}{m} \right) \ln^2 \frac{2\epsilon_{\max}}{m}.$$
 (5)

The mean loss is increased by several percent due to these corrections.

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Bremsstrahlung



Bremsstrahlung

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For relativistic particles, the bremsstrahlung cross-section can be written as $\left[5,\;6\right]$

$$v\frac{d\sigma}{dv} = 4Z^2 \alpha \left(r_e \frac{m}{\mu}\right)^2 \left[(2 - 2v + v^2) \Phi_1(\delta) - \frac{2}{3}(1 - v) \Phi_2(\delta) \right],$$

$$\delta = \frac{\mu^2 v}{2E(1 - v)}.$$
 (6)



Screening functions

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In the absence of screening for a point-like nucleus we have

$$\Phi_1 = \Phi_2 = \ln \frac{\mu}{\delta} - \frac{1}{2},\tag{7}$$

for complete screening of a point-like nucleus

$$\Phi_1 = \ln\left(\frac{\mu}{m}BZ^{-1/3}\right), \quad \Phi_2 = \Phi_1 - \frac{1}{6},$$
(8)

where the constant *B* is ≈ 183 and $\ln(BZ^{-1/3})$ is the radiation logarithm. An analytic interpolation describing also intermediate screening was found by [7, 8].



- Fourier transformation of charge distribution
- Nuclear formfactor: extended charge distribution inside nucleus
- Atomic formfactor: screening of nuclear charge by atomic electrons



Figure: q-dependence of the bremsstrahlung cross section [9]



Nuclear formfactor

- The Compton wavelength of a muon is comparable to nuclear dimensions.
- The nuclear formfactor effectively cuts off large momentum transfers.
- $\bullet\,$ The cross-section is decreased by $\sim 10\%.$
- $\Phi_i \rightarrow \Phi_i \Delta_i$, where

$$\Delta_1 - \Delta_2 \approx \frac{1}{6},$$

$$\Delta_1 \approx \ln \frac{\mu}{q_c} + 1$$
(9)

for heavy nuclei.

• The calculations by [9] and [10, 11] on the basis of different models of the nuclear formfactor differ somewhat, but [9] describes numerical calculations better.

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Interaction with atomic electrons

- Electrons not only screen the nucleus, but are also targets.
- The recoil of electrons during scattering changes the situation compared to atomic nuclei
- An approximate formula is given by

$$v\frac{d\sigma}{dv} = 4\alpha Z \left(r_e \frac{m}{\mu}\right)^2 \left(\frac{4}{3}(1-v)+v^2\right) \Phi_{in}(\delta),$$

$$\Phi_{in}(\delta) = \ln \frac{\mu/\delta}{\mu\delta/m^2 + \sqrt{e}} - \ln\left(1 + \frac{m}{\delta B' Z^{-2/3}}\right),$$

$$B' = 1429.$$
 (10)

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Quasielastic target excitation



- excitation of nuclear levels
- Assuming the nuclear wavefunction as a non-symmetrized product of nucleon wave functions, the correction assumes the form [10]

$$\Delta_i^{\text{inel}} = \frac{1}{Z} \Delta_i. \tag{11}$$

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Inelastic target excitation



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- Interaction with separate nucleons
- Effect of a few percent
- Better considered not as a nucleon correction to bremsstrahlung, but as a radiative correction to nuclear interaction



Radiative corrections

- Calculated recently [12, 13]
- Described by a universal function f(v) in the equivalent photon approximation
- The energy loss increases by $\sim 2\%$



Figure: Bremsstrahlung energy loss of muons



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Figure: Universal function f(v) describing the ratio between Born approximation and higher-order corrections

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Diffractive corrections



- Photon emitted by nucleus, not by muon
- Interference effect dependent on sign of muon charge
- \sim 0.1%; contrary to earlier calculations significantly overestimating the effect [14]



Figure: Feynman diagram for diffractive corrections



Figure: Muon energy loss in water with radiative and diffractive corrections [15]



- at ultrahigh energies, multiple scattering perturbs interaction [16]
- the cross-section is decreased [17], in particular for photons of small energy compared to the muon energy



