

CR Propagation & Simulation II

On the transition from Galactic to extragalactic cosmic rays

Alex Käpä

Lecture Series

Astroteilchenphysik 2 - Crossing the Desert

Session 6

Zoom conference

15th November 2021



**BERGISCHE
UNIVERSITÄT
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Outline of lecture

- 1) The transition region in data
 - Spectrum, composition and dipole anisotropy
 - Open questions
- 2) Computational challenges and requirements
 - Ballistic vs. diffusive propagation
 - Galactic magnetic field modelling
- 3) Combating the transition region: Propagation in the Galactic magnetic field
 - Propagation effects in the GMF
 - Effect on observables (flux, composition and arrival direction)
- 4) Summary

The transition region in data

Cosmic ray energy spectrum

Broken power-law with three ‘main’ features:

- ‘**knee**’: softening at $\sim 10^{15.4}$ eV
- ‘**ankle**’: hardening at $\sim 10^{18.7}$ eV
- high-energy cut-off beyond $\sim 10^{19.6}$ eV

Further more subtle features:

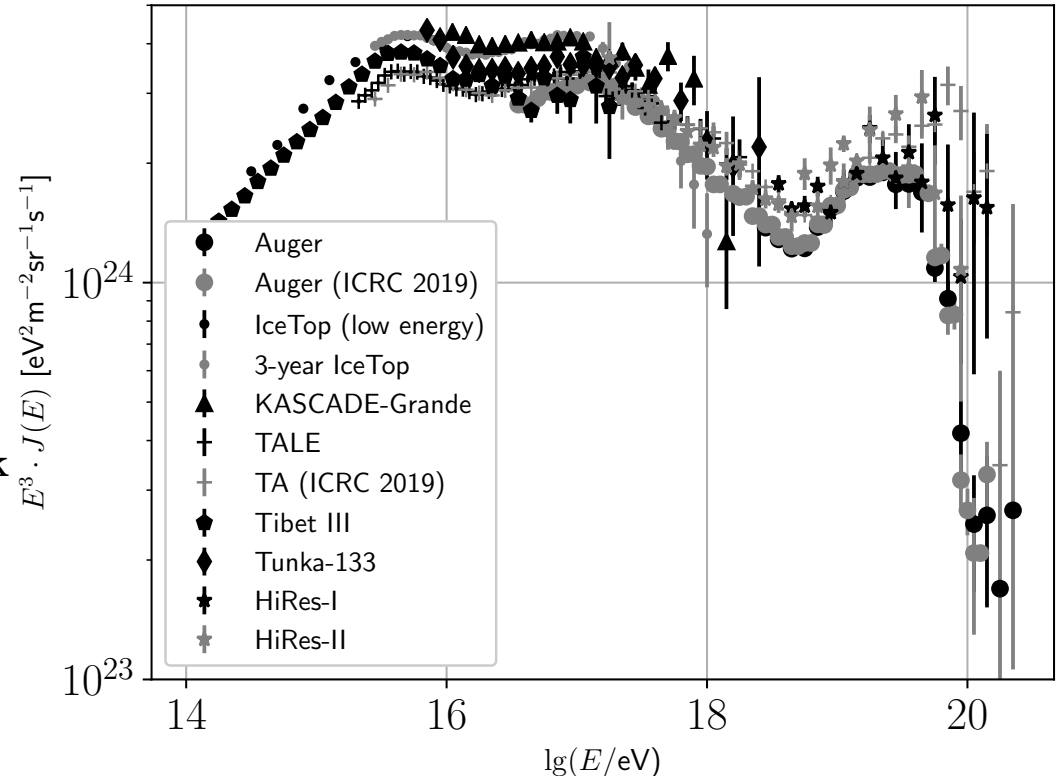
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Transition region (= ‘shin’) **unexplained**:

- unaccounted for flux



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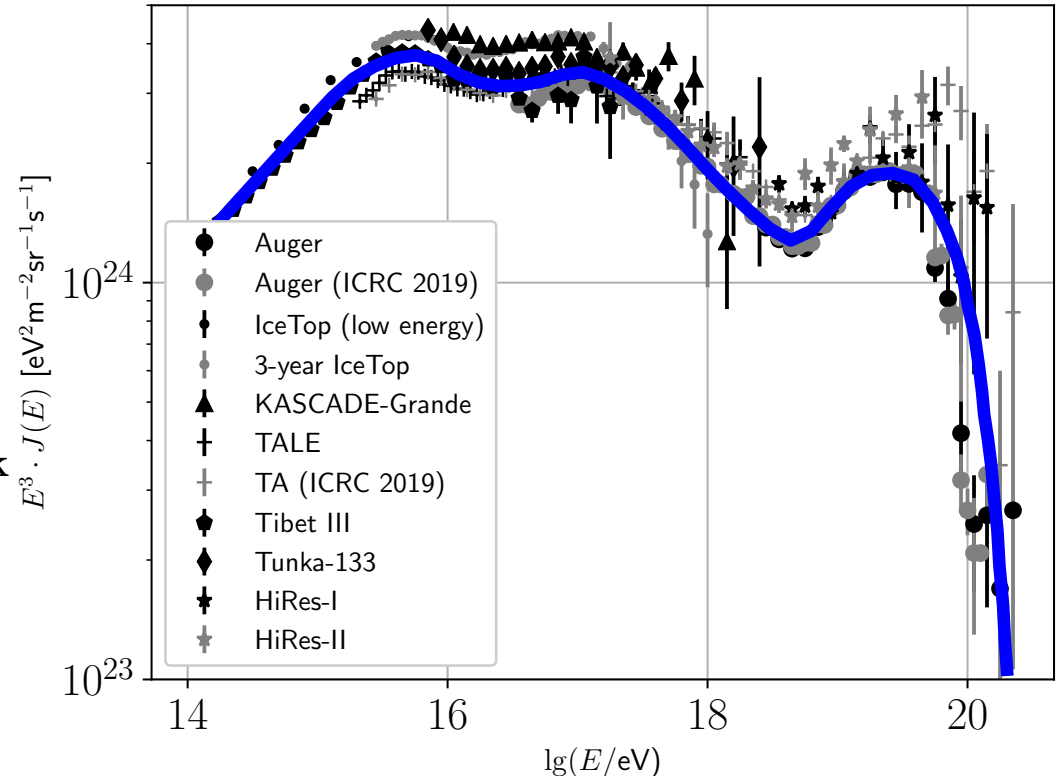
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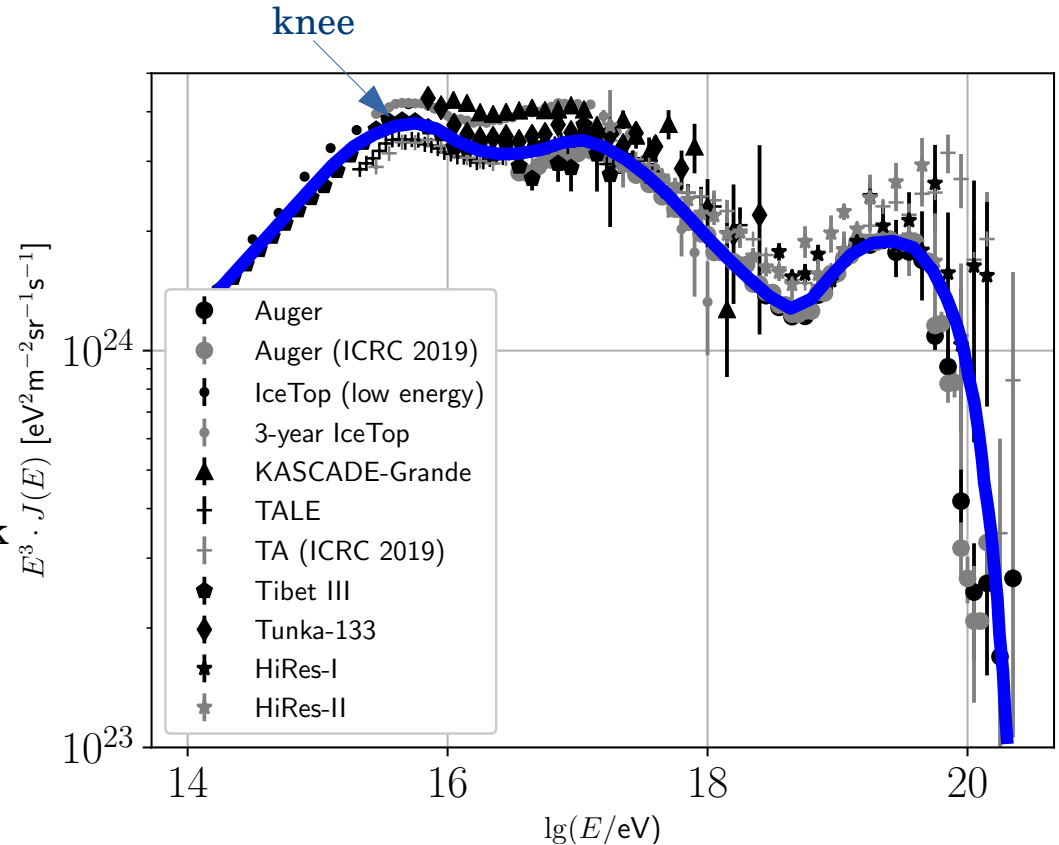
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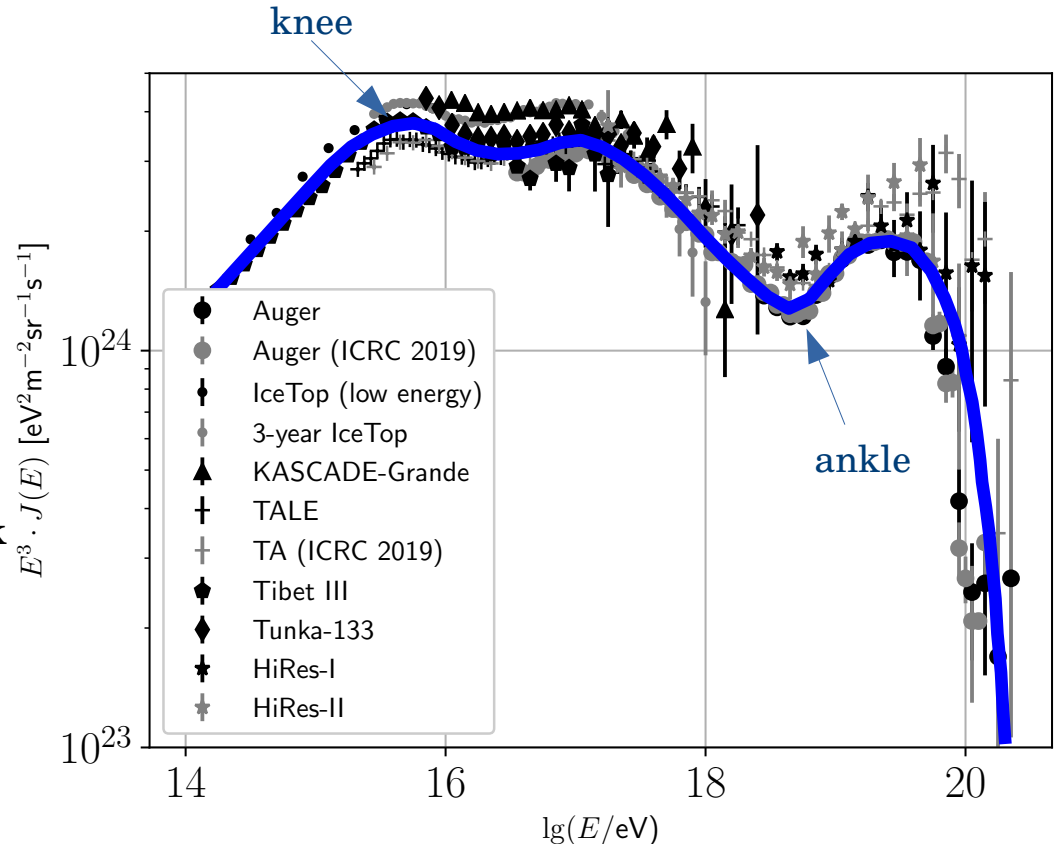
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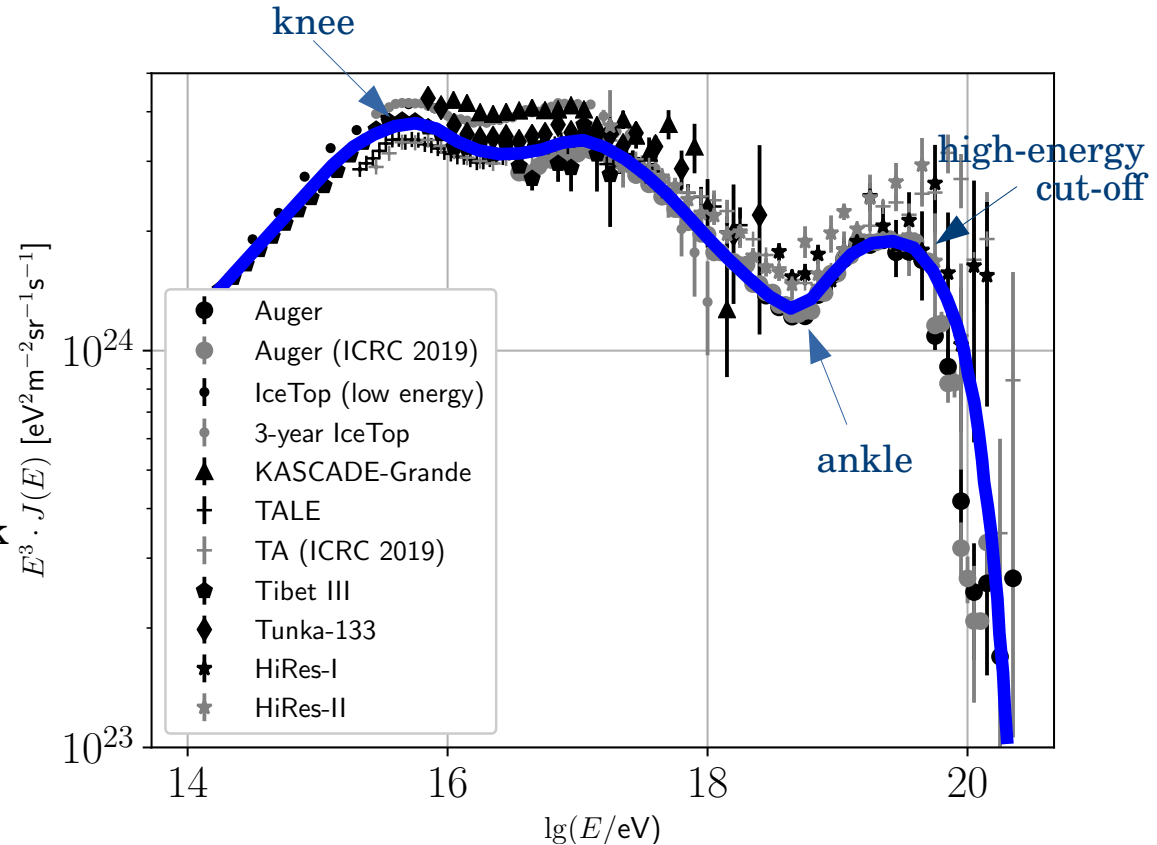
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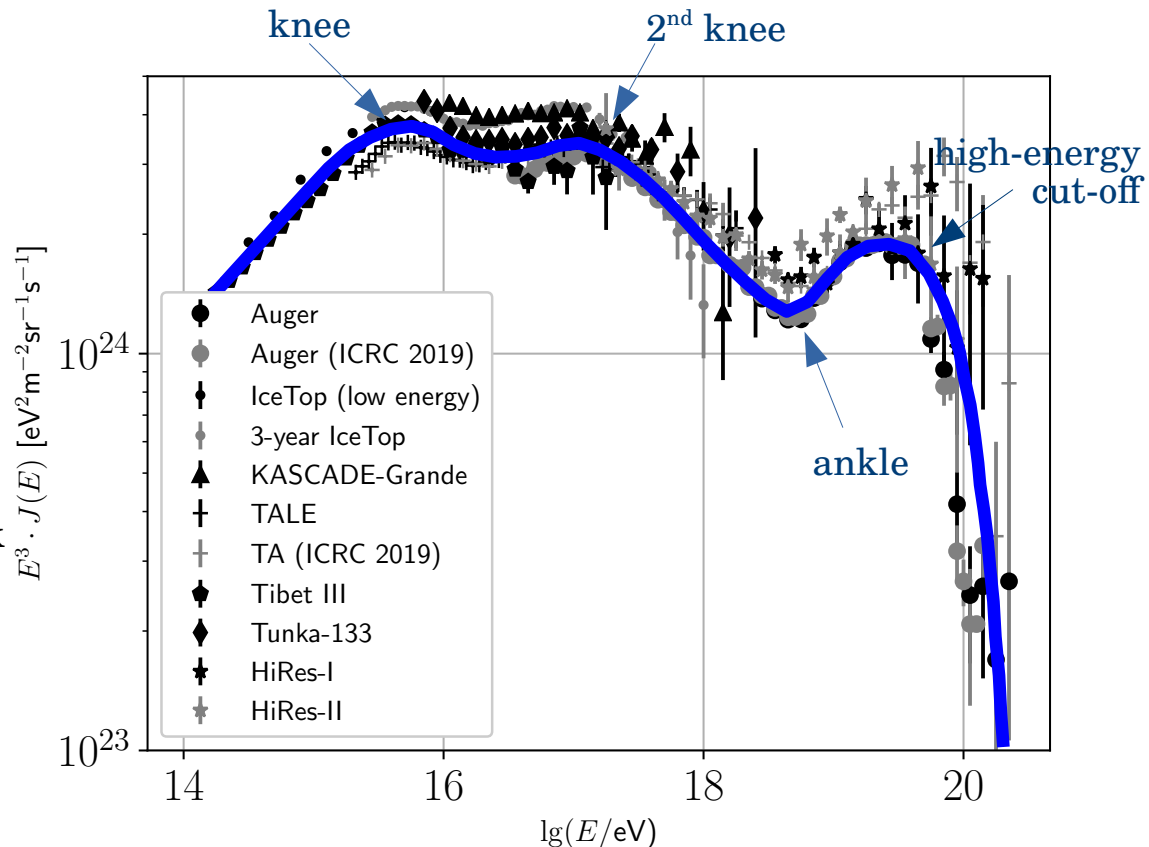
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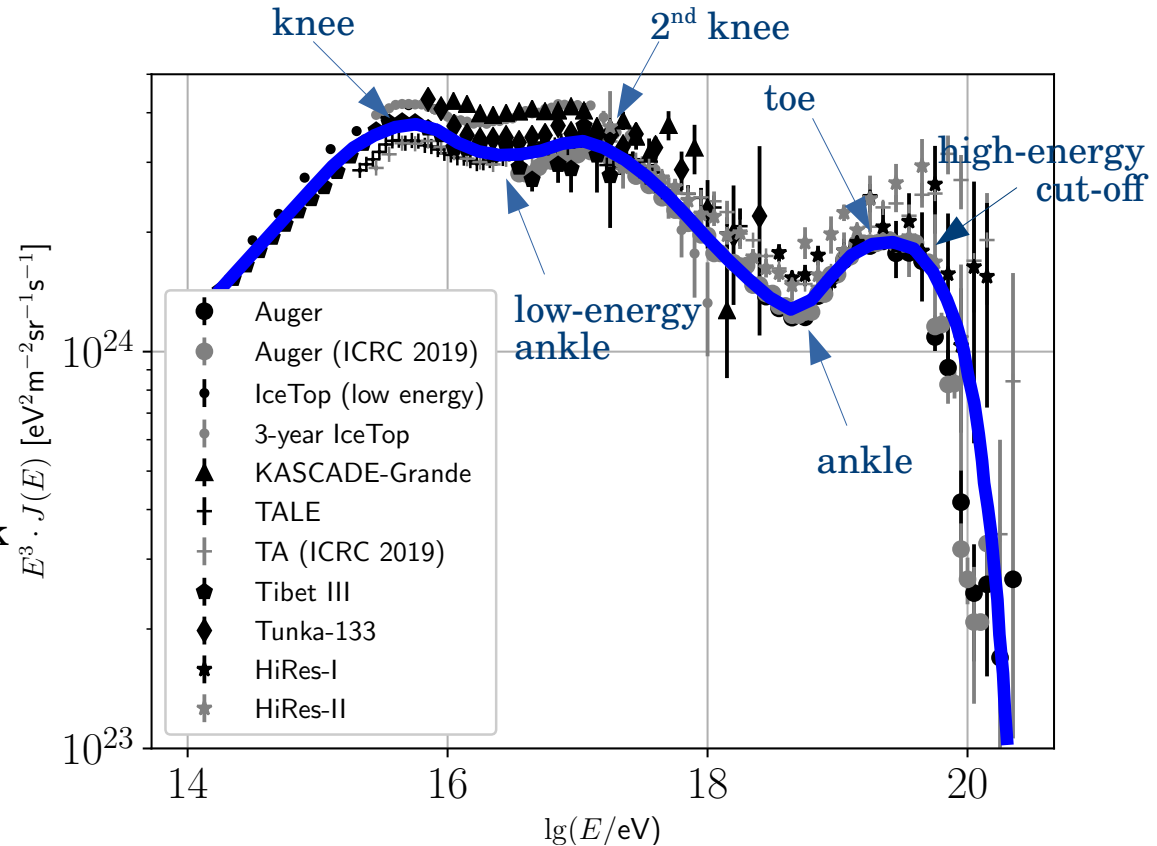
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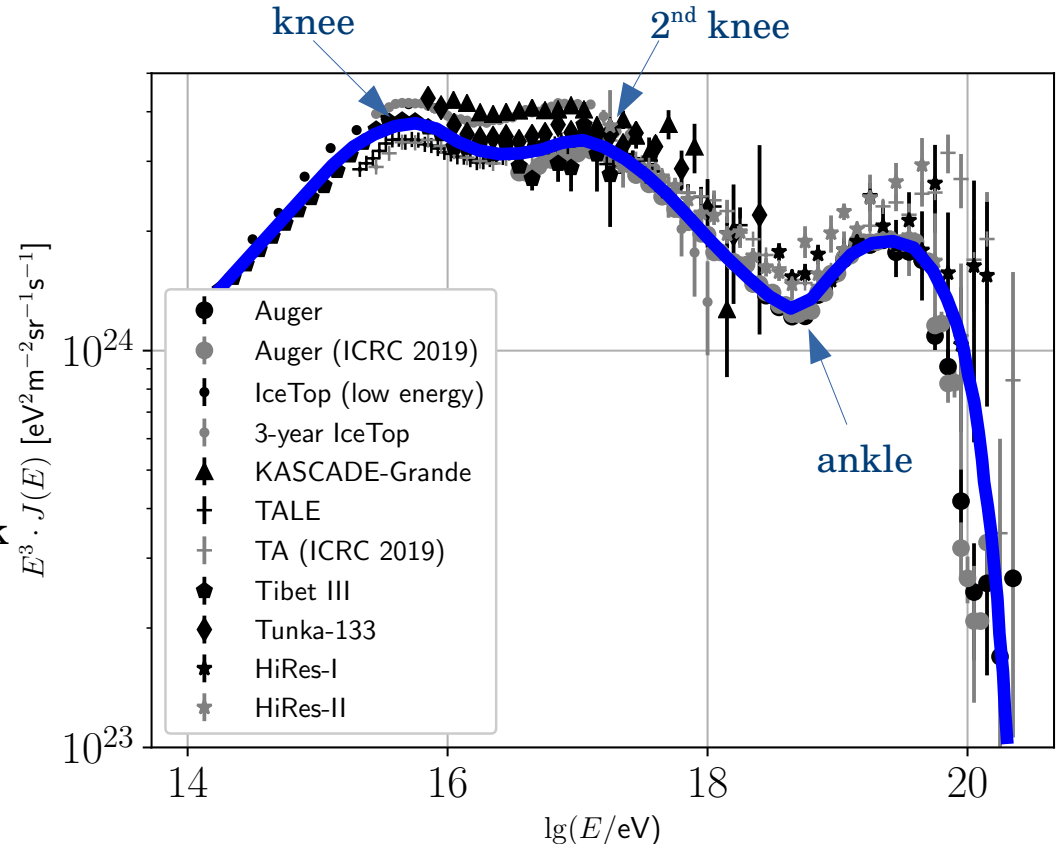
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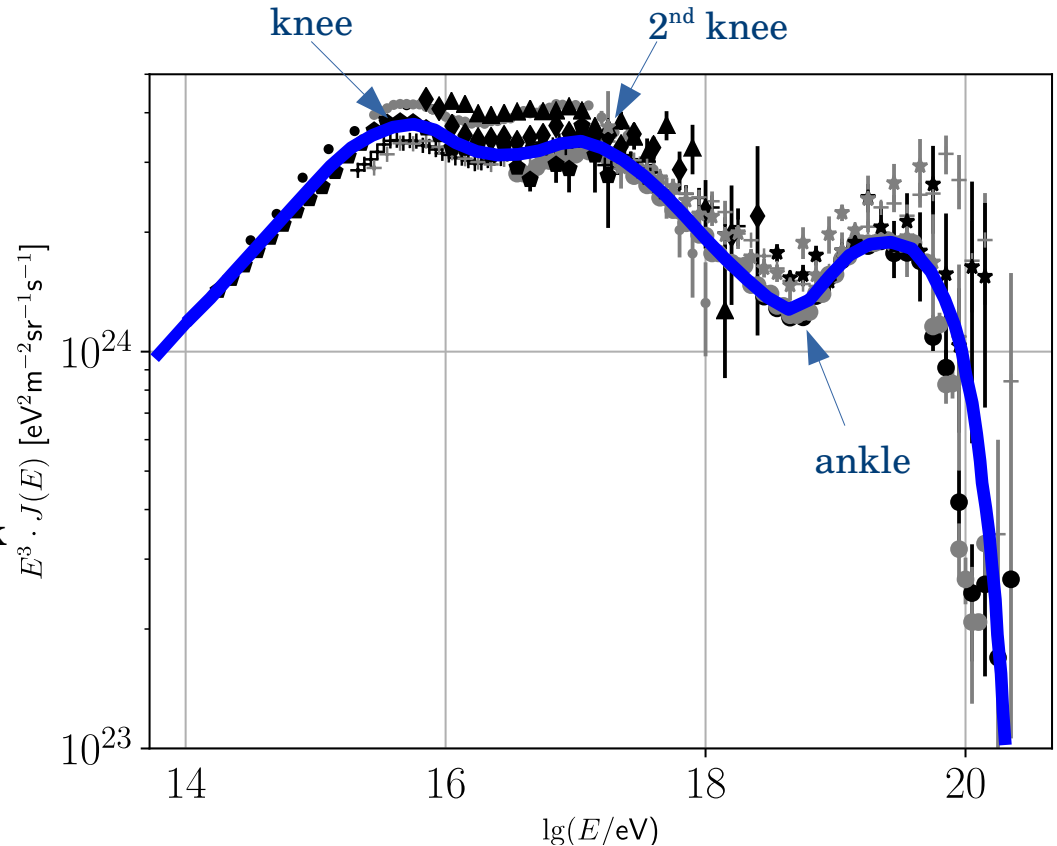
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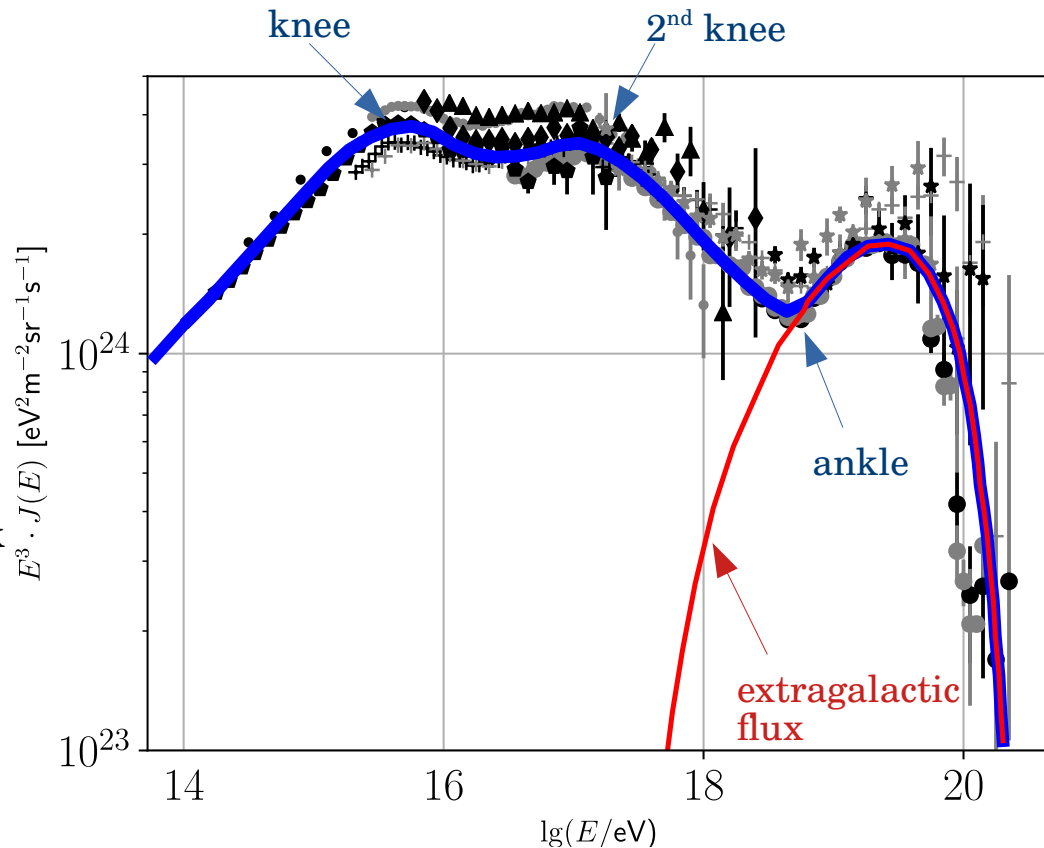
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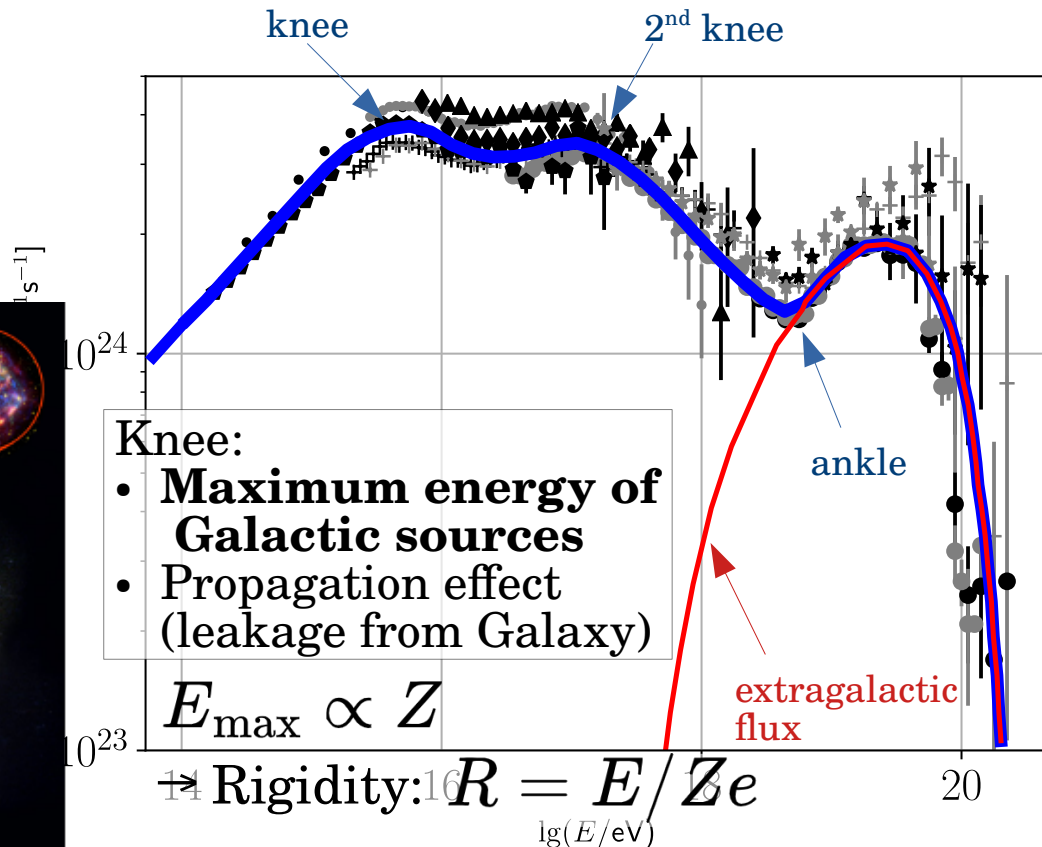
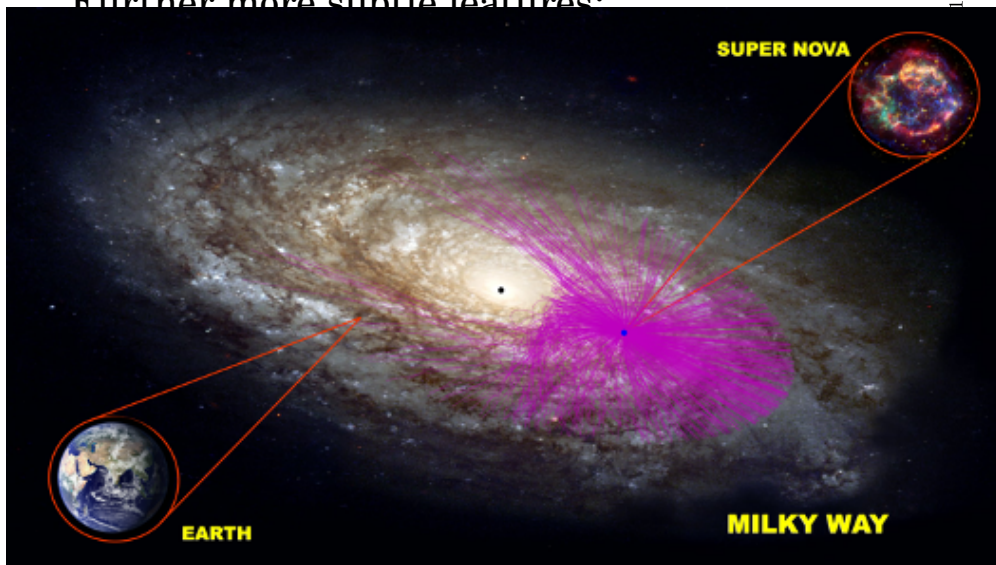