Figure: Energy loss in ice (above) and standard rock (below) [18]

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Coulomb corrections

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- Born approximation: first term in expansion in $Z\alpha$
- Coulomb corrections: remaining terms of this expansion
- very important for electrons
- negligible for muons due to the influence of the extended nucleus $\lesssim 0.4\%$



Chemical bounds



- $\bullet\,$ The effect of molecular bounds was calculated by [19]
- $\bullet\,$ small, $\lesssim 0.5\%$ for hydrogren and less for heavier nuclei

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Pair production

Electron-positron pair production

• The leading-order cross section for complete screening and no screening was calculated by [20, 21].

• An analytic interpolation between these limiting cases was carried out by [22, 23].

$$\frac{d^2\sigma}{dv\ d\rho} = \frac{2}{3\pi} (Z\alpha r_e)^2 \frac{1-v}{v} \left(\Phi_e + \frac{m^2}{\mu^2} \Phi_\mu\right),$$

$$\Phi_{e,\mu} = L_{e,\mu} B_{e,\mu} + \frac{1}{2} \Delta_{e,\mu}$$
(12)

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Nuclear and atomic formfactor corrections

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- The influence of the nuclear formfactor was investigated in [24]
 - unimportant for dE/dx
 - effect on $d\sigma/dv$ of the order of 1% for $v\gtrsim m/\mu$
- interaction with atomic electrons important [25], of the order of 1/Z
- target excitation unimportant [26]
- Screening functions were parametrized more accurately in [13], leading to an effect of the order of 1% for $d\sigma/dv$, but \lesssim 0.5% for dE/dx



Figure: Total pair production cross-section in standard rock [26]

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Screening functions

- The functions $L_{e,\mu}$ are analogous to the function Φ_1 from bremsstrahlung
- A new expression for the cross section has been derived taking into account the difference between the analogues of $\Phi_{1,2}$ [13]
- difference to earlier works \sim 0.5% for dE/dx, \sim 1% for $d\sigma/dv$



Figure: Differential cross section at 100 TeV



Muon pair production

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- Calculated in [27]
- very small effect on the energy loss ($\sim 10^{-4}$ compared to e^+e^- pair production)
- potentially interesting as it converts a single muon to a (small) muon bundle



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- the same effect as in bremsstrahlung, applied to the $\gamma^* Z \rightarrow e^+ e^- Z$ subprocess
- significant effect on dE/dx only at energies $\gtrsim 10^{24}$ eV [17]



Coulomb corrections

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- analytical expression for point-like nuclei [28, 29]
- numerical results show that a nuclear formfactor decreases the correction for very heavy elements [30]
- for dE/dx: in standard rock \sim 0.5%, in lead \sim 9%
- for $d\sigma/dv$: in standard rock $\sim 1\%$ for $v\gtrsim m/\mu$



Figure: Energy loss in standard rock [30]



Figure: Pair production energy loss in lead [30]



Radiative corrections, double pair production

- ullet radiative corrections increase the cross section by $\sim 2\%$
- \bullet double pair production: logarithmically increasing loss, \sim 0.5% at PeV energies



Figure: Pair production energy loss and higher-order corrections.

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- very small correction to the μ -diagrams, negligible
- no dependence on sign of muon charge

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Nuclear interaction



Nuclear interaction

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- Inelastic interaction with nucleons at energies $E \lesssim 10^{15} \, {\rm eV}$ gives a contribution of $\sim 10\%$ -20% to the energy loss
- the contribution rises with energy



Figure: Energy losses in standard rock, divided by energy.

Limiting cases

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Figure: Diagrams of limiting cases of inelastic interaction [31]



Models of nuclear interaction

- not a purely electromagnetic process
- predominantly nonperturbative QCD
- process with largest uncertainty



Figure: Different models of muon energy loss by nuclear interaction [18]

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Vector meson dominance

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- photons and vector mesons (ρ, ω, φ and their excited states) have identical quantum numbers
- the photon converts to a virtual vector meson, the meson interacts hadronically with the nucleus
- formulae of Bezrukov and Bugaev are often used [32]
- structure functions are proportional to photoabsorption cross-section $\sigma_{\gamma p}$ in this model
- ullet applicable for small momentum transfer $Q^2 \lesssim {\rm few}~{\rm GeV^2}$



Figure: Different parametrizations of the photoabsorption cross-section $\sigma_{\gamma p}$



Perturbative contribution

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- hard interactions with high momentum transfer Q^2 (deepy inelastic scattering)
- described by color dipole model
- contribution rises with energy



Figure: Perturbative and nonperturbative contributions to energy loss

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Regge theory

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- phenomenological approach to scattering problem
- uses analytical properties of scattering amplitudes at complex values of orbital momenta
- new degree of freedom (quasi-particles): reggeons, pomerons
- Regge trajectory corresponds to a family of particles
- e.g. Abramowicz, Levin, Levy & Maor [33, 34]

Nuclear corrections

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- nuclear shadowing
 - $\sigma_{\gamma A} < A \sigma_{\gamma p}$
 - $\bullet~effect:~\sim 20\%~[35]$
- EMC effect [36-38]
- Fermi motion of nucleons [37]



Figure: Nuclear effects at $Q^2 = 4 \text{ GeV}^2$ [38]



Weak interaction

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- at large momentum transfers Z bosons can contribute
- also interference between γ and Z
- effect on $dE/dx \lesssim 10^{-4}$ [36, 39]



Radiative corrections

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- bremsstrahlung during nuclear interaction, together with vertex correction and vacuum polarization
- calculated within the VMD model
- dE/dx increases by $\sim 3\%$



Figure: Energy loss by nuclear interaction with radiative corrections [40]

Nuclear interaction experimental data

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- data from fixed-target experiments
- data from *ep* collider HERA
- total combined HERA data only available recently



Figure: Photonuclear energy loss according to the literature and refits of popular models [41]



Electron energy loss





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Muon energy loss

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Tau lepton energy loss

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Propagation of particles



Propagation of particles

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interaction length

$$\lambda_{\rm int} = \frac{A}{N_A \rho \sigma} \tag{13}$$

• probability to traverse a distance λ without interaction

$$P(x) = \frac{1}{\lambda_{\text{int}}} e^{-\lambda/\lambda_{\text{int}}}$$
(14)

sufficient for calculation of attenuation factors

Analytical calculations

- Charged lepton interactions are typically not catastrophic, the lepton propagates further and produces secondary particles, thus losing energy
- simplest approximation: loss happens continuously with -dE/dx = a + bE with constant a, b
- Range of lepton with initial energy E

$$R_{\langle -dE/dx\rangle} = \frac{1}{b} \ln \frac{a+bE}{a}.$$
 (15)

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• surface energy E_0 of muon with energy E after traversing a layer of matter with thickness h

$$E_0 = \exp(bh)\frac{a+bE}{b} - \frac{a}{b}$$
(16)

• spectrum at depth *h* for a surface spectrum $dN/dE = N_0 E^{-\gamma}$:

$$\frac{dN}{dE} = N_0 \exp(-\gamma bh) \left\{ E + \frac{a}{b} [1 - \exp(-bh)] \right\}^{-\gamma}$$
(17)

Necessity of Monte-Carlo simulations

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- $\bullet\,$ energy loss is a stochastic process $\to\,$ fluctuations around the average energy loss
- effect of fluctuations becomes more pronounced at higher energies due to radiative processes
- example for monoenergetic muons: average range $\langle R\rangle$ is smaller than $R_{\langle -dE/dx\rangle}$



muons

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Figure: Range distribution of 100 GeV muons



Energy cuts

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- Simulation of all energy losses impossible due to infrared divergence: Bremsstrahlung cross section diverges $d\sigma/dv \sim 1/v$ for $v \rightarrow 0 \Rightarrow$ infinitely many secondary particles, total cross section diverges
- Separate losses into soft and hard losses
 - soft losses: continuous treatment
 - hard losses: stochastic treatment
- Cutoff (relative v_{cut} or absolute e_{cut}) is an artificial scale; has to be chosen sufficiently small so as not to influence the simulation results