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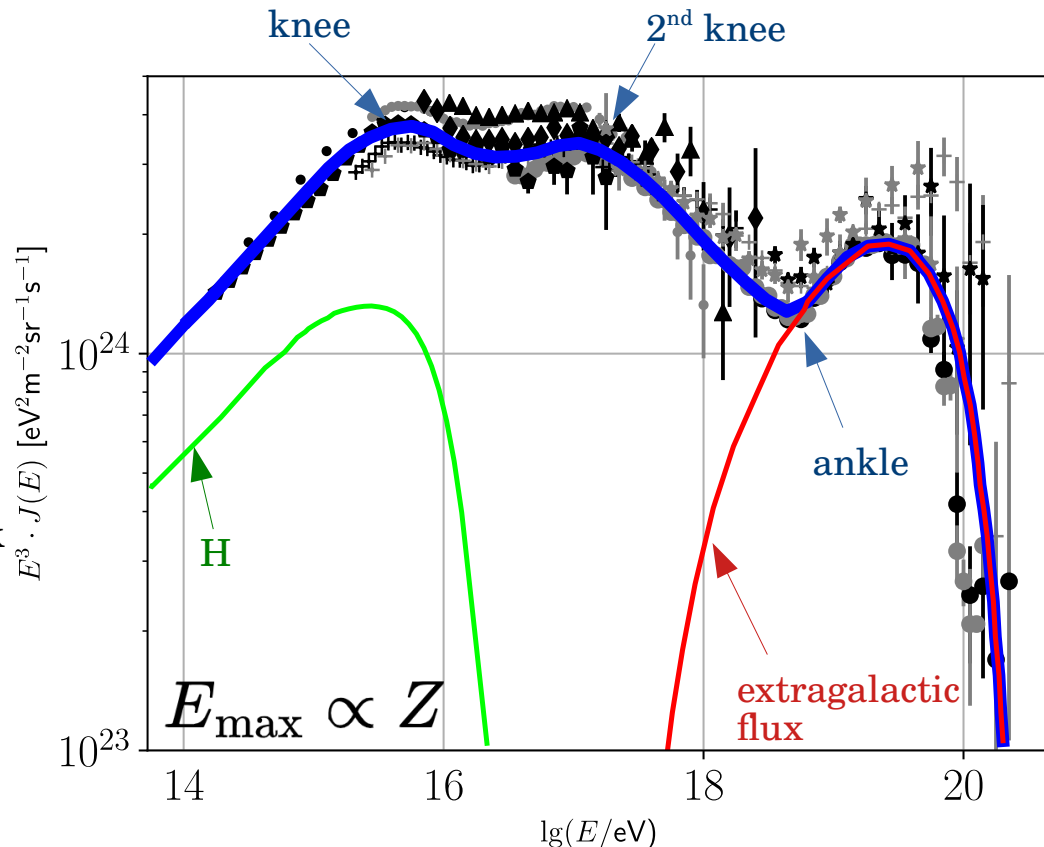
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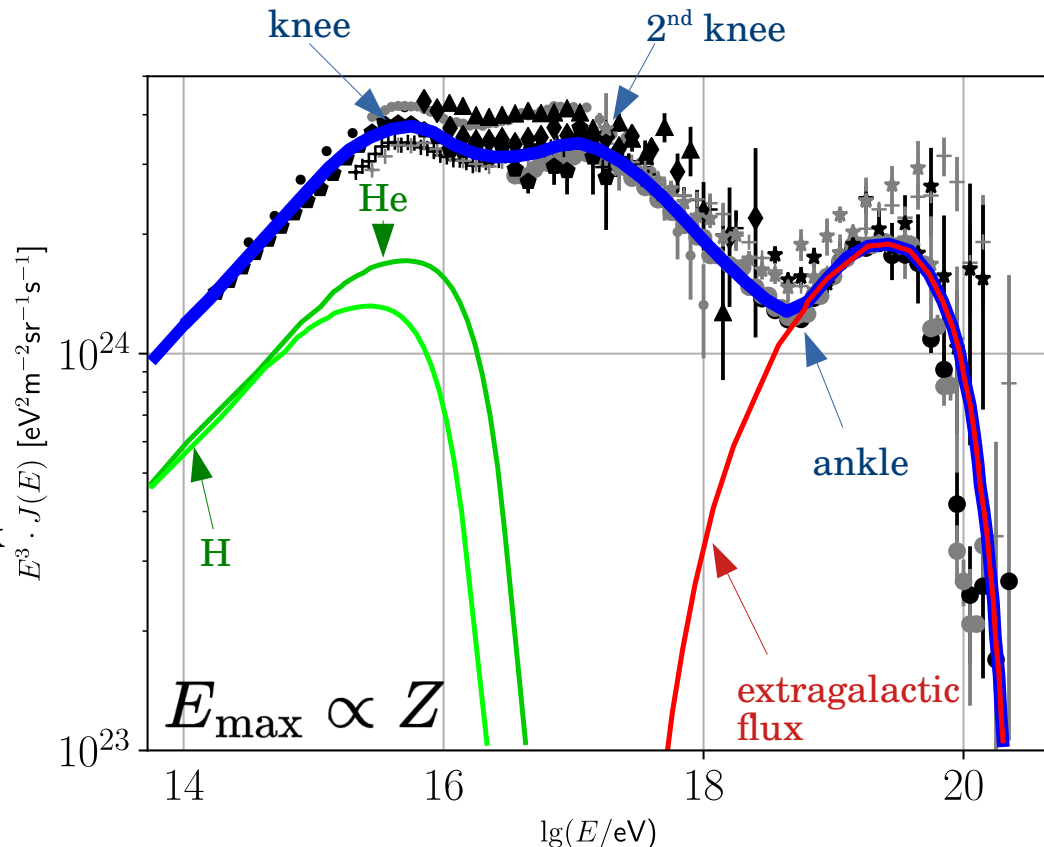
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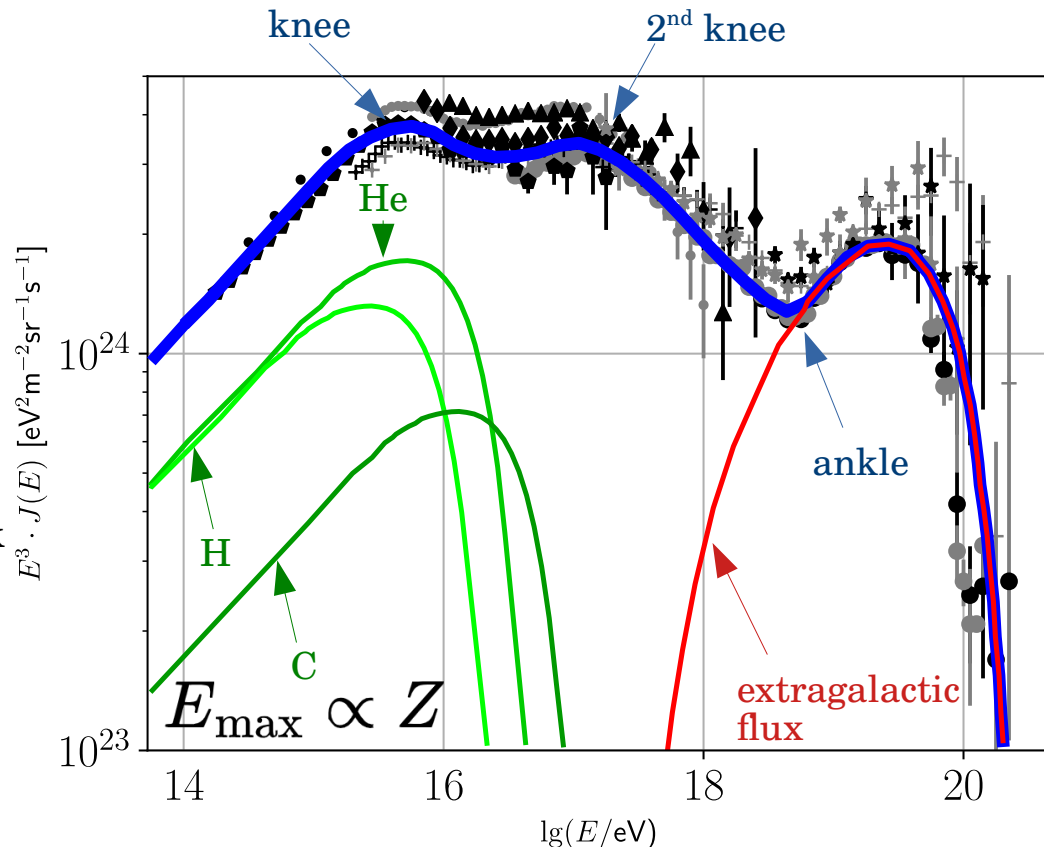
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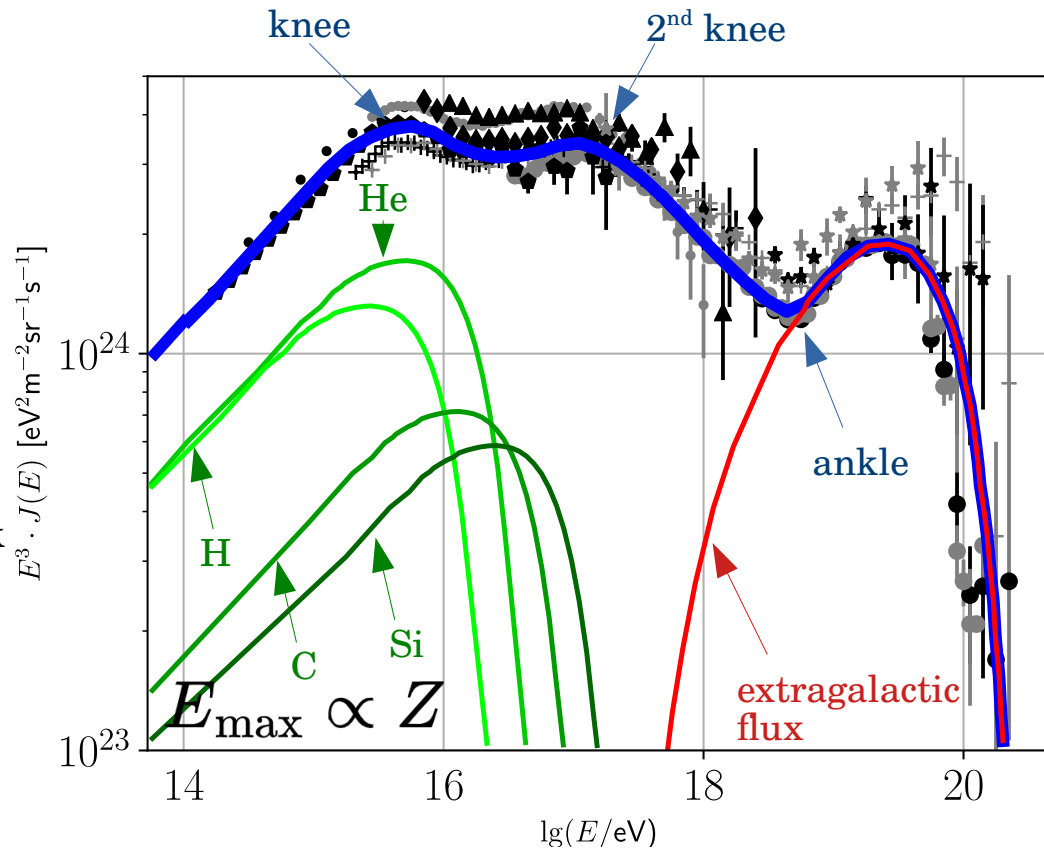
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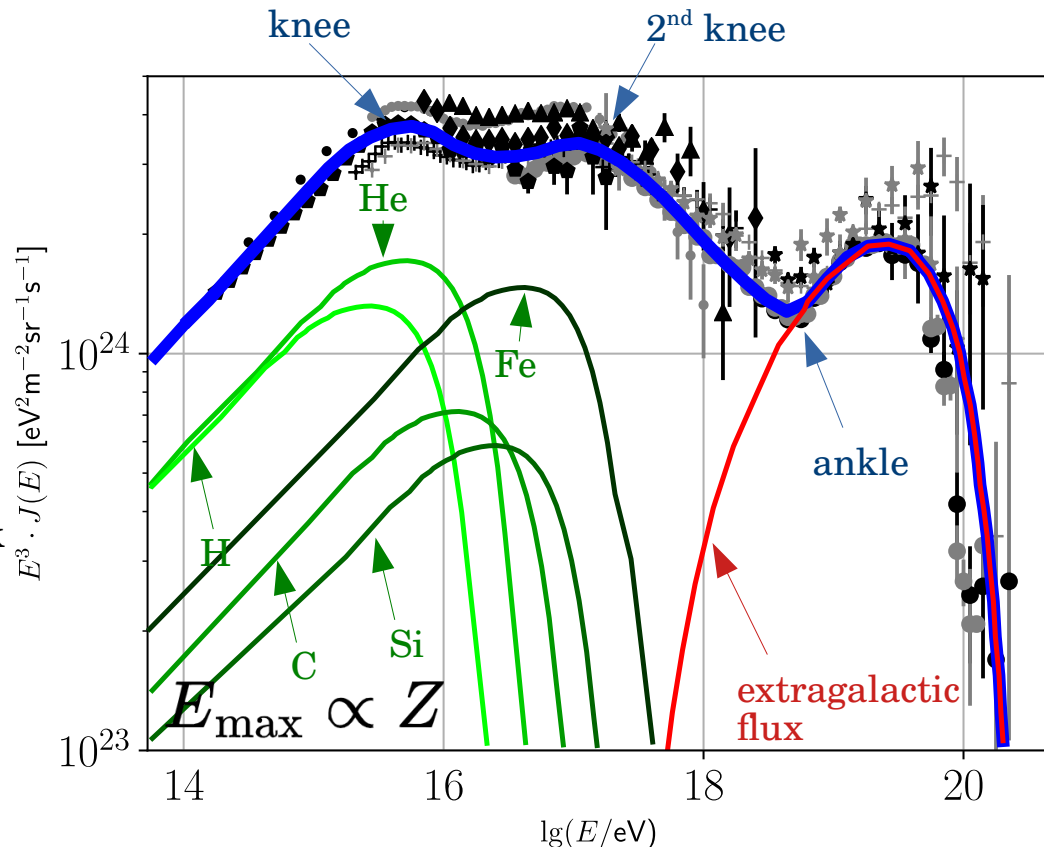
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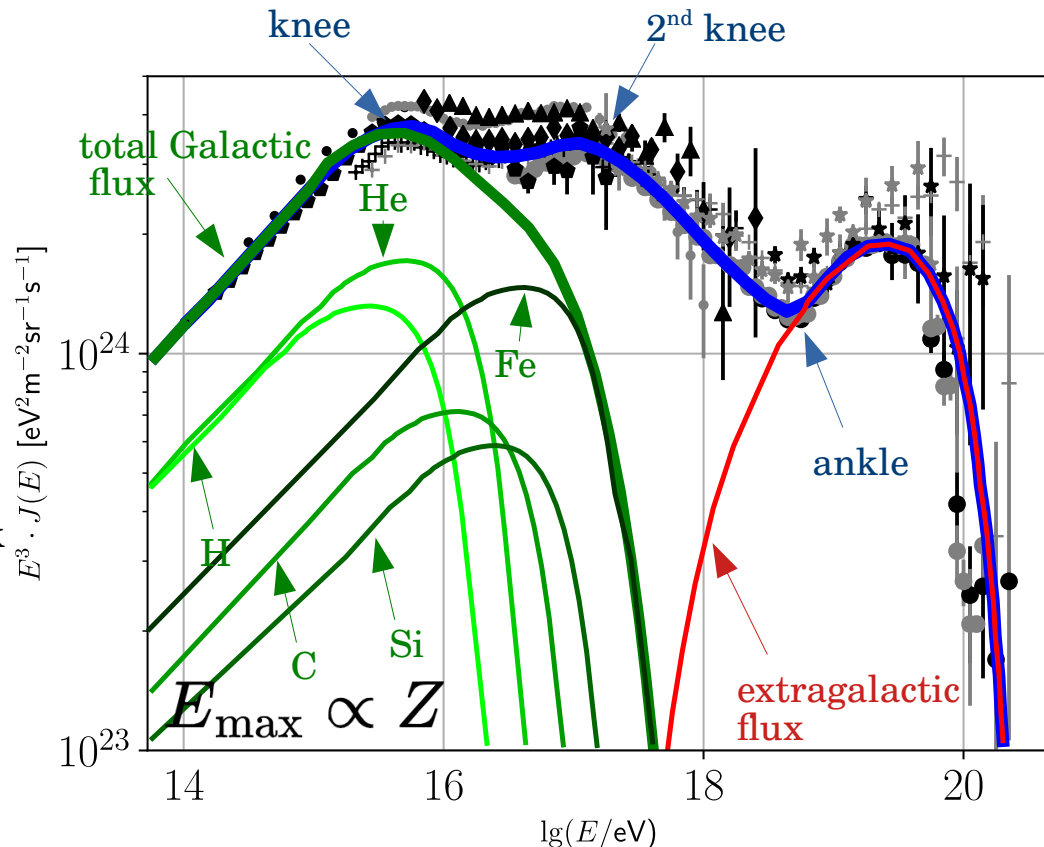
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Interlude:

Composition dependent:

- heavier
- maximum
- minimum
- **increases** high-energy

Increasing rigidity → **rigidity**

- source production
- **acceleration**
- **propagation** magnetic

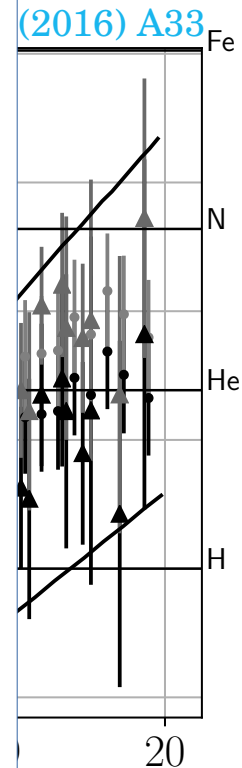
At ultra-high energies, cosmic ray composition is measured via:

$$\langle \ln A \rangle = \sum_i f_i \cdot \ln A_i$$

A_i : nuclear mass number of nucleus $i = \text{H, He, ..., Fe}$

f_i : fraction of nucleus i to total flux

- Measure of mean mass of flux



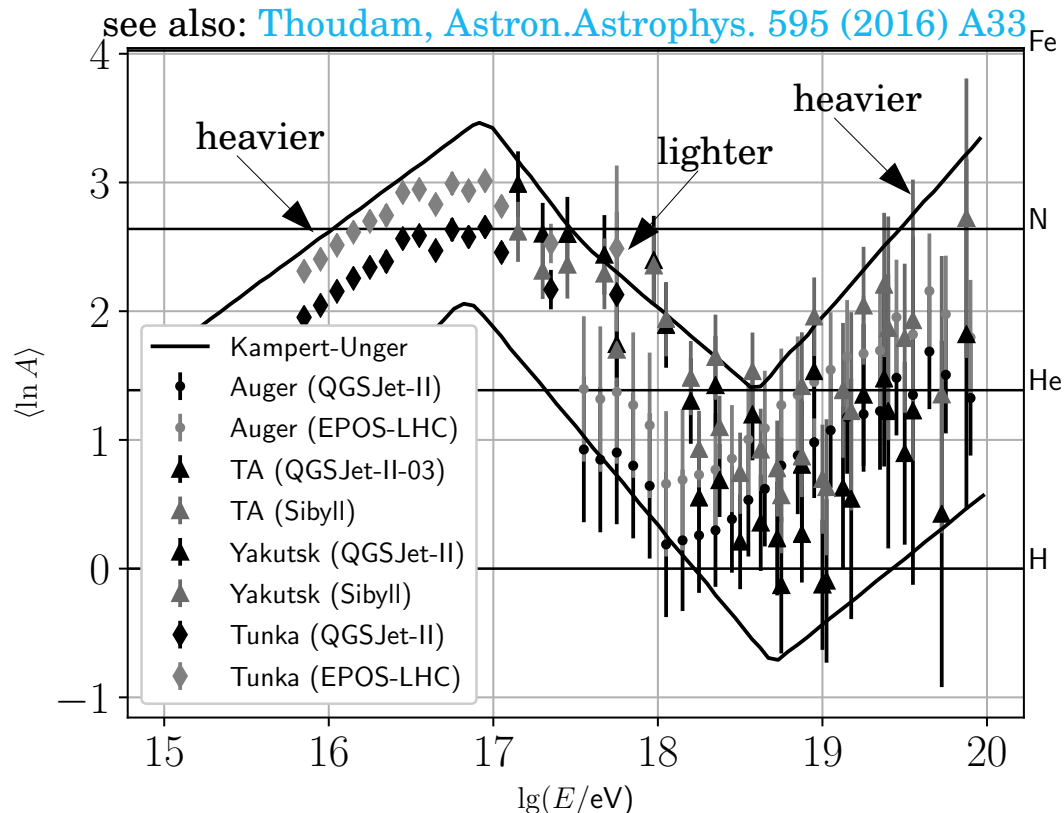
Cosmic ray composition

Composition highly energy-dependent:

- heavier beyond the ‘knee’
- maximum **before** ‘2nd knee’
- minimum just before ‘ankle’
- **increasing mean mass at high-energy cut-off**

Increasing mean mass
→ **energy-dependent** change in:

- source properties (**maximum acceleration energy**)
- **propagation regimes** in magnetic fields



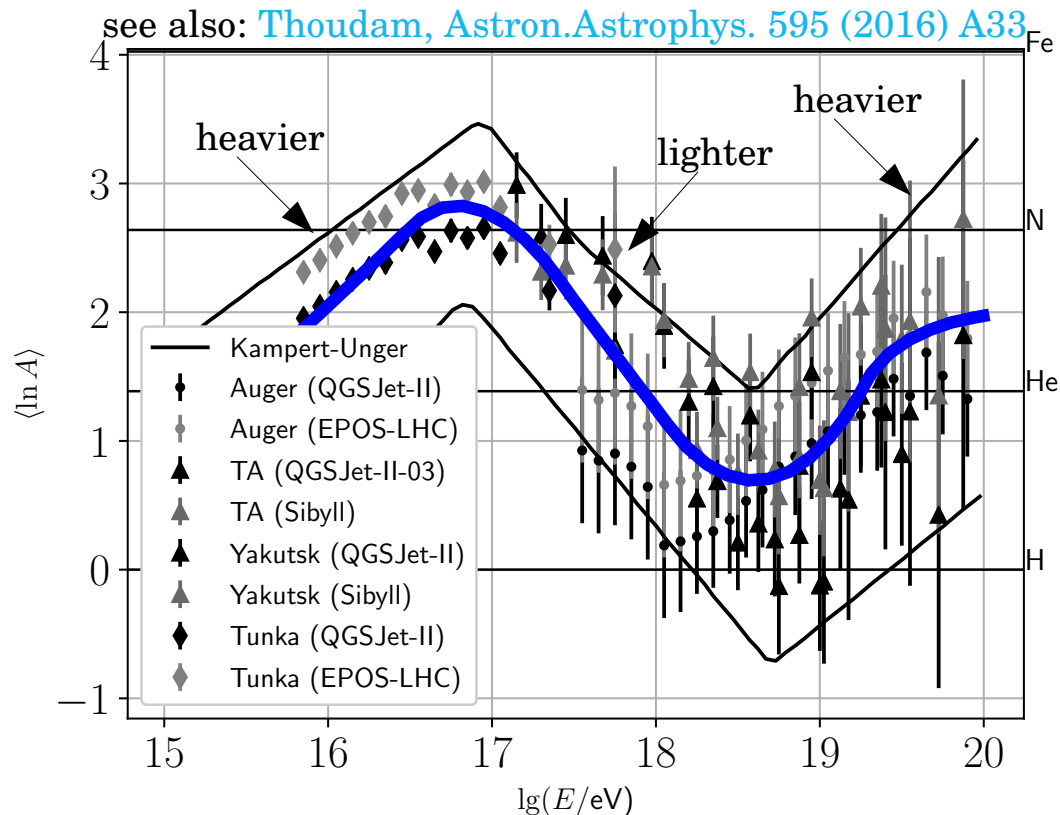
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Increasing mean mass
→ **rigidity-dependent** change in:

- source properties (**maximum acceleration energy**)
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“All” data in one look

Composition:

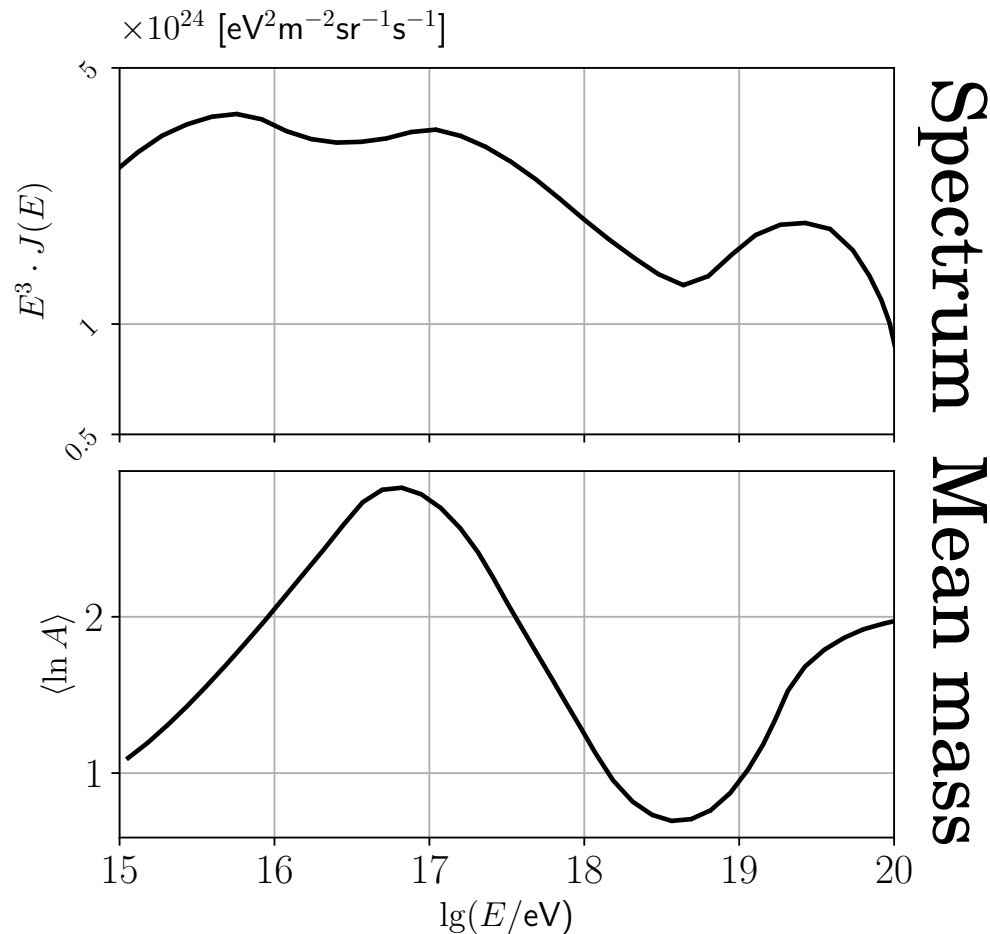
- What **explains ‘2nd knee’** if maximum mean mass is reached well before?
- Why does the composition become **lighter up to the ‘ankle’**?

Spectrum:

- How could **GCRs** be accelerated up to energies **beyond the ‘knee’**?
- What **constraints** are there on **low-energy** contribution of **EGCRs**?
- **How are observables affected by the propagation in the Galactic magnetic field (GMF)?**

Simulation:

- (Qualitatively) **reproduce features**



Computational challenges and requirements

Galactic magnetic field (GMF)

x-z projection of JF12 field

GMF model: JF12 (ApJ 757 14x) with three components:

- Large-scale regular
- Large-scale random (striated)
- (Small-scale) random

GMF has **three regions** of differing **field strength**:

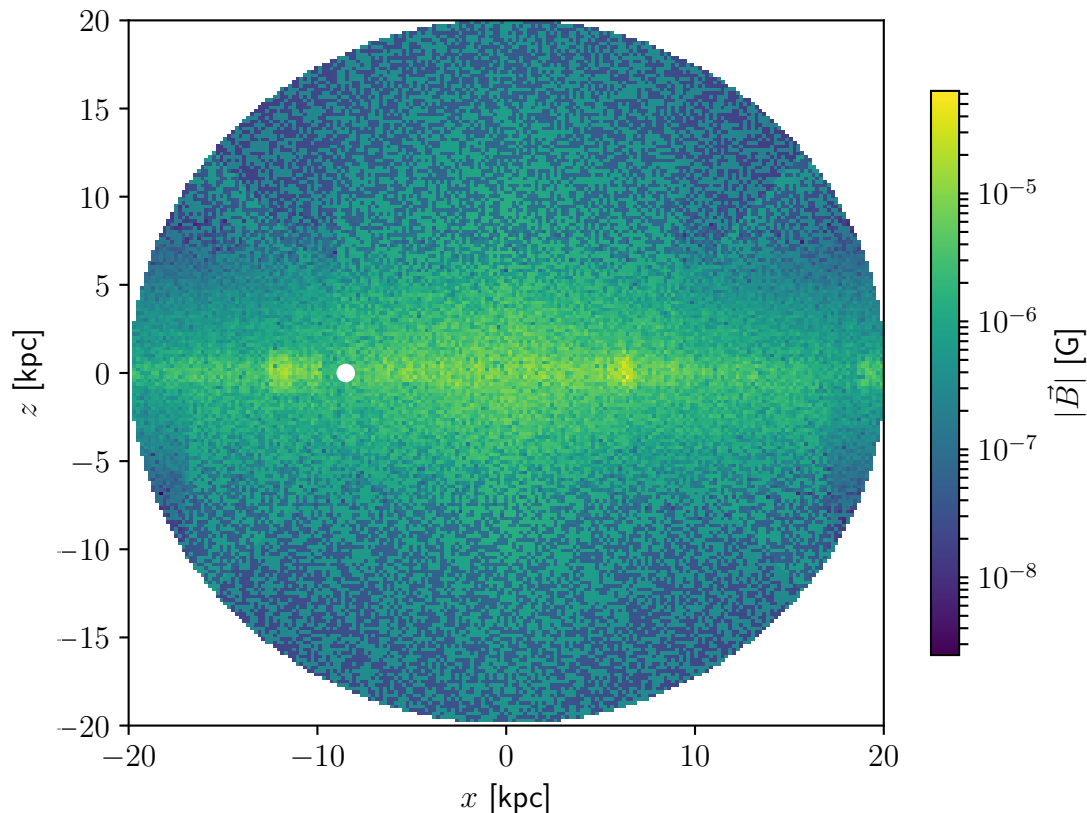
- **Galactic plane (GP):** $\sim 1 - 10 \mu\text{G}$
- Halo: $\sim 0.1 - 1 \mu\text{G}$
- Edge of Galaxy: $10 - 100 \text{ nG}$

Gyroradius r_g :

$$r_g[\text{pc}] \approx 11 \cdot \frac{R[\text{PV}] \cdot v_{\perp}/c}{B[\mu\text{G}]}, \quad R = E/Ze$$

Transition region = **change in propagation regimes**

- **diffusive** \rightarrow **ballistic** propagation



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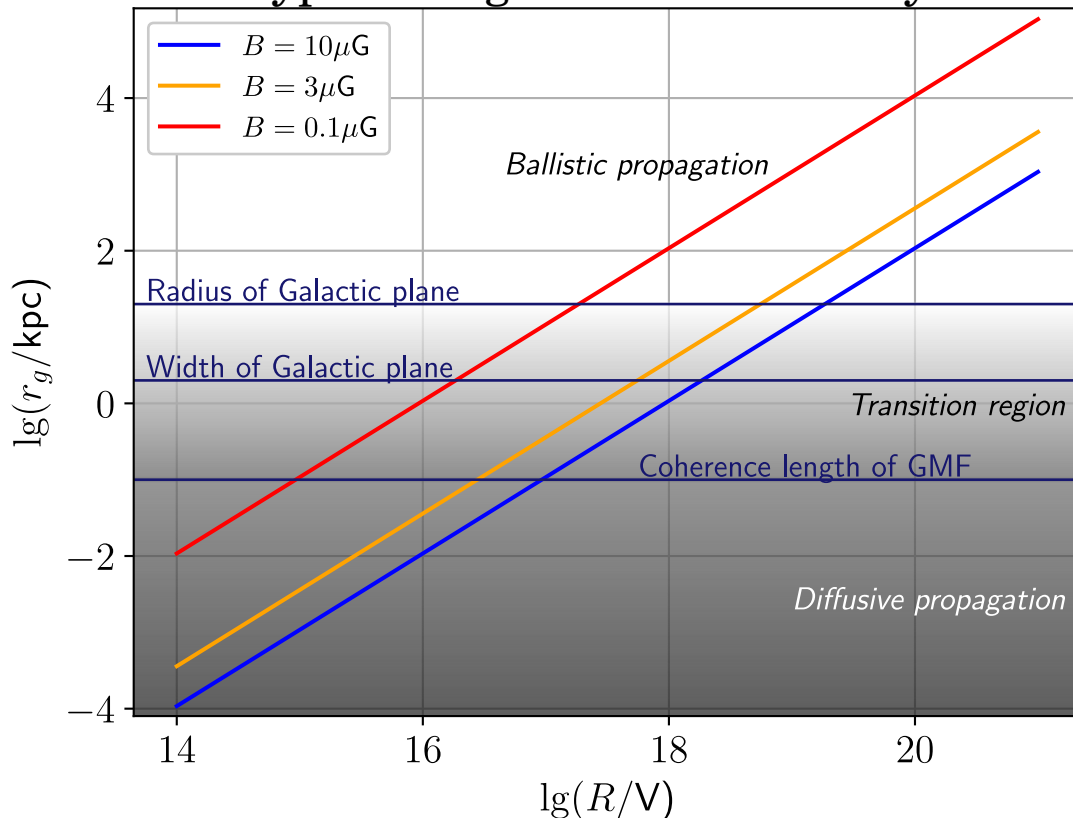
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Change of gyroradius with rigidity plus typical length scales of Galaxy



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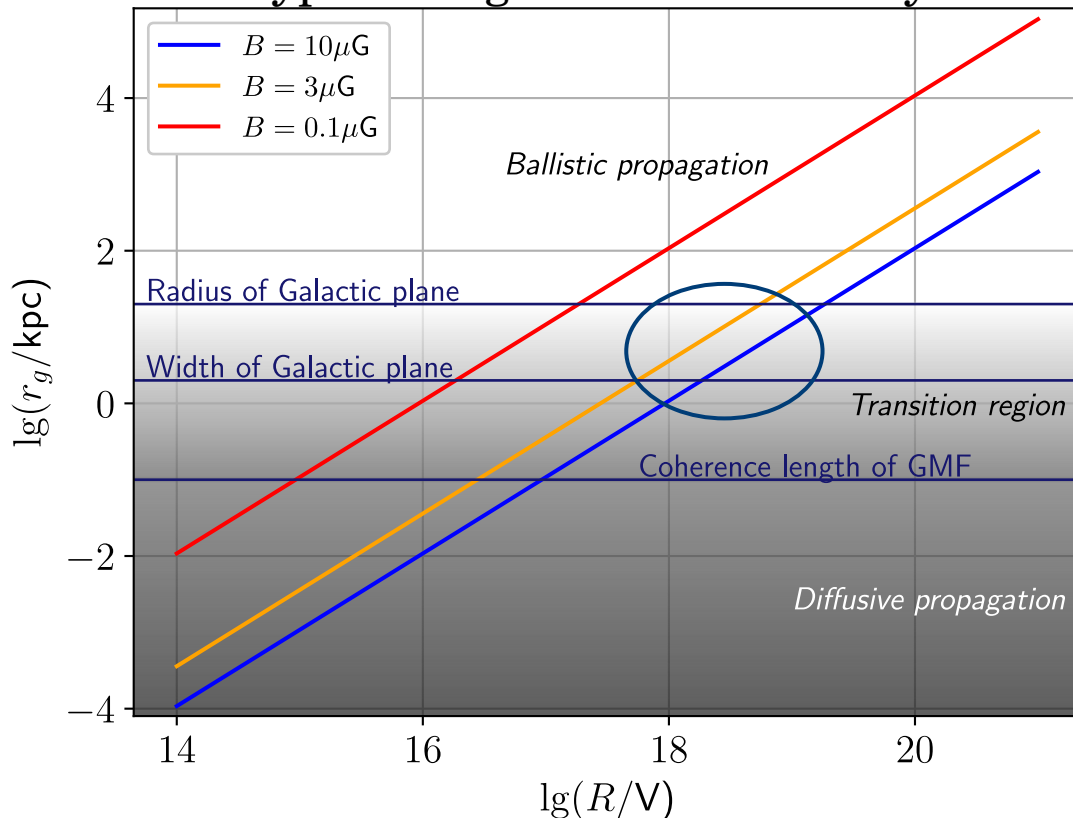
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Ballistic propagation

Trajectories of ballistically propagating GCRs

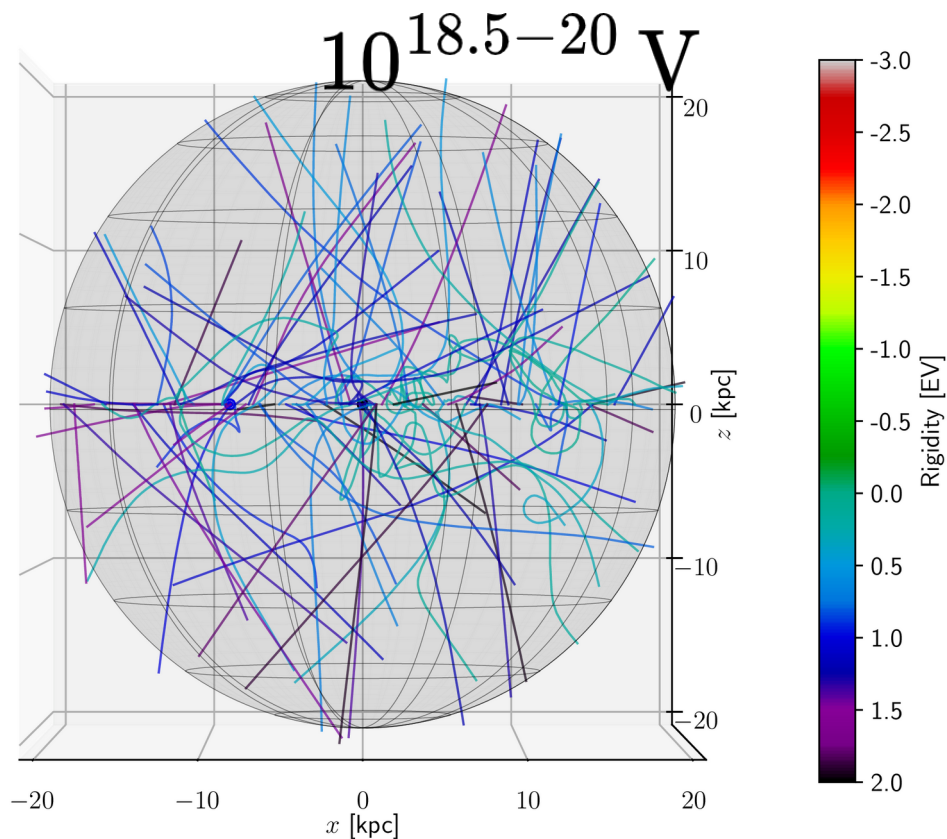
Solve equation of motion:

$$\ddot{\vec{r}} = \frac{q}{E/c^2} (\vec{v} \times \vec{B})$$

- tracking of single particles (microscopic view)
- best suited when r_g is large
- applicable for arbitrary fields
→ more fundamental and precise*
- particle trajectories are tracked
→ possibility of anisotropy studies

BUT:

- below $\approx 10^{17}$ V, computation times start to diverge
- also: precision dependent on grid size (*)



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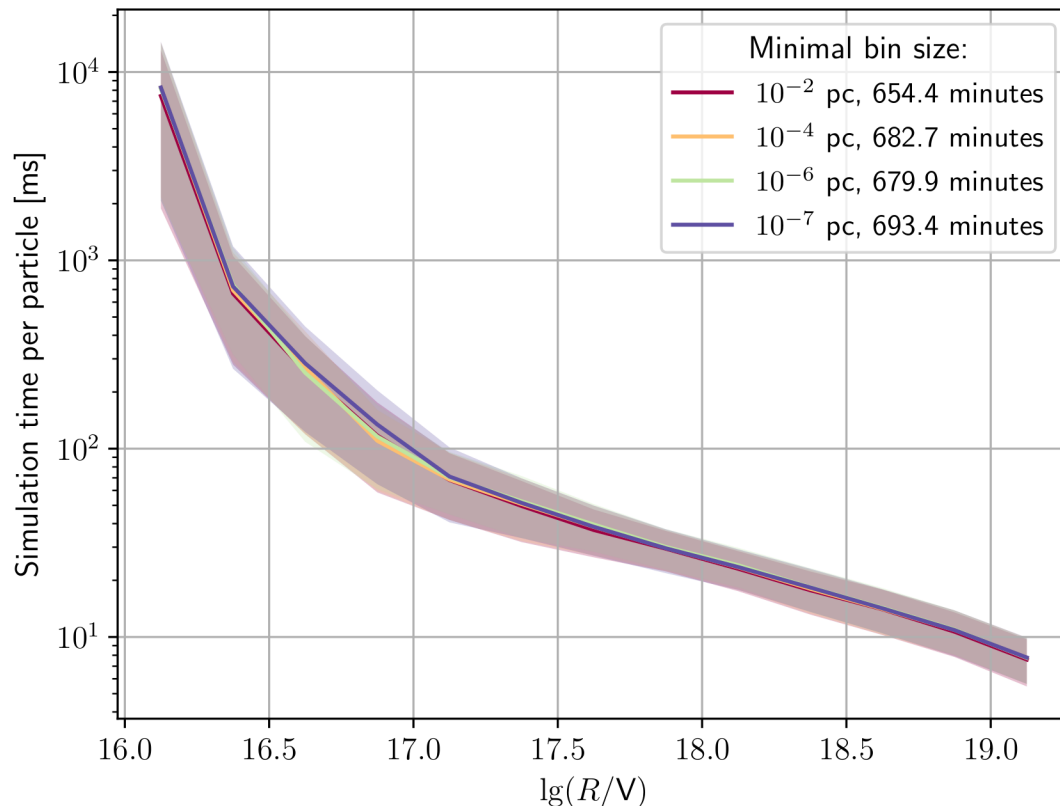
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Change of computation time per particle with rigidity for propagation in GMF



Diffusive propagation

Solve transport equation:

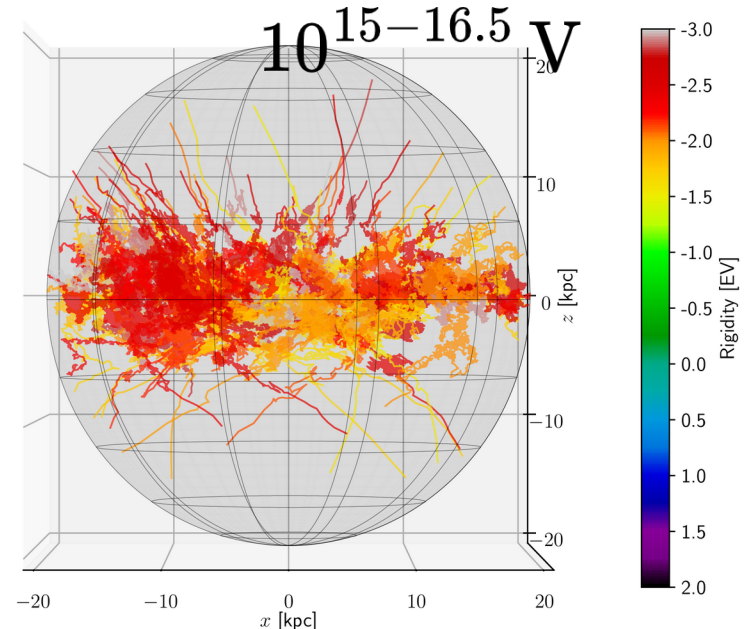
$$\frac{\partial n_l}{\partial t} = \sum_{j=1}^3 \frac{\partial}{\partial x_j} \left[\left(D_{jk} \cdot \frac{\partial}{\partial x_k} \right) n_l \right] - \frac{\partial}{\partial x_j} [u_j \cdot n_l] + \frac{\partial}{\partial p} \left[p^2 D_{pp} \frac{\partial}{\partial p} \left(\frac{n_l}{p^2} \right) \right] - \frac{\partial}{\partial p} \left[\dot{p} n_l - \frac{p}{3} (\nabla \cdot \vec{u}) \cdot n_l \right] + \sum_{j>l} \frac{v_l}{c} n_0 \int dp' \sigma_{j \rightarrow l}(p, p') n_j(p') - \frac{n_l}{\tau} + Q_l(p)$$

- multi-particle approach:
 - change of momentum density (macroscopic view)
- best suited when r_g is small & turbulent B-field component dominant
- generally shorter computation times

NOTE:

- CRPropa 3 has implement diffusive propagation module via SDEs ([JCAP 06 \(2017\) 046](#))
- For a full description of the transition region both propagation methods must be applied

Trajectories of diffusively propagating GCRs



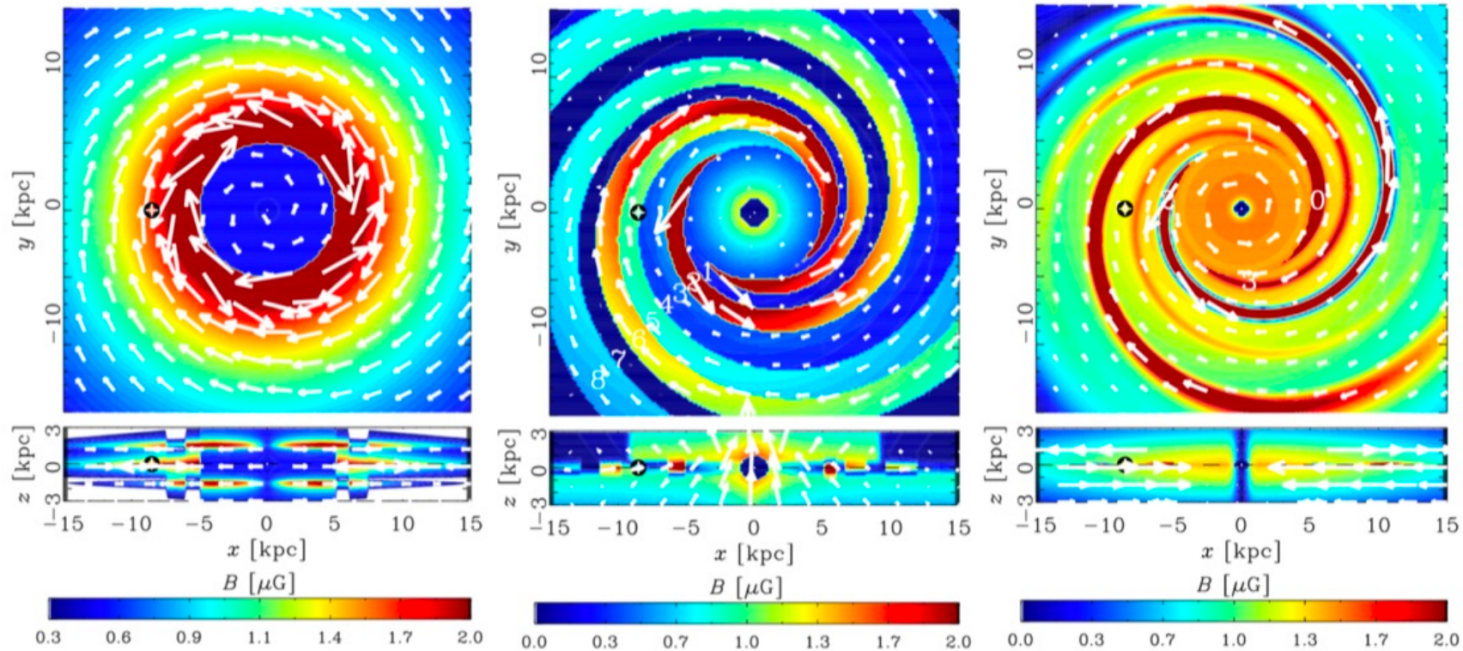
Major challenge: GMF model

x-y and x-z projections of coherent field for various GMF models

Sun08

JF12

Jaffe13



GMF not well known:

- field strength inferred indirectly via observables \rightarrow uncertainty in quantities, contamination
- ad hoc assumptions necessary (simplifications): morphological features (spiral arms, halo field), field components (regular, turbulent etc.)

Combating the transition region: Propagation in the GMF

Procedure: Ballistic propagation with CRPropa3

Forward tracking:

- particle tracked **from source to observer**:
- highly **inefficient** (1:10²⁸ for observer the size of Earth)
→ increase observer size, BUT: this introduces **artefacts!**

Only propagation effects (i.e. only deflections/no interactions):

- propagation of **one nuclear species: proton** → results can be scaled to all nuclei (important for composition)

Galactic magnetic field model:

- **JF12** (including regular, random and striated components)
→ edge of Galaxy defined as volume within which GMF is defined (20 kpc sphere are Galactic centre)

Source properties:

- R^{-1} injection spectrum, $\lg(R/V) = 16.0 - 20.0$

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Sources and observers

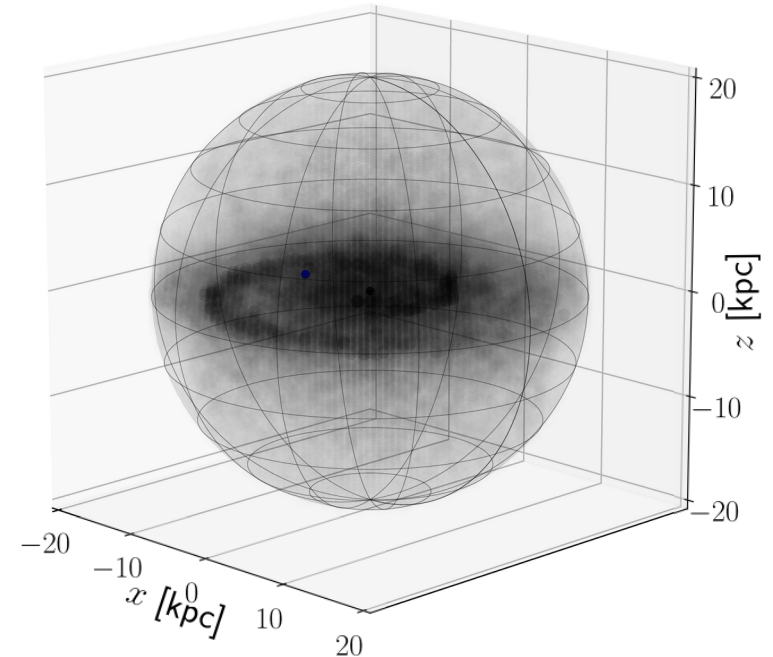
Sources:

- GCRs:
 - **homogeneously distributed in GP**
 - isotropic injection direction distribution
- EGCRs:
 - **isotropic injection:** Lambertian injection direction distribution from Galactic shell

Observers:

- **‘Galactic plane’:** cylinder of 100 pc height around Galactic centre with variable radius
- **‘Earth’:** observer sphere at Earth’s position in Galactic coordinates (-8.5 kpc, 0, 0)

Galactic volume with GMF



Sources and observers

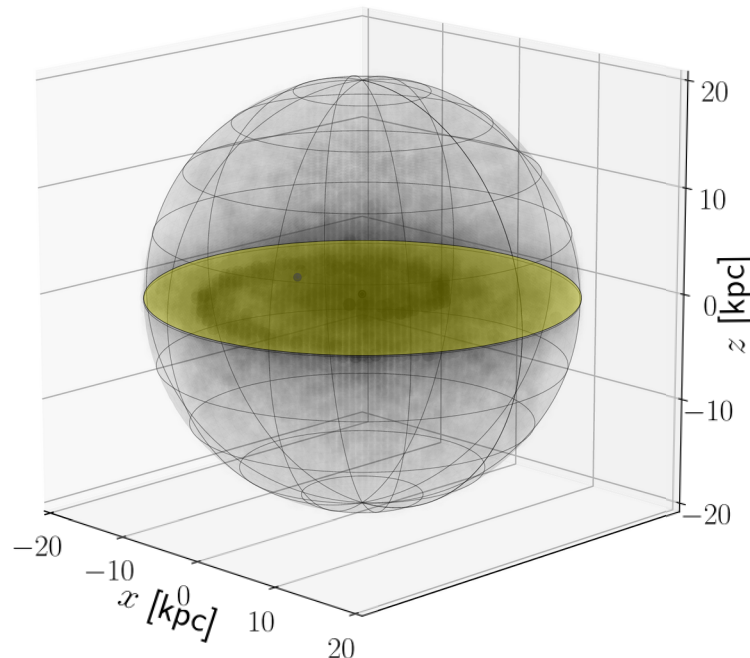
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GCR source distribution



Sources and observers

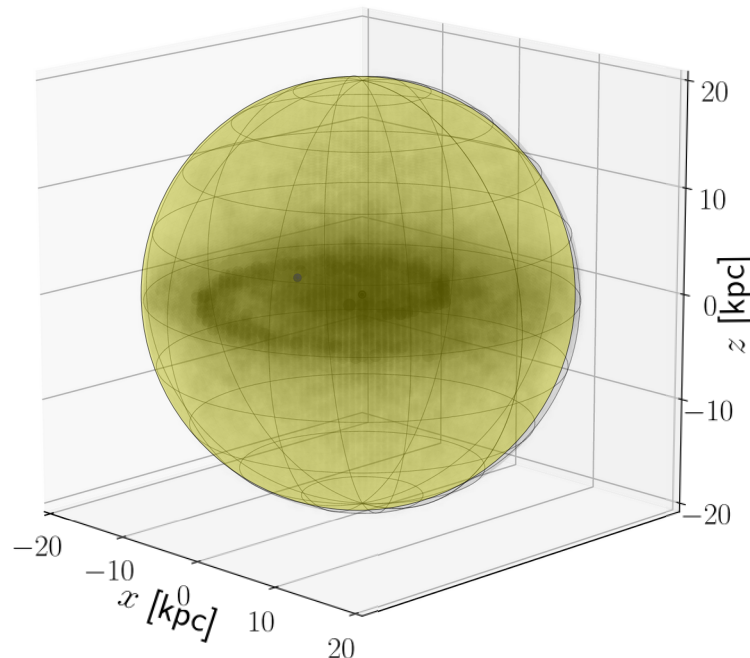
Sources:

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EGCR source distribution



Sources and observers

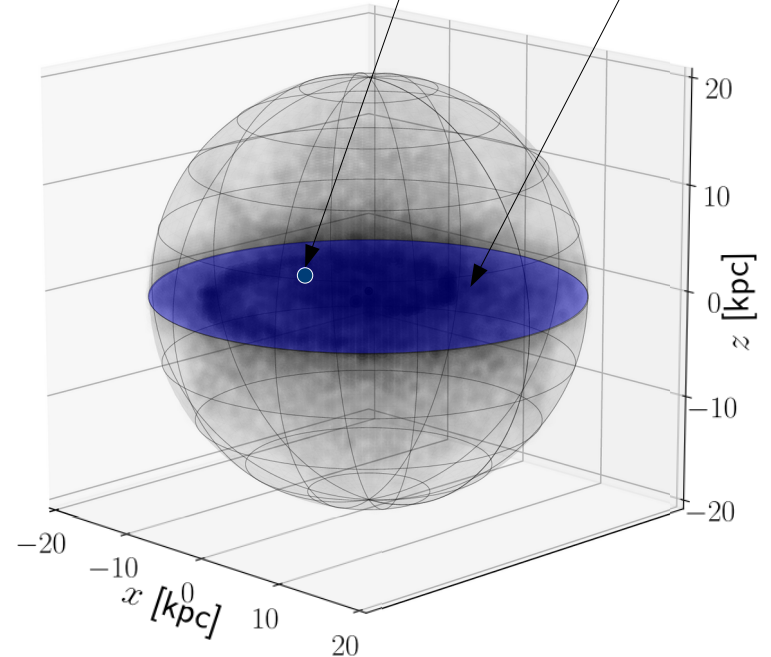
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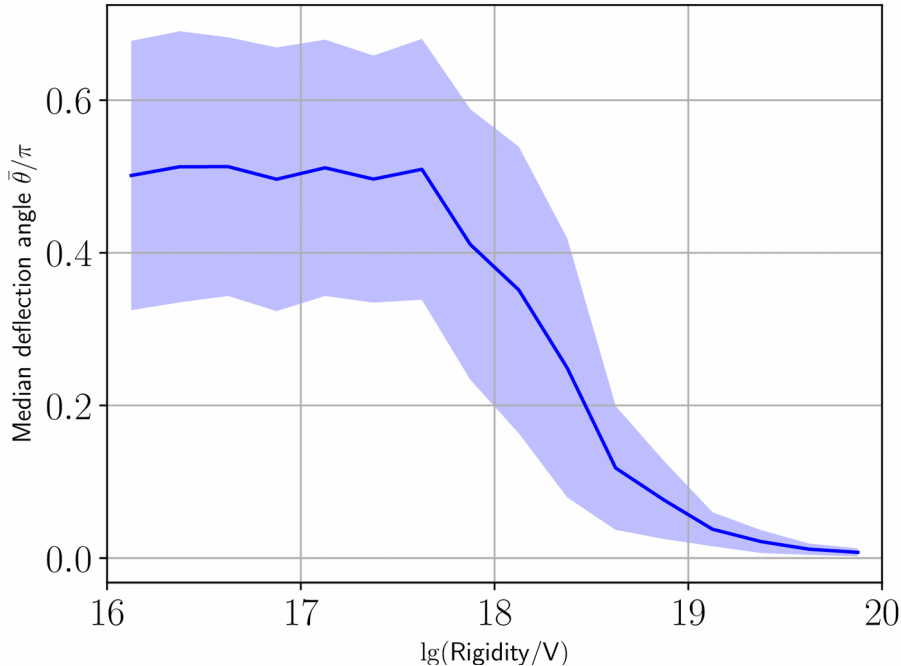
- **‘Galactic plane’:** cylinder of 100 pc height around Galactic centre with variable radius
- **‘Earth’:** observer sphere at Earth’s position in Galactic coordinates (-8.5 kpc, 0, 0)