Propagation algorithm

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• Probability of stochastic hard loss over a distance dx

$$dP(E) = dx \left. \frac{dN}{dx} \right|_{\text{hard}},$$

$$\frac{dN}{dx} \left|_{\text{hard}} = \sum_{\text{processes}} \frac{N_A}{A} \rho \int_{v_{textcut}}^{v_{\text{max}}} \frac{d\sigma}{dv}$$
(18)



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Propagation algorithm

 Probability to experience no hard losses over a finite distance [x_i, x_f] and a hard loss between x_f and x_f + dx

$$(1 - dP(E(x_{i}))) \cdots (1 - dP(E(x_{f}))) \cdot dP(E(x_{f}))$$

$$\approx \exp(-dP(E(x_{i}))) \cdots \exp(-dP(E(x_{f}))) \cdot dP(E(x_{f}))$$

$$\rightarrow^{dx \to 0} \exp\left(-\int_{E(x_{i})}^{E(x_{f})} dP(E(x))\right) dP(E(x_{f}))$$

$$= d\left[-\exp\left(\int_{E(x_{i})}^{E(x_{f})} \frac{dN}{dx}(E)\Big|_{hard} dE\right)\right]$$

$$=: d(-\xi), \quad \xi \in (0, 1].$$
(19)



Propagation algorithm

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• \Rightarrow one random number determines the energy E_f and distance $x_f - x_i$ of the next interaction

$$-\ln\xi = \int_{E_i}^{E_f} \frac{\frac{dN}{dx}(E)\big|_{\text{hard}}}{-\frac{dE}{dx}\big|_{\text{soft}}} dE$$
(20)

• another random number determines which process and which relative energy loss v is chosen based on the differential cross-section $d\sigma/dv$

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Consequences and Applications

Neutrino event topologies in very large volume neutrinoped telescopes

Pair production Nuclear interaction Propagation of particles Consequences and Appli



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Figure: Track: μ , ν_{μ} CC events



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Figure: Double cascade/double bang: ν_{τ} CC events

Energy reconstruction of muon tracks in VLV ν T

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- muons of high energy travel large distances, so they do not deposit all their energy inside the detector
- small pair production losses are well correlated to the energy, bremsstrahlung and photonuclear losses less well correlated \rightarrow truncate large losses for energy reconstruction







Figure: Truncated energy loss per distance

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Selection of leading (quasi-single) muons based on energy loss characteristics

muons come in groups

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- the muons loose energy independently of each other, smoothing out the energy loss pattern
- if a muon track has large energy losses, this cannot be the effect of multiple low-energy muons





Figure: Simulated muon bundle event [42]

Figure: Simulated leading muon event [42] ▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● ○ ○ ○



Measuring muon cross sections in muon neutrino datasets



Figure: Energy loss distribution of 10⁷ muons in ice [43]

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Mean energy of muons in inclined air showers

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- in more densely instrumented detectors, such as the NEVOD-DECOR detector, the muon multiplicity can be measured directly
- using an estimator of the primary cosmic ray energy (local muon density), the mean energy of muons in the shower can be measured based on the energy losses
- this throws light on possible solutions to the so-called muon puzzle



Figure: Mean energy of muons in inclined air showers in the NEVOD-DECOR detector [44]



Tau neutrino regeneration

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Figure: Schematic of tau neutrino regeneration [45]



Muography

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- Muon flux variations trace changes in composition, integrated along particle track
- Muons are abundant penetrating particles, that can be used to investigate natural and artificial objects, e.g. volcanoes, blast furnaces or nuclear reactors



Fukushima Daiichi Nuclear Power Plant

Figure: Muographic image of the nuclear reactor in Fukushima-Daichi [46]

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Summary



- Lepton propagation is a central part of the simulation for practically every underground experiment, in particular VLV ν T
- Accurate simulation of muon propagation is essential to muon energy reconstruction and thus to measuring muon and muon neutrino spectra
- Muon energy losses can shed light on the muon puzzle
- The propagation and decay of tau leptons opens the possibility to observe tau neutrinos at ultrahigh energies

• Muon propagation is the basis of muography applications



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