Observer types: Earth and GP

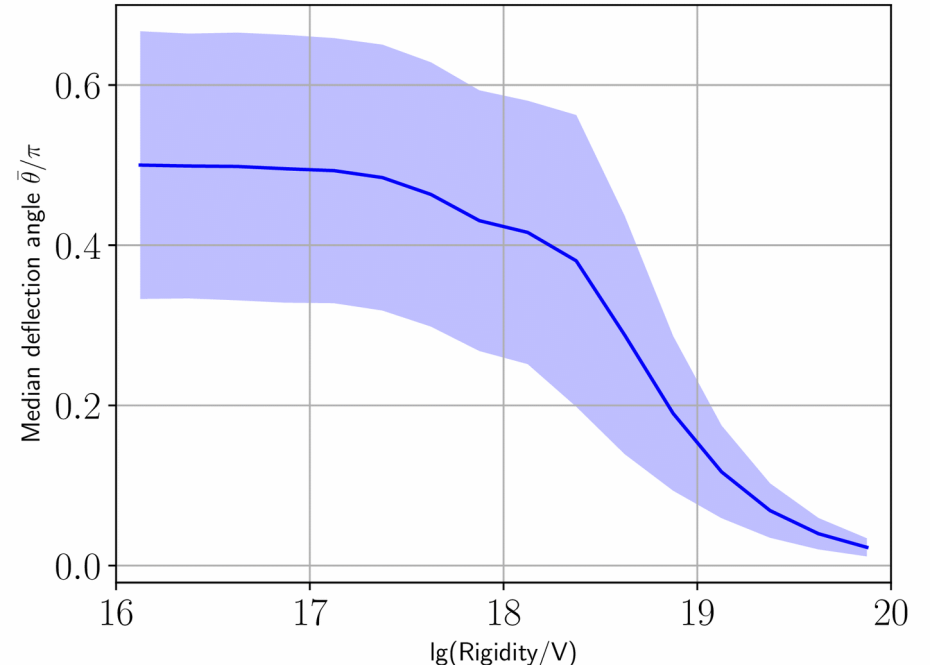


Change in propagation regimes: Deflection angle

GCRs forward tracked to Earth



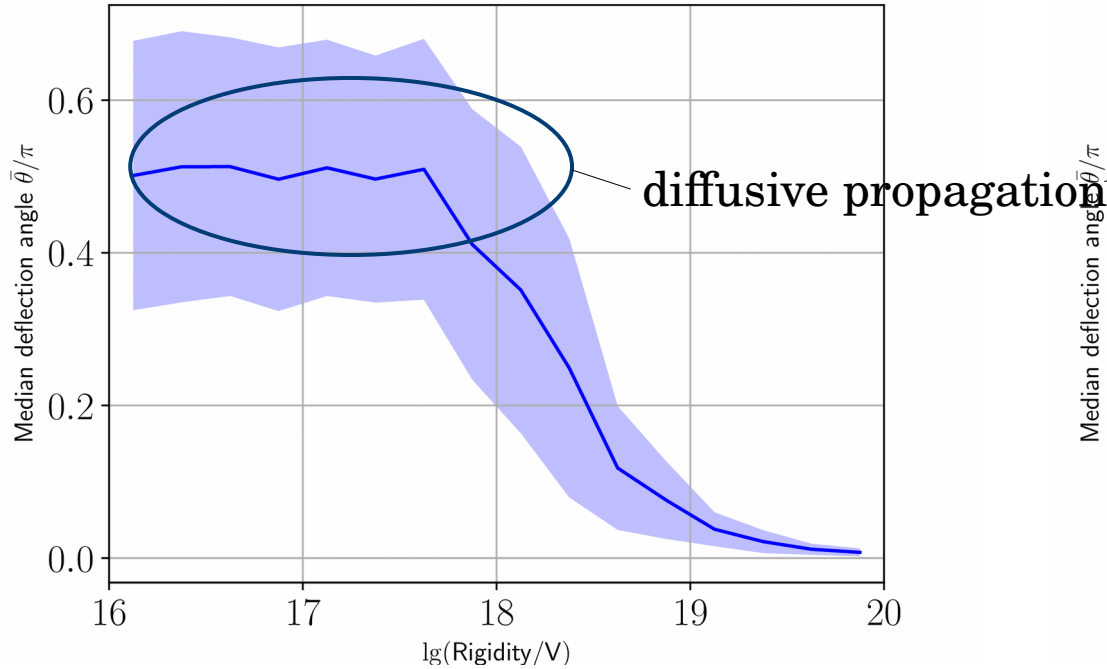
EGCRs backtracked from Earth



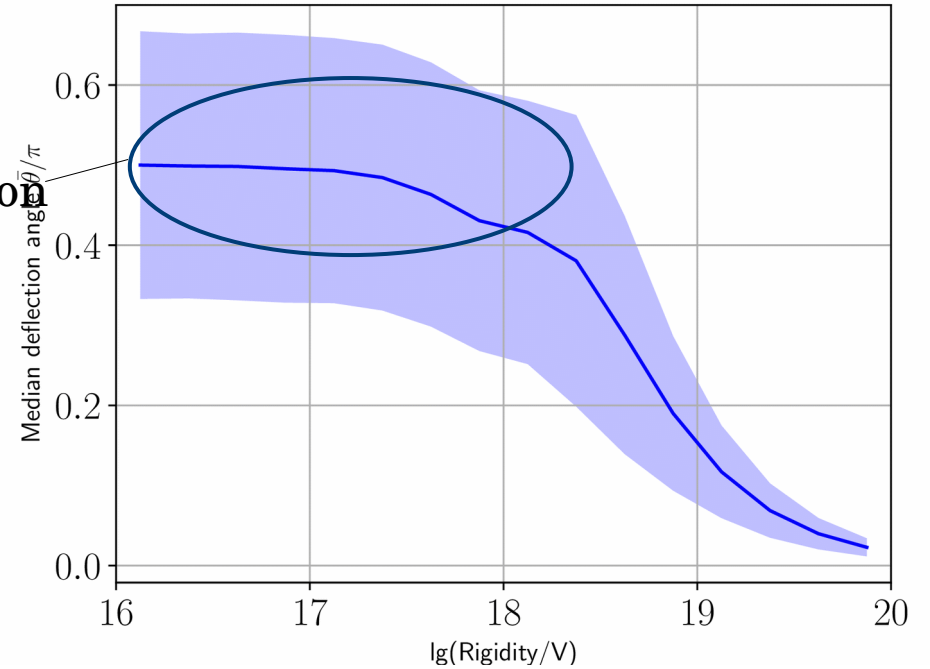
$\theta = \pi/2$ for $\lg(R/V) \leq 17 \rightarrow$ **diffusive** propagation
(see also: [Erdman, Astropart.Phys. 85 \(2016\) 54-64](#))

Change in propagation regimes: Deflection angle

GCRs forward tracked to Earth



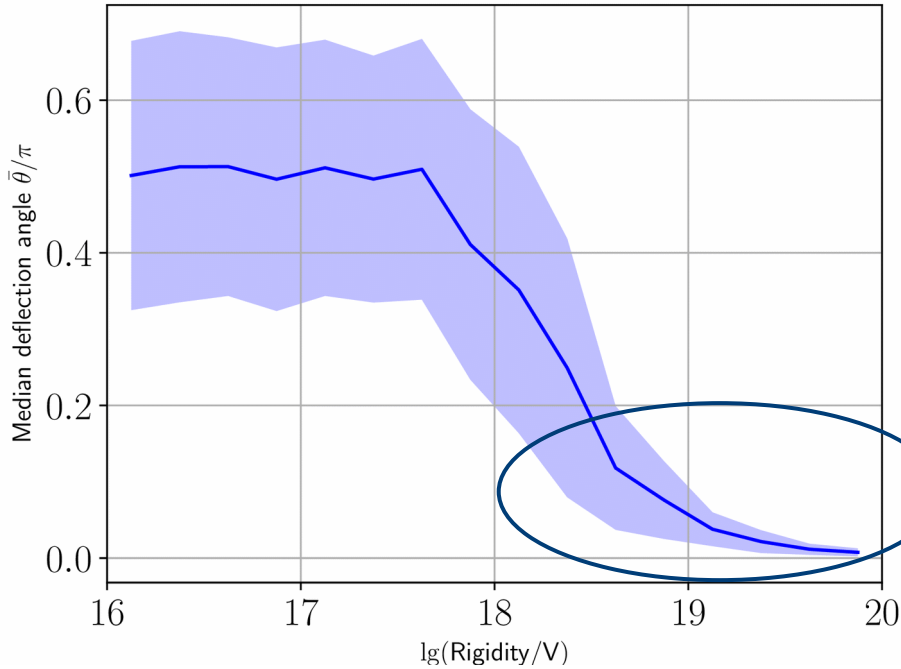
EGCRs backtracked from Earth



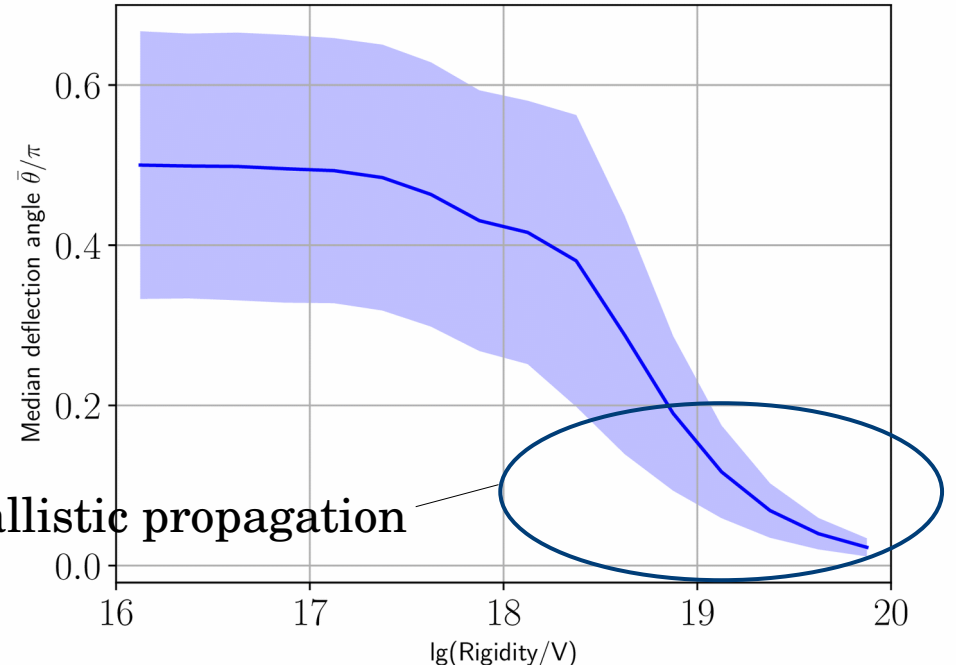
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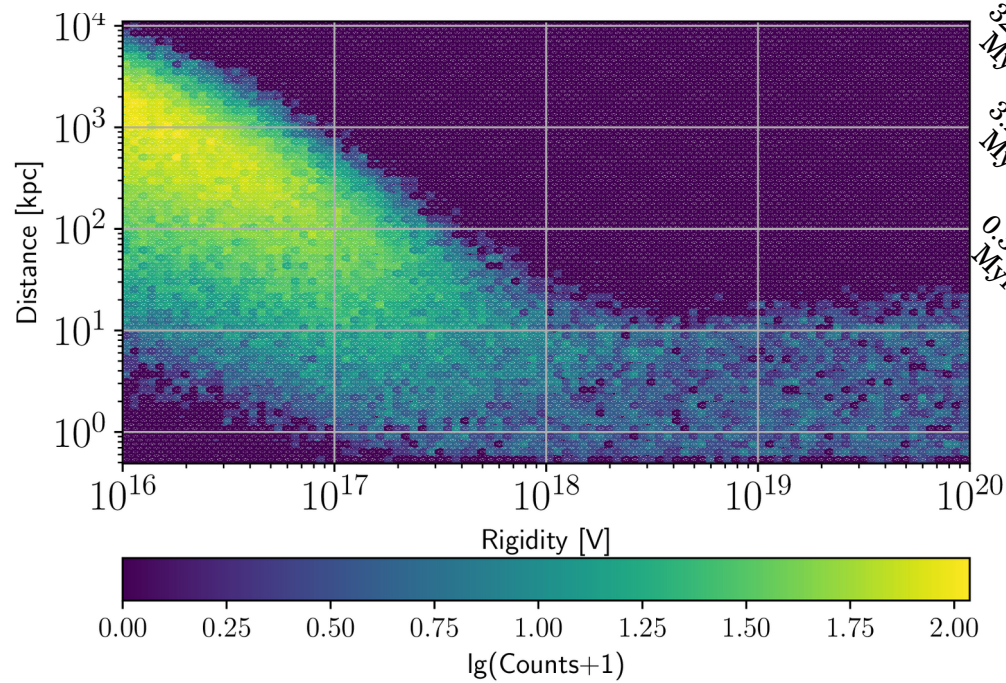
EGCRs backtracked from Earth



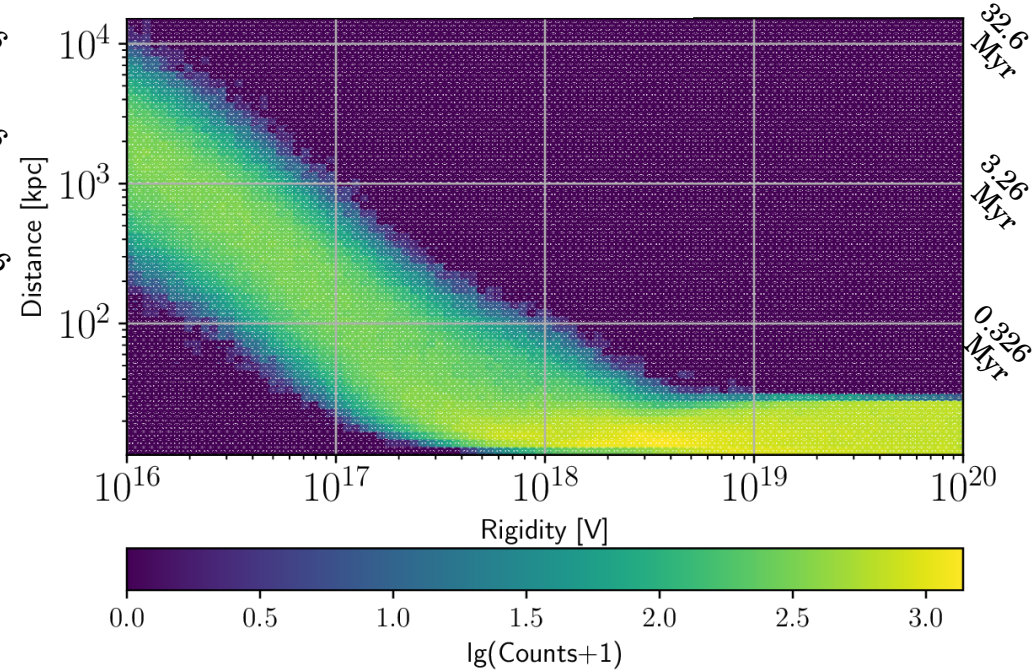
$\theta = \pi/2$ for $\lg(R/V) \leq 17 \rightarrow$ **diffusive** propagation
(see also: [Erdman, Astropart.Phys. 85 \(2016\) 54-64](#))

Change in propagation regimes: Propagation time

GCRs forward tracked to Earth



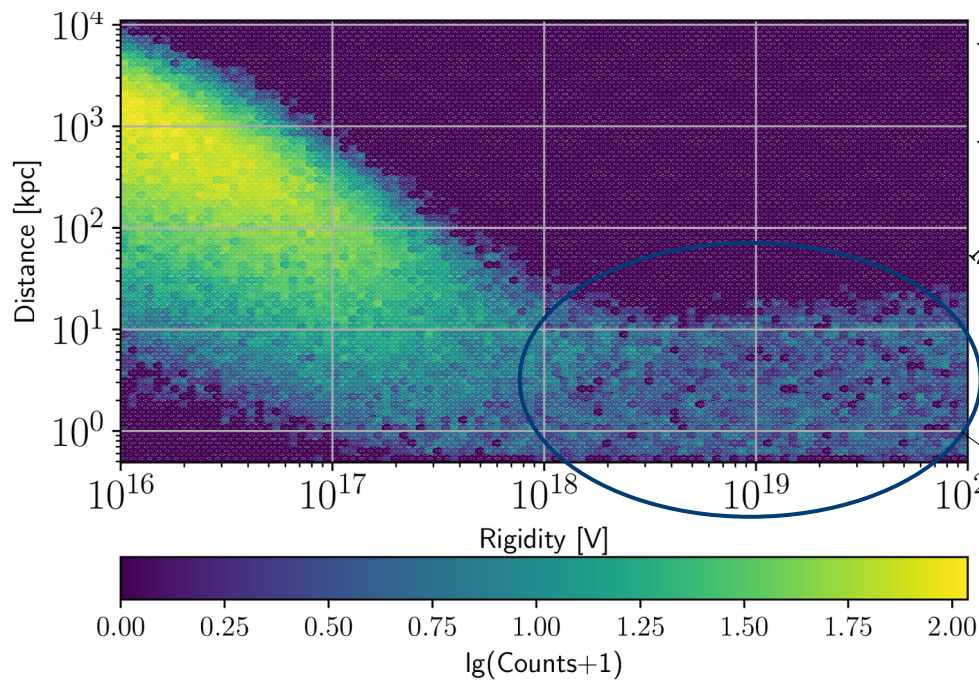
EGCRs backtracked from Earth



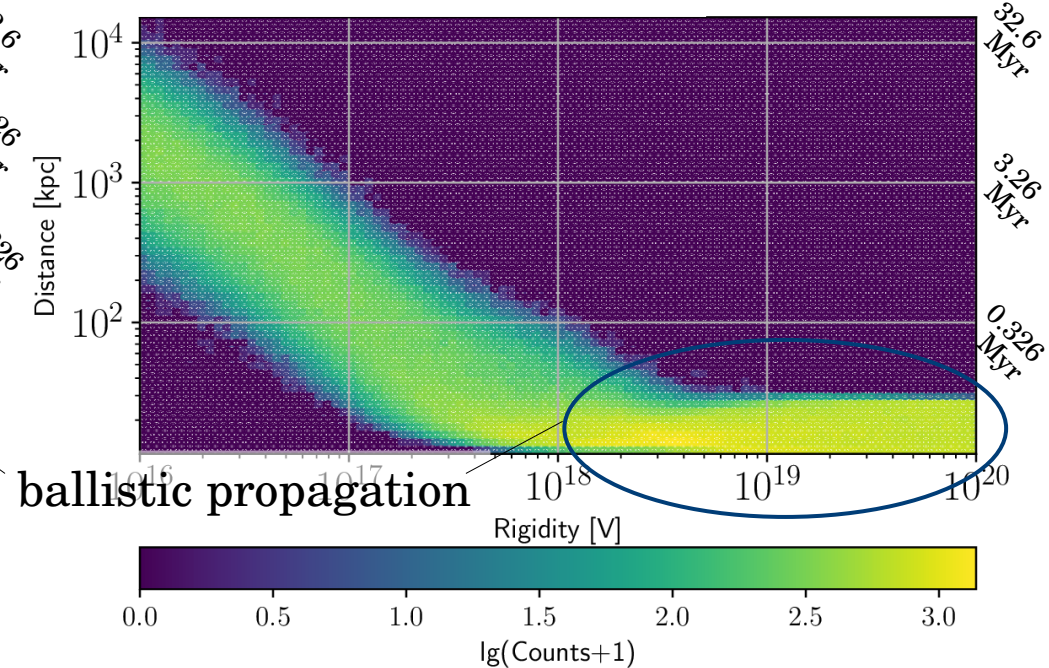
Propagation time increases below rigidities of a few EV.

Change in propagation regimes: Propagation time

GCRs forward tracked to Earth



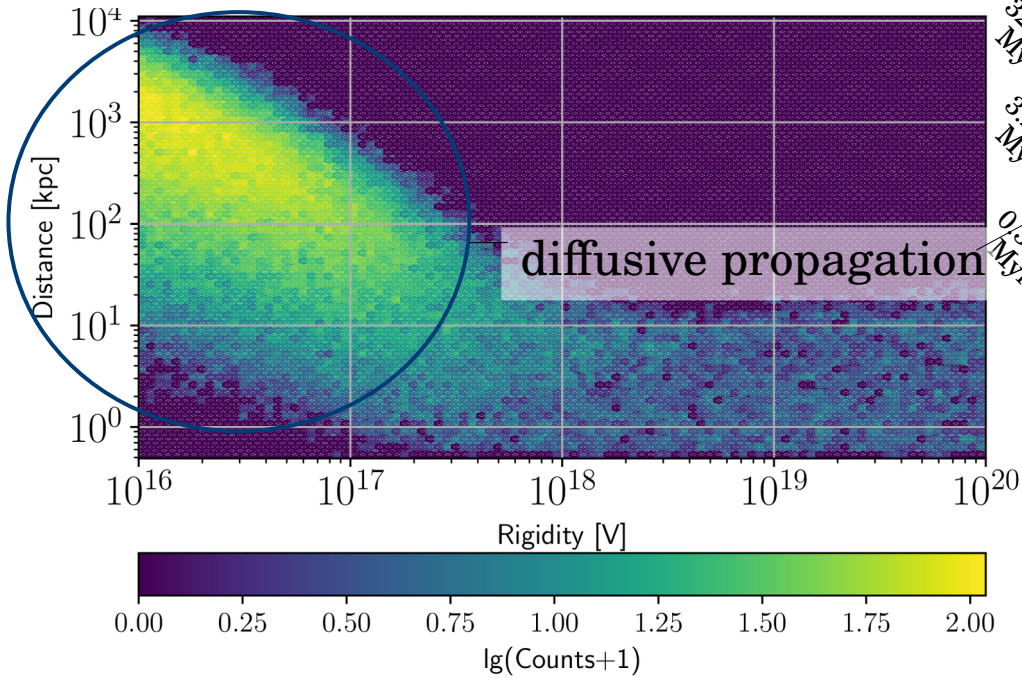
EGCRs backtracked from Earth



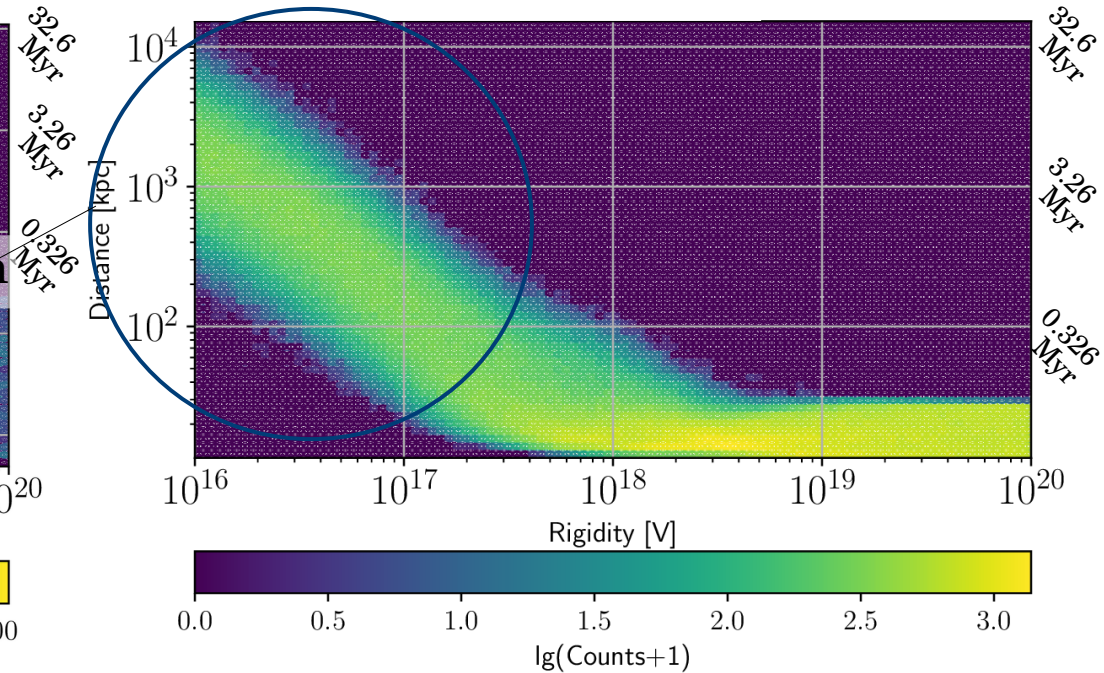
Propagation time increases below rigidities of a few 1 EV.

Change in propagation regimes: Propagation time

GCRs forward tracked to Earth

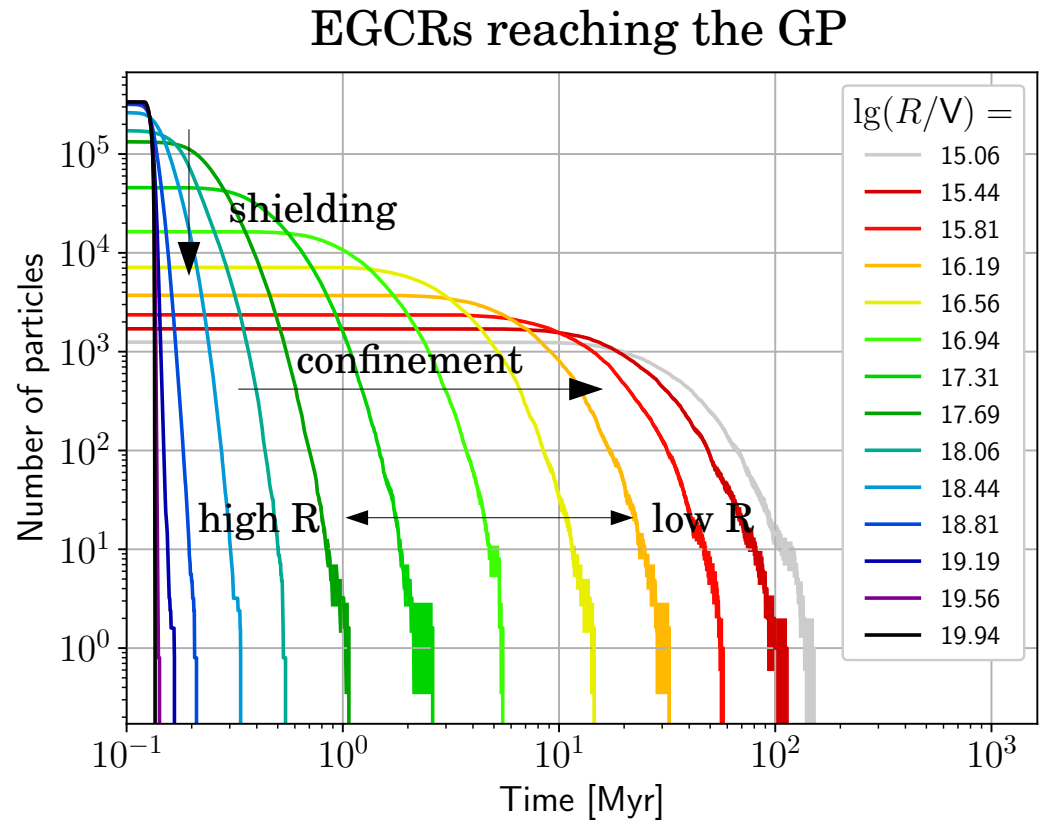
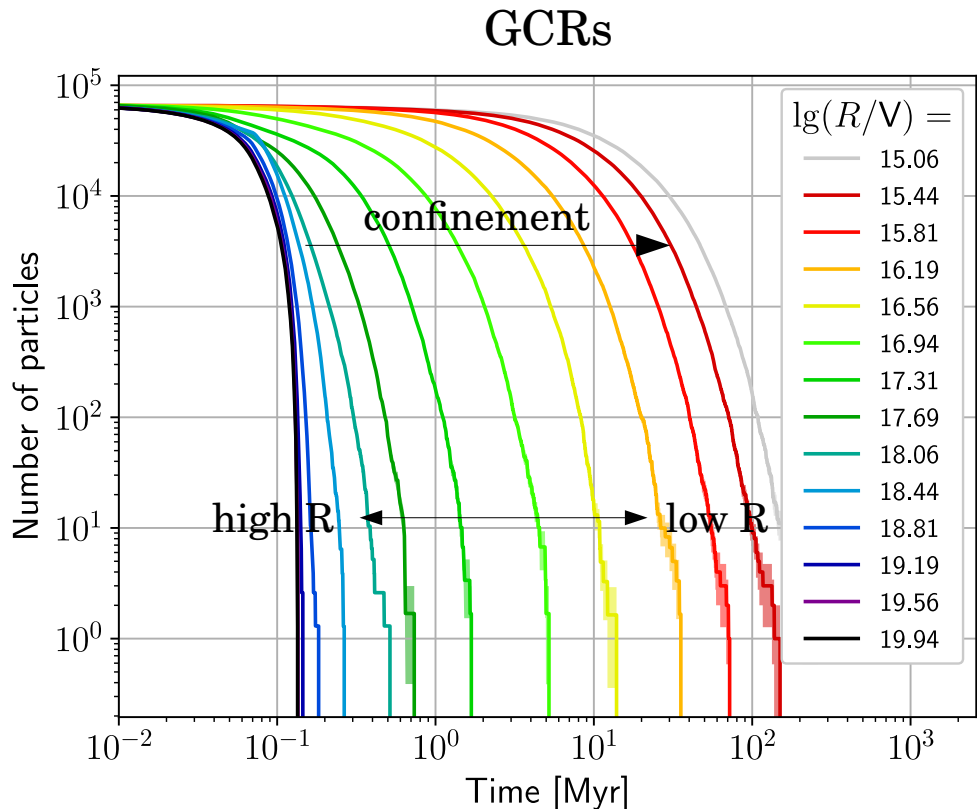


EGCRs backtracked from Earth



Propagation time increases below rigidities of a few 1 EV.

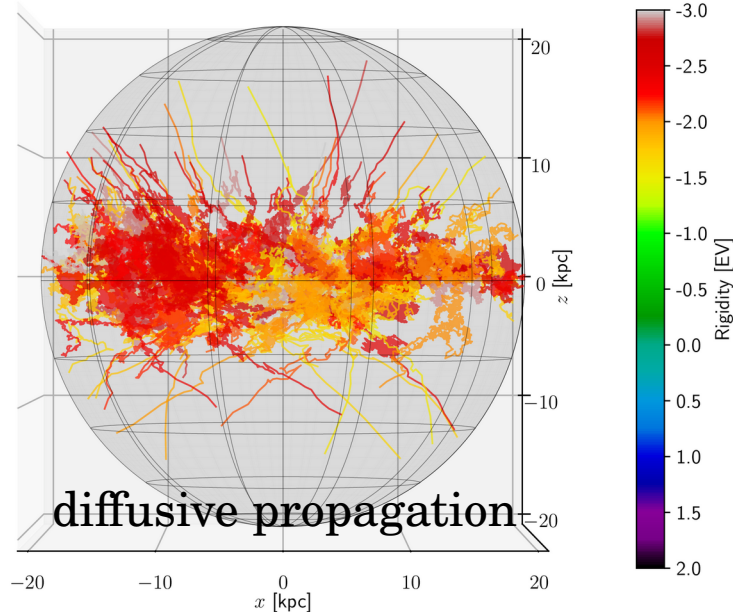
Propagation effects: Galactic residence time



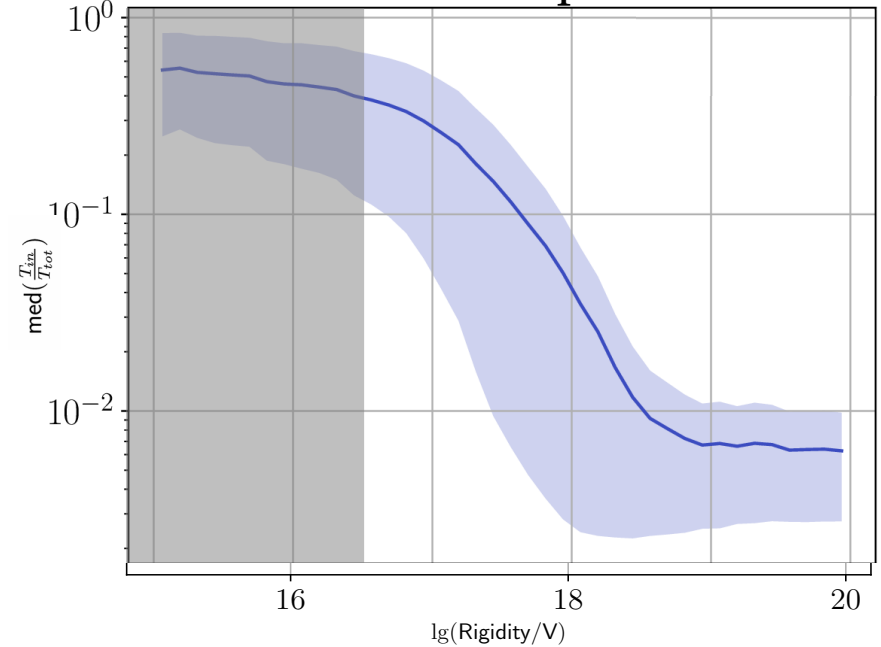
NOTE: Lowest-rigidity particles have residence times up to 100 Myr.

Propagation effects: GCRs – Confinement in GP

Galactic trajectories ($\lg(R/V) = 15 - 16.5$)



Relative time spent in GP

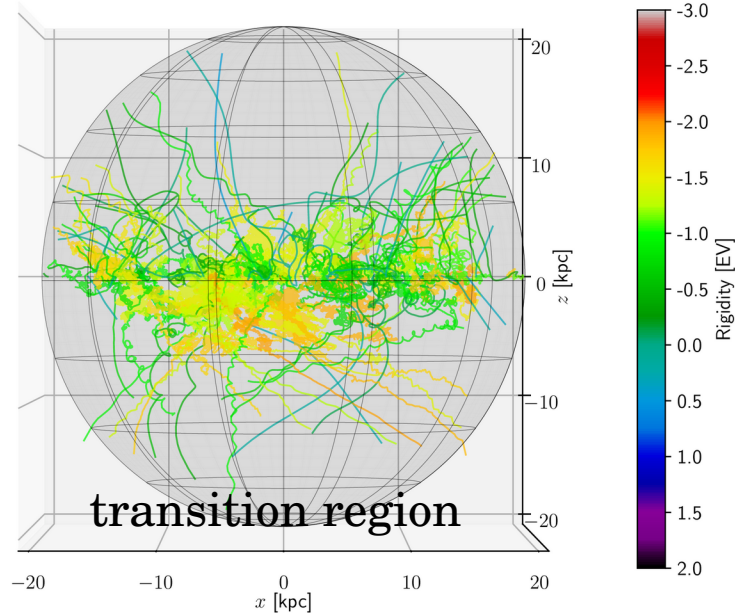


Decreasing confinement in GP with rigidity.

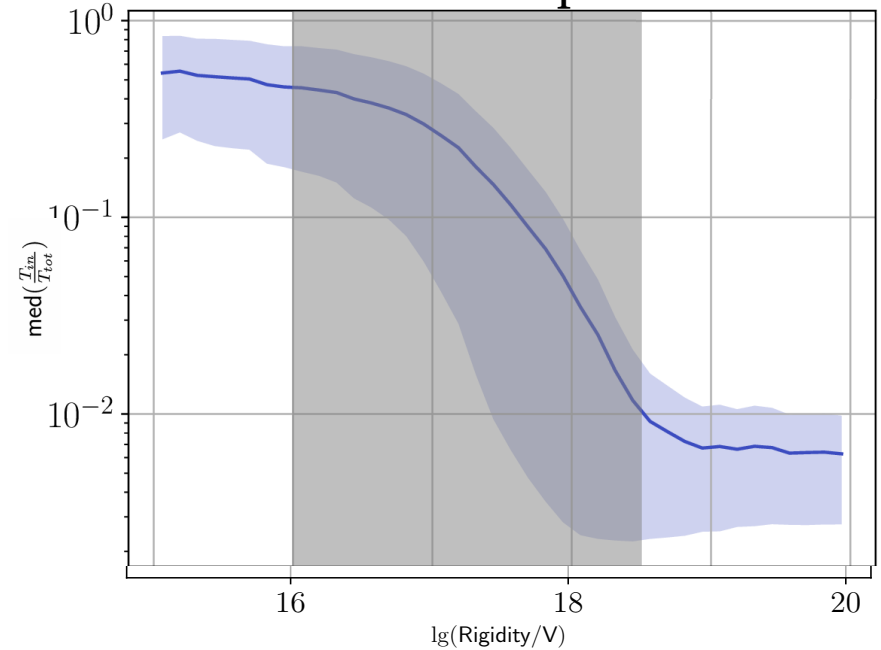
Relative time spent in GP decreases with rigidity; **inflection point at a few EV.**

Propagation effects: GCRs – Confinement in GP

Galactic trajectories ($\lg(R/V) = 16 - 18.5$)



Relative time spent in GP

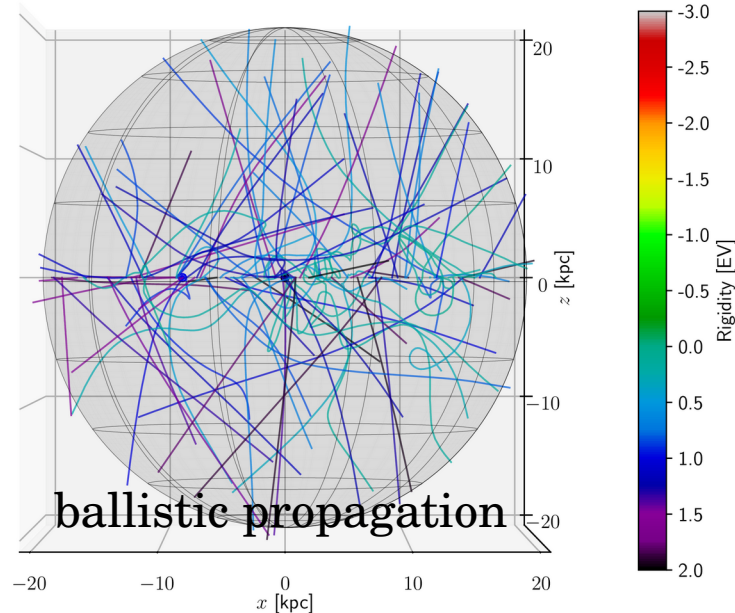


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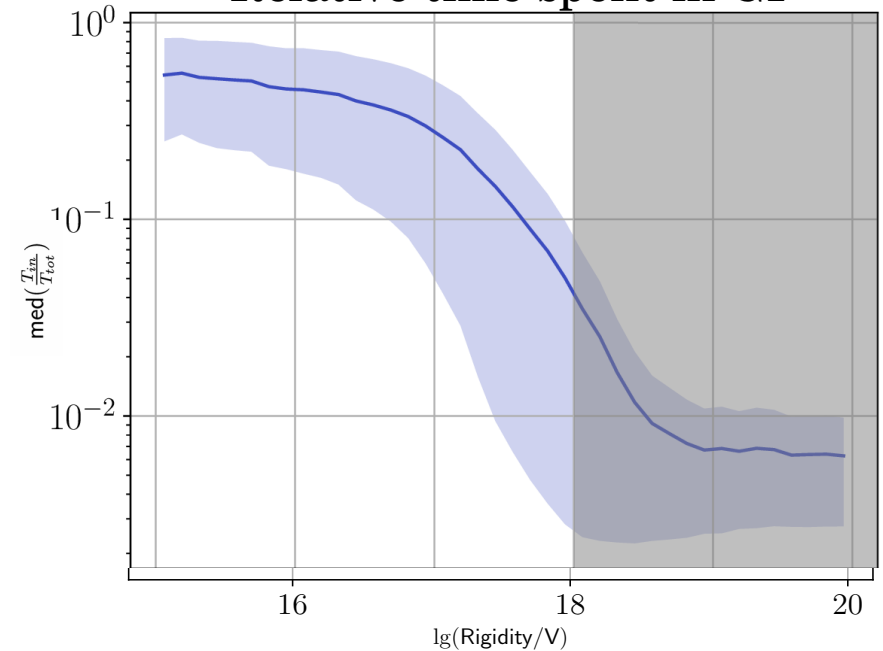
Relative time spent in GP decreases with rigidity; **inflection point at a few EV.**

Propagation effects: GCRs – Confinement in GP

Galactic trajectories ($\lg(R/V) = 18 - 20$)



Relative time spent in GP

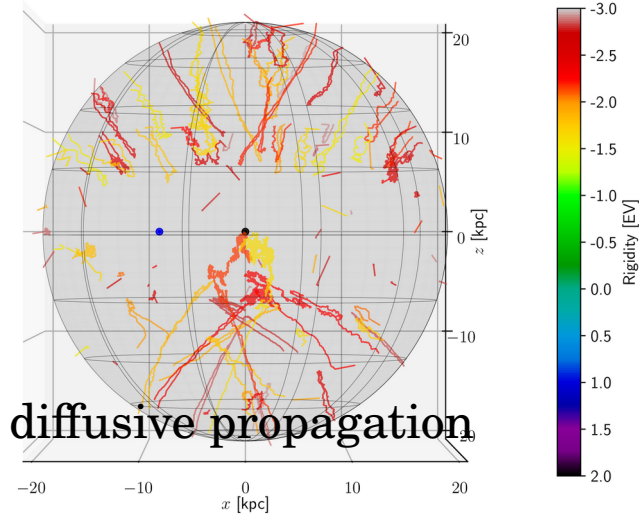


Decreasing confinement in GP with rigidity.

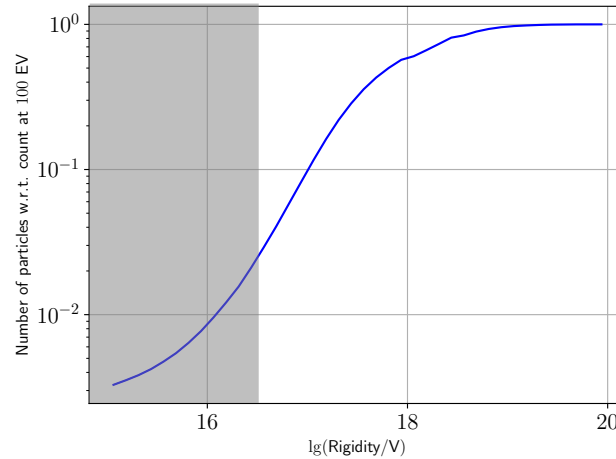
Relative time spent in GP decreases with rigidity; **inflection point at a few EV.**

Propagation effects: EGCRs – Shielding from vs. confinement in GP

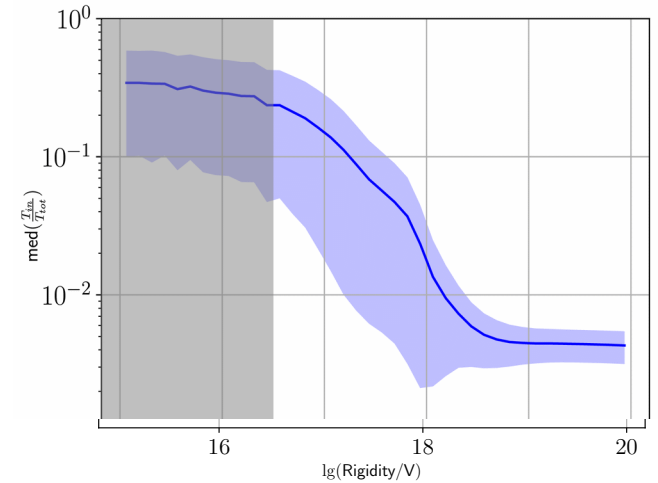
Galactic trajectories
($\lg(R/V) = 15 - 16.5$)



CR count reaching GP



Relative time spent in GP



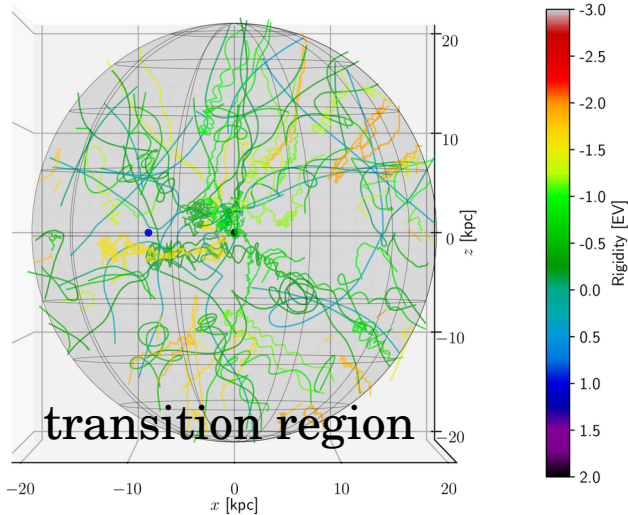
**Decreasing shielding
from and confinement in
GP with rigidity.**

**CR count decreases for
smaller rigidities;
inflection point at
a few EV.**

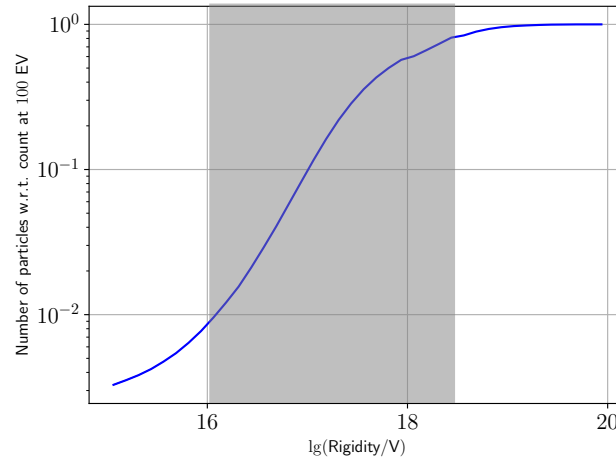
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Propagation effects: EGCRs – Shielding from vs. confinement in GP

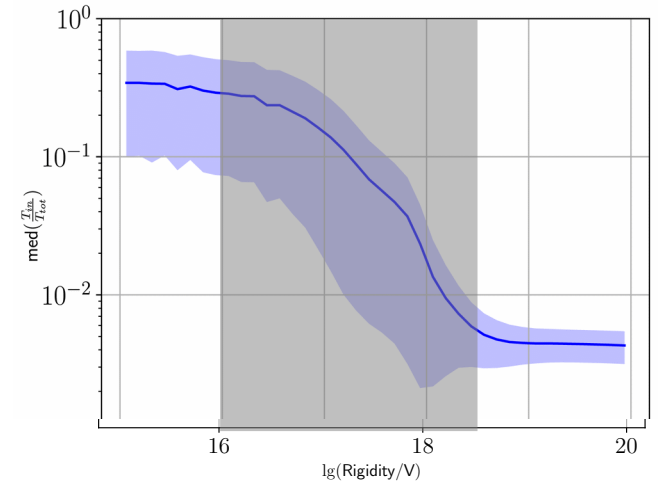
Galactic trajectories
($\lg(R/V) = 16 - 18.5$)



CR count reaching GP



Relative time spent in GP



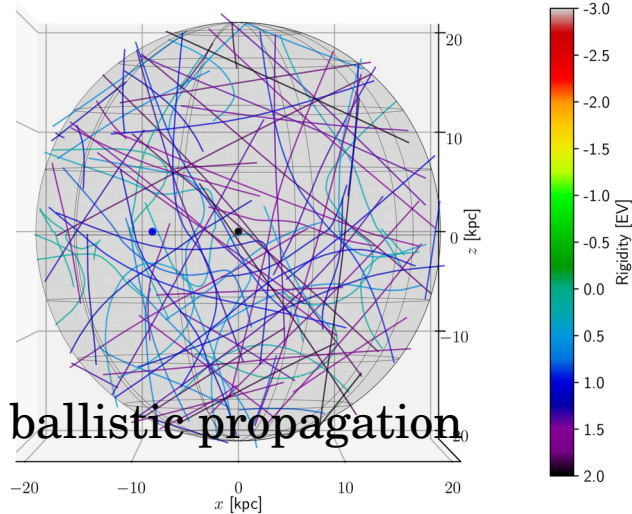
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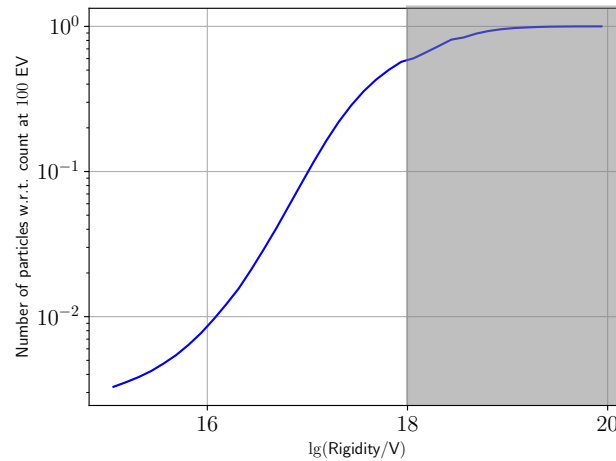
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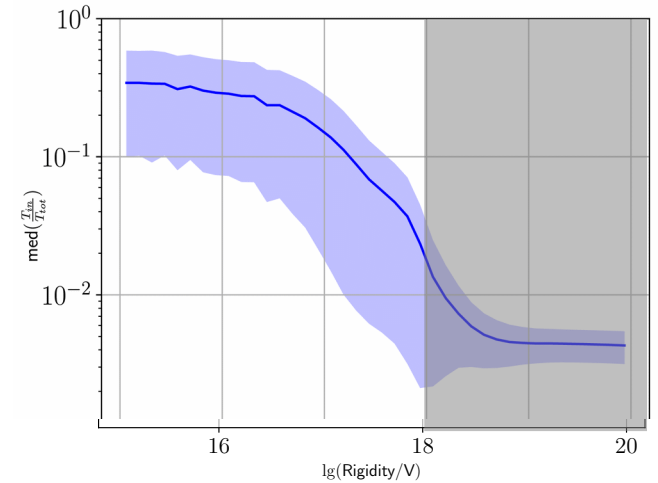
Galactic trajectories
($\lg(R/V) = 18 - 20$)



CR count reaching GP



Relative time spent in GP



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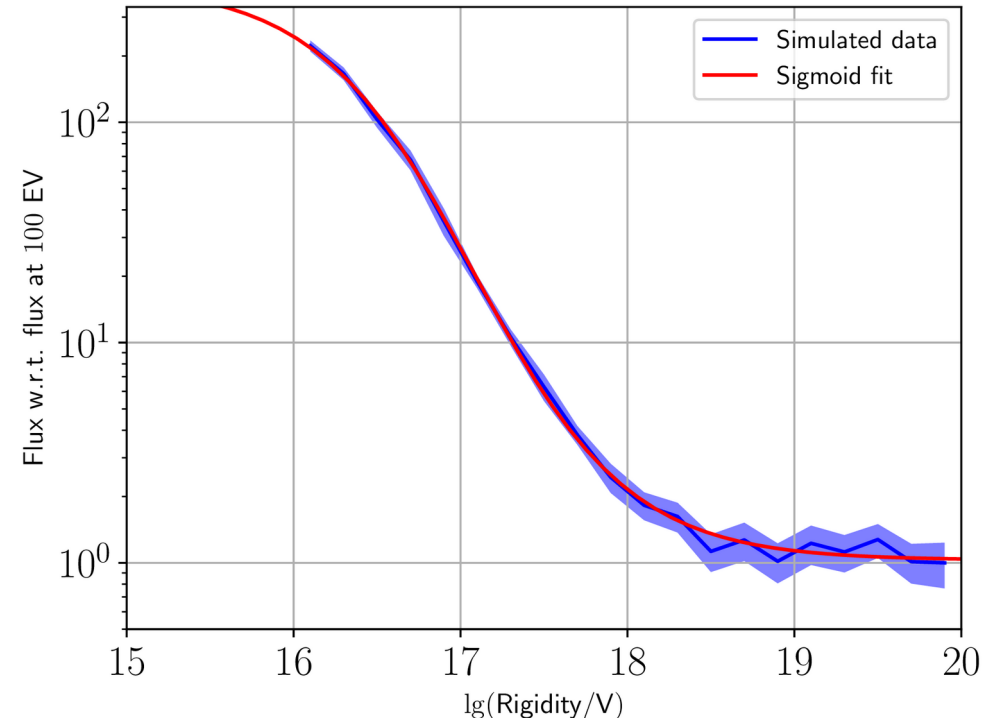
Effect on observables: GCRs – Flux suppression

Rigidity spectrum (sigmoid fit)

Decreasing confinement
→ **flux reduction**

Mixed composition
→ **heavier towards ‘ankle’**

Arrival direction distribution:
correlation with GP direction
above 0.1 EV



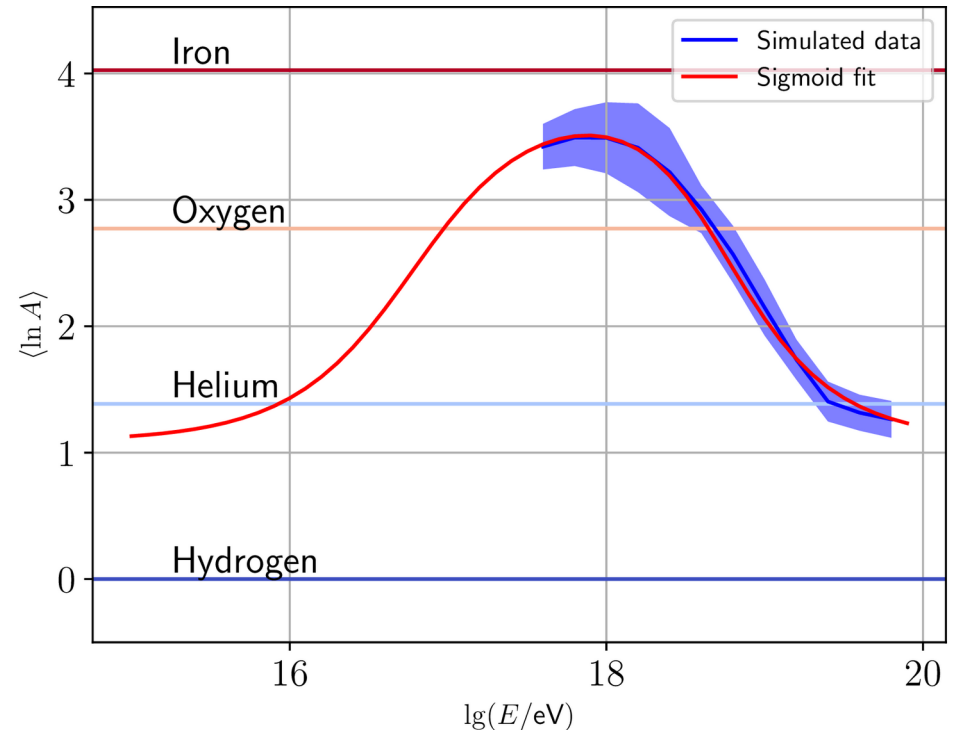
Effect on observables: GCRs – Heavier composition

Mean logarithm of mass number (sigmoid fit)

Decreasing confinement
→ **flux reduction**

Mixed composition
→ **heavier towards ‘ankle’**

Arrival direction distribution:
correlation with GP direction
above 0.1 EV



NOTE: Only propagation effects in GMF!

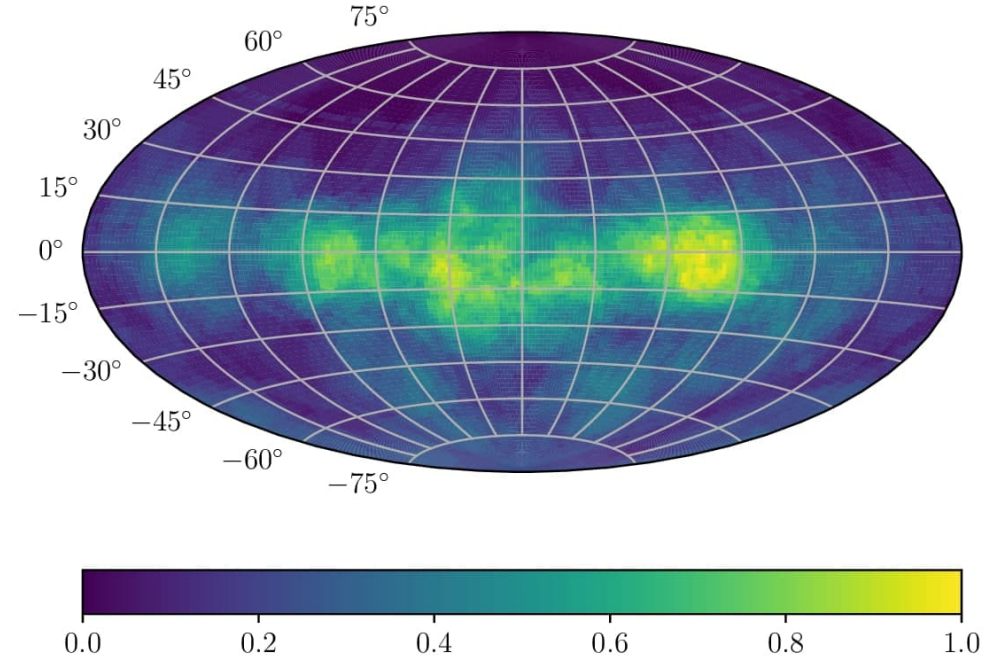
Effect on observables: GCRs – Correlation with source direction (GP)

Decreasing confinement
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→ **heavier towards ‘ankle’**

Arrival direction distribution:
correlation with GP direction
above 0.1 EV

Arrival direction distribution above 0.1 EV



Effect on observables: Isotropic EGCRs – Flux conservation

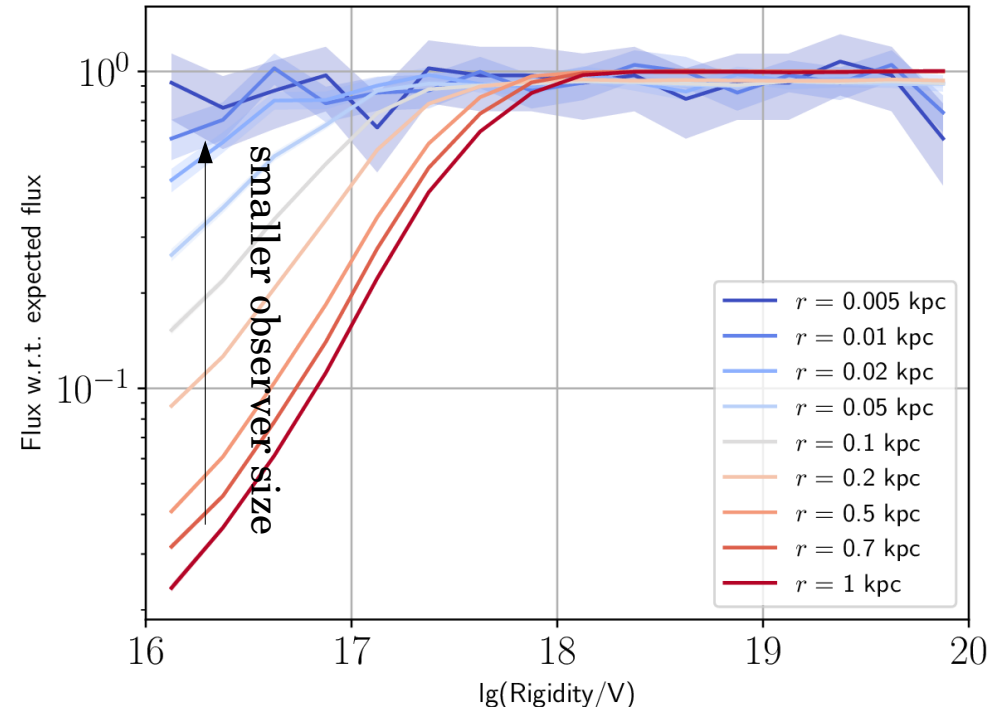
Apparent flux suppression for large observer sphere sizes; effect vanishes as $r \rightarrow 0$.

Increased confinement in GP compensates increased shielding:

→ flux conservation

Isotropic arrival direction

Rigidity spectrum



Effect on observables: Isotropic EGCRs – Isotropic arrival direction

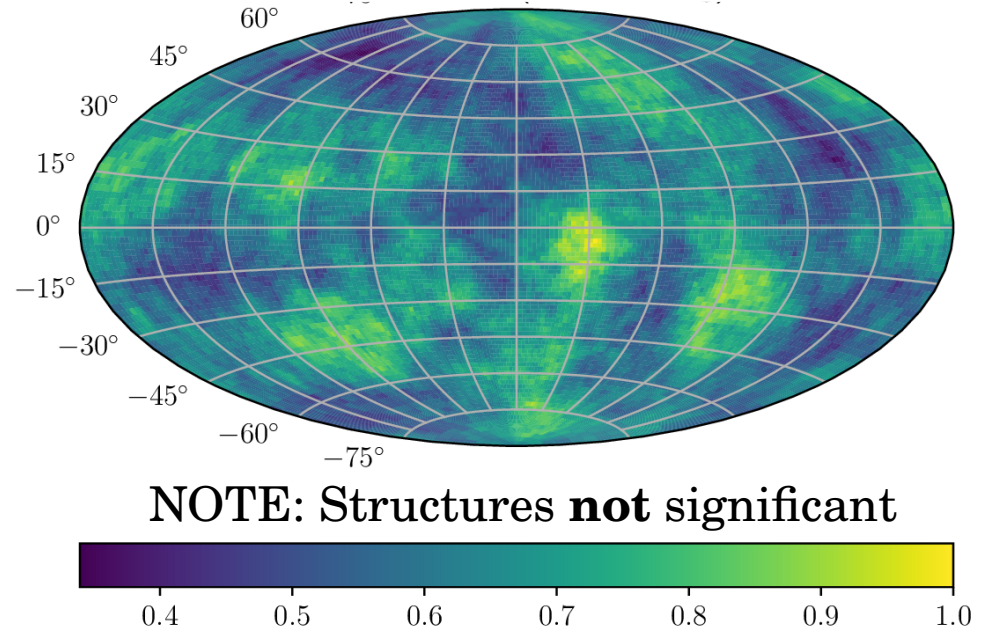
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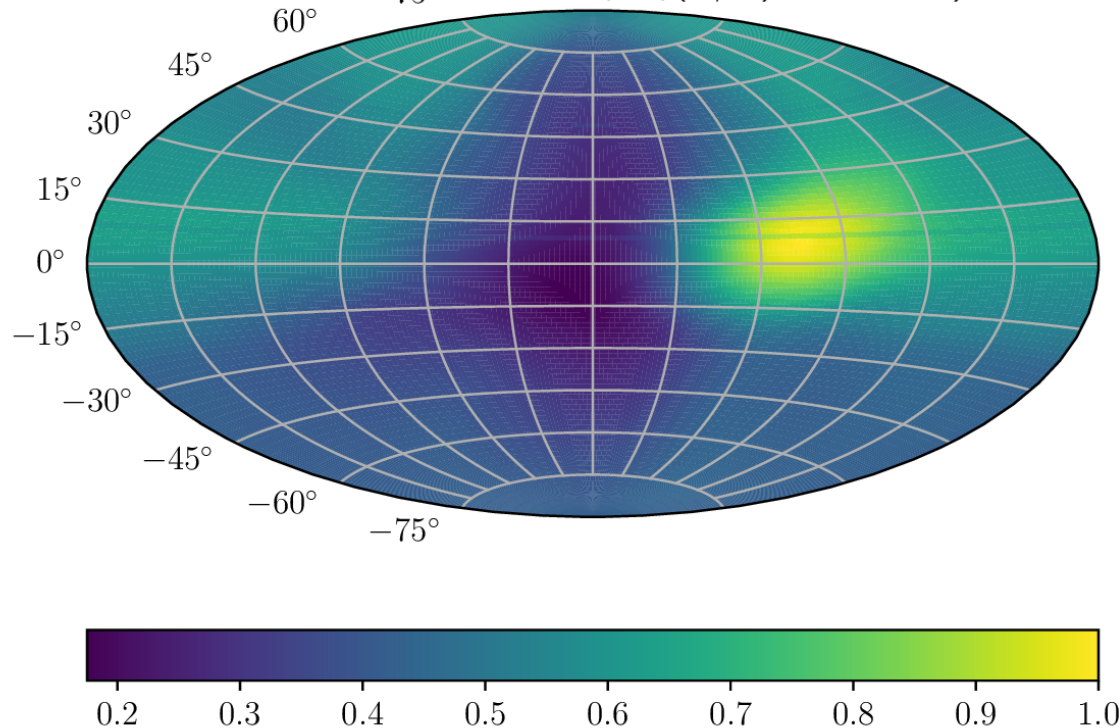
Isotropic arrival direction

Arrival direction distribution



Effect on observables: Anisotropic EGCRs – Why flux modification? Opacity of Galaxy

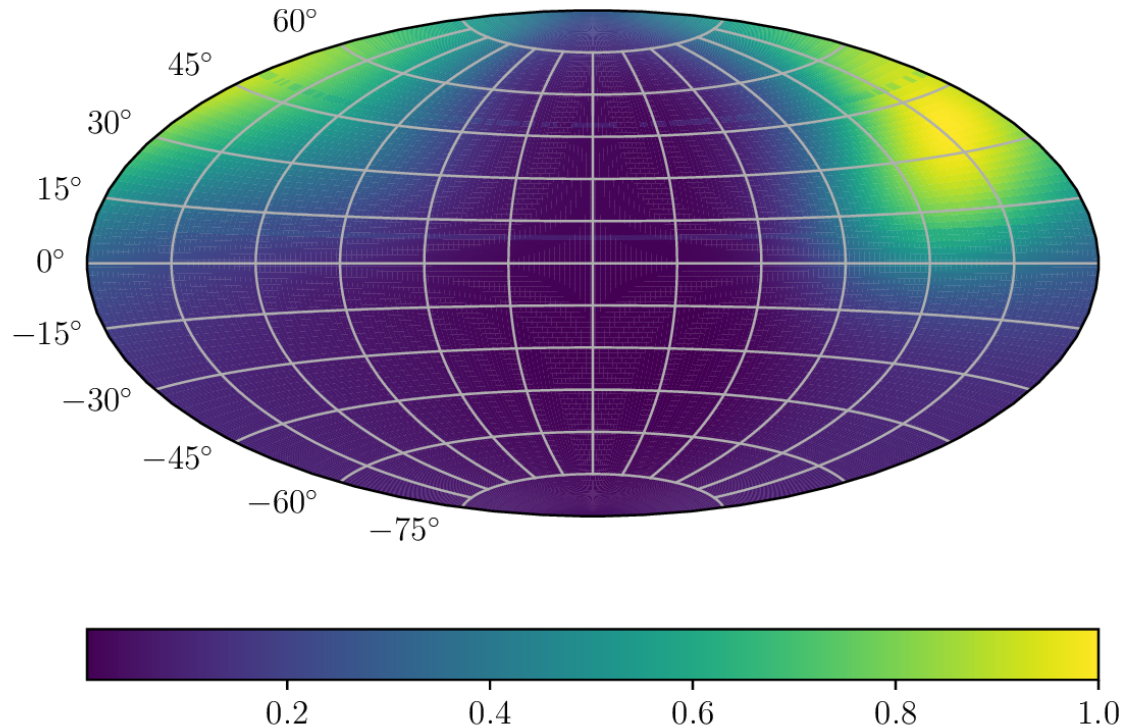
Injection direction of observed EGCRs
($\lg(R/V) = 19-20$)



- Regions of enhanced/suppressed transparency **shift with rigidity**

Effect on observables: Anisotropic EGCRs – Why flux modification? Opacity of Galaxy

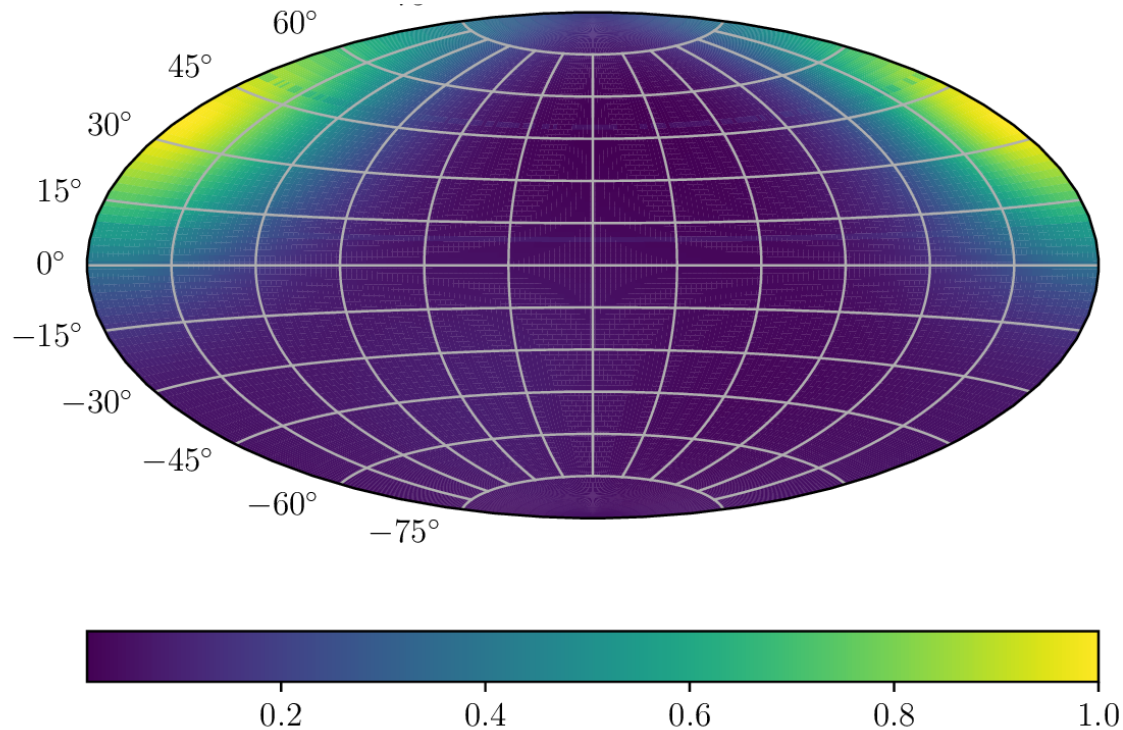
Injection direction of observed EGCRs
($\lg(R/V) = 18-19$)



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Effect on observables: Anisotropic EGCRs – Why flux modification? Opacity of Galaxy

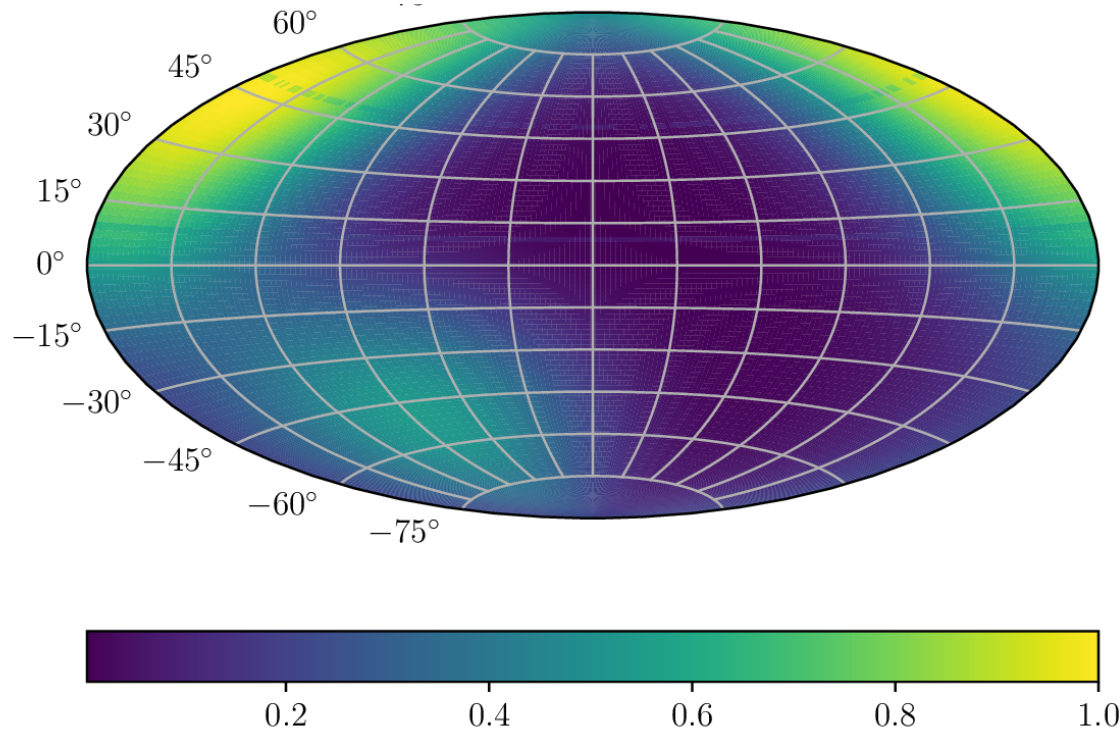
Injection direction of observed EGCRs
($\lg(R/V) = 17-18$)



- Regions of enhanced/suppressed transparency **shift with rigidity**

Effect on observables: Anisotropic EGCRs – Why flux modification? Opacity of Galaxy

Injection direction of observed EGCRs
($\lg(R/V) = 16-17$)



- Regions of enhanced/suppressed transparency **shift with rigidity**

Effect on observables: Anisotropic EGCRs – Galactic lensing

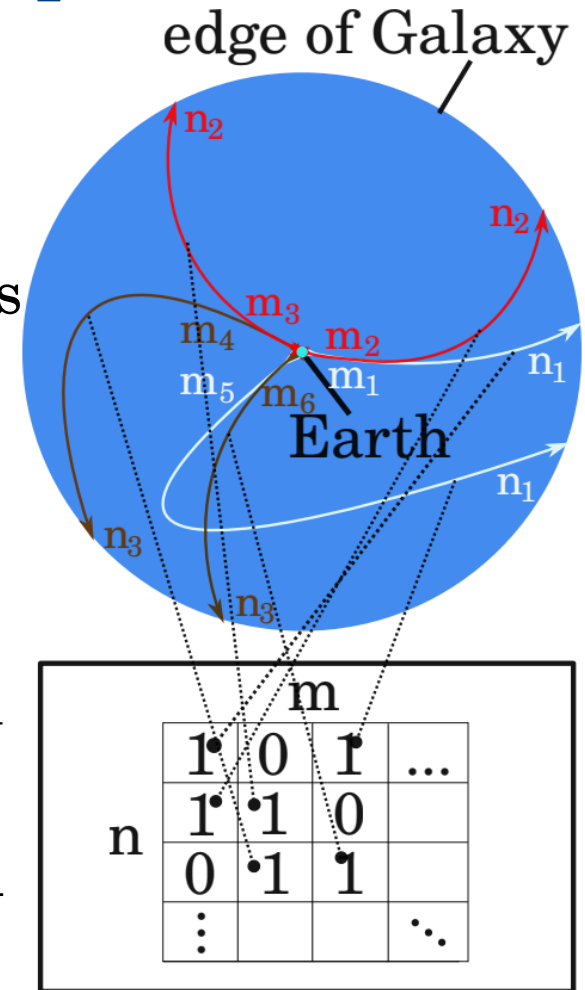
see also: [Astropart.Phys. 85 \(2016\) 54-64](#) for lensing scheme & [Eichmann, JCAP04\(2020\)047](#) for parallel work

Propagation in GMF can be quantified via lens

- distance of EG source to observer \gg size of Galaxy
- only injection **direction** relevant

Procedure:

- 1 **track N particles** between Earth and edge of Galaxy and **store injection direction** at edge and **arrival direction** at Earth
- 2 **discretise solid angle** range and **ascribe numbers n and m** to corresponding **injection and arrival directions**



Effect on observables: Anisotropic EGCRs – Galactic lensing

see also: [Astropart.Phys. 85 \(2016\) 54-64](#) for lensing scheme & [Eichmann, JCAP04\(2020\)047](#) for parallel work

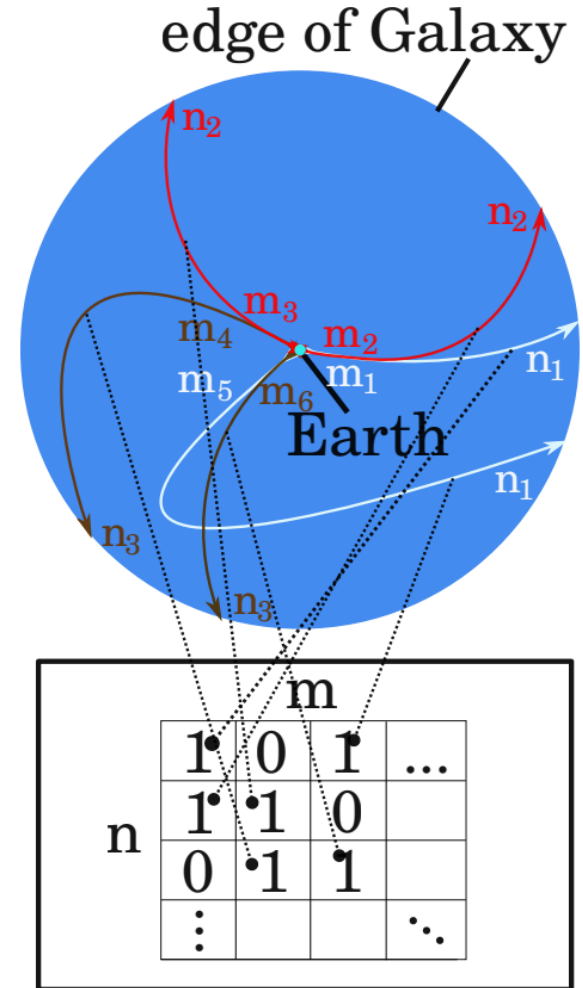
3 count occurrence o of each injection/arrival direction pair (n,m)

- spans matrix L ($l_{nm} = o$)
- L signifies **distribution of arrival directions m** at the observer point for each **injection direction n**

4 matrix weighted by its 1-norm (= number of backtracked particles N) defines lens

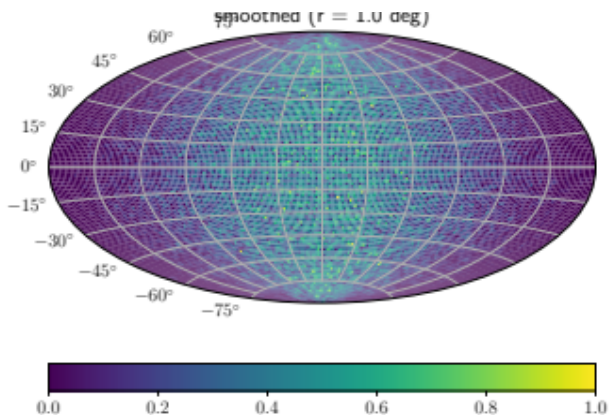
→ calculate arrival direction distribution for any injection direction distribution:

$$\vec{A} = \vec{I} \cdot \mathcal{L}$$



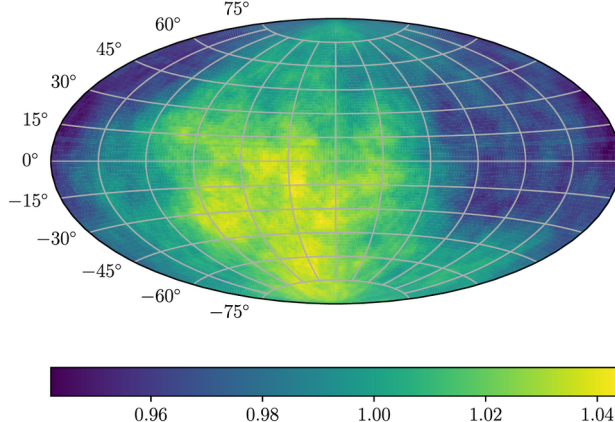
Effect on observables: Anisotropic EGCRs – Galactic lensing

Injected flux



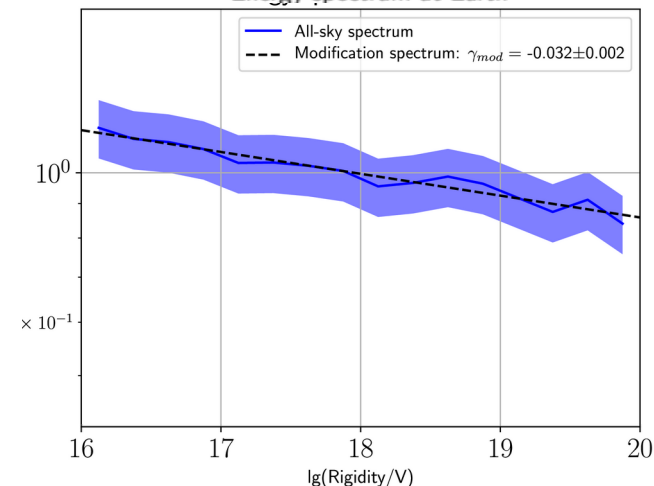
Injection direction distribution:
Pure dipole

Flux at Earth



- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

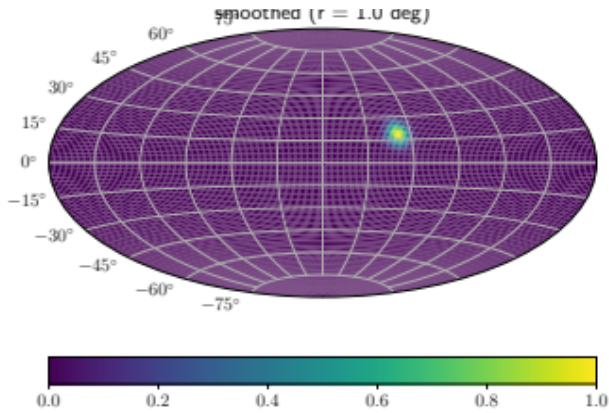
Flux at Earth Energy spectrum at Earth



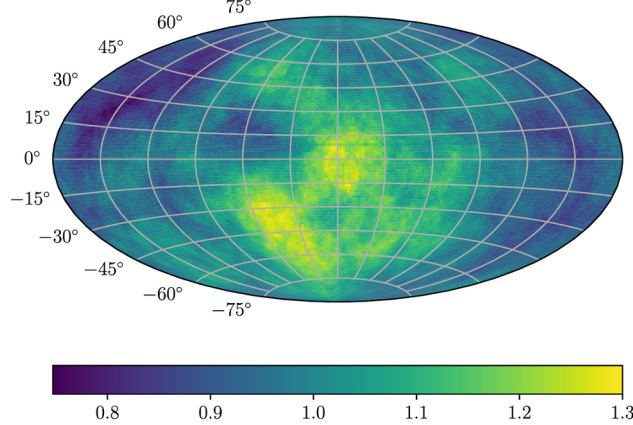
Rigidity spectrum at Earth → **possible flux modification**

Effect on observables: Anisotropic EGCRs – Galactic lensing

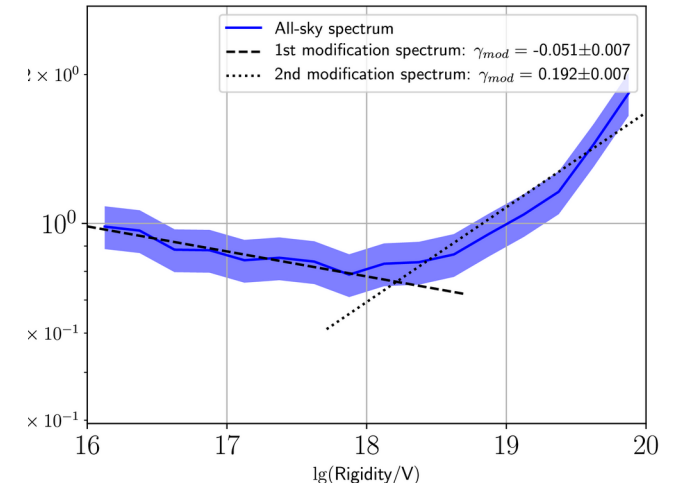
Injected flux



Flux at Earth



Flux at Earth



Injection direction distribution:
Pure single-point source (Cen A)

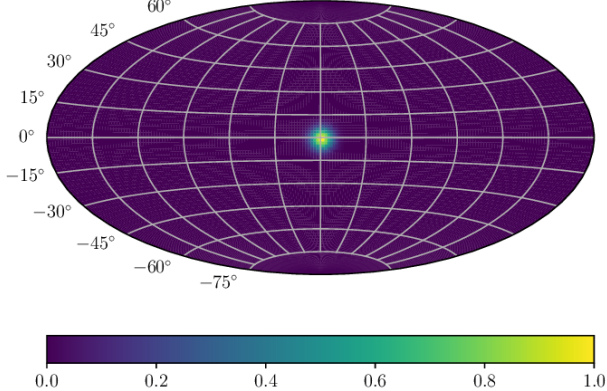
- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

Rigidity spectrum at Earth → **possible flux modification**

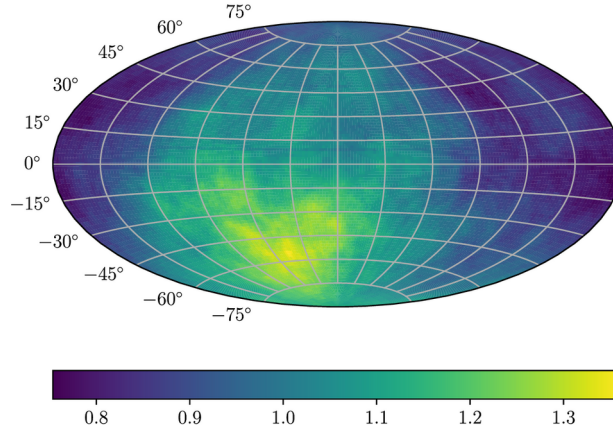
Effect on observables: Anisotropic EGCRs – Galactic lensing

Injected flux

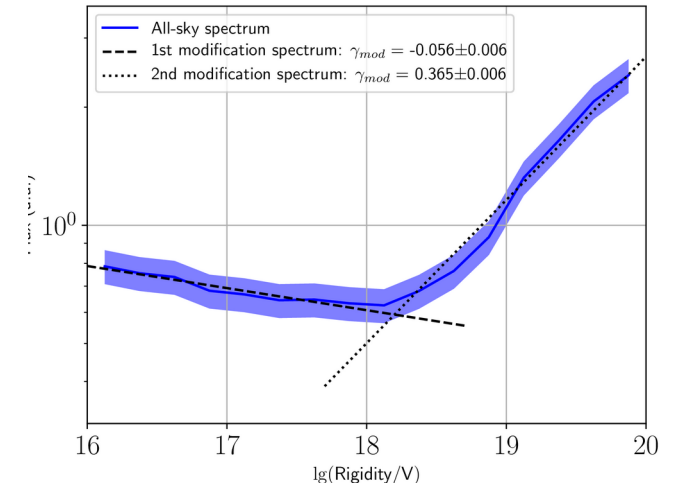
smoothed ($r = 1.0$ deg)



Flux at Earth



Flux at Earth



Injection direction distribution:
Pure single-point source (minimum Galactic transparency; Galactic centre)

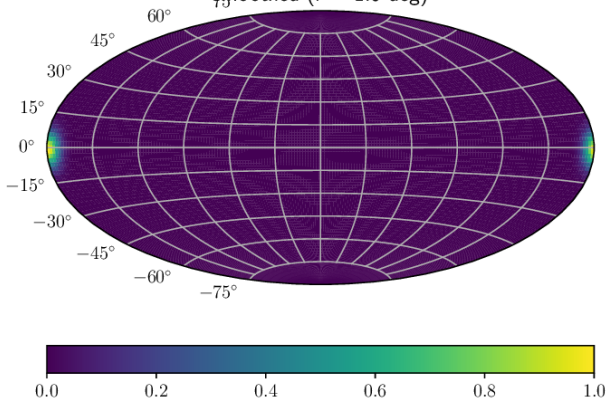
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Rigidity spectrum at Earth → **possible flux modification**

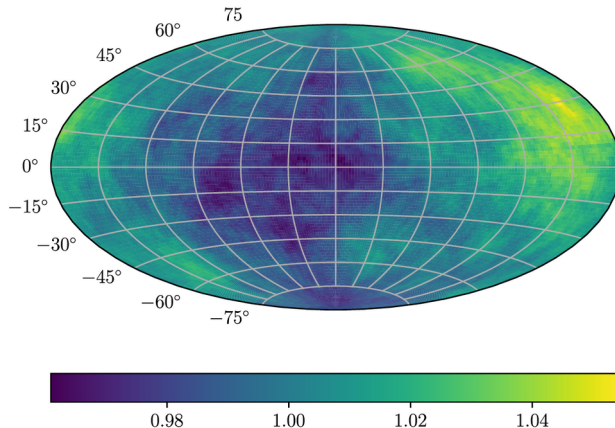
Effect on observables: Anisotropic EGCRs – Galactic lensing

Injected flux

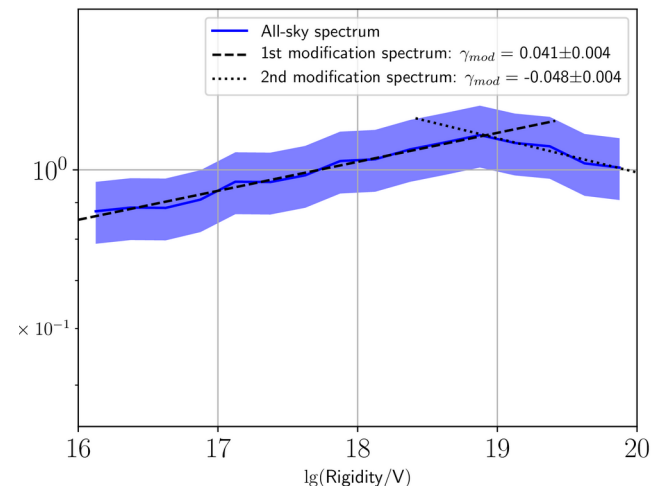
smoothed ($r = 1.0$ deg)



Flux at Earth



Flux at Earth



Injection direction distribution:
Pure single-point source (Galactic anti-centre)

- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

Rigidity spectrum at Earth → **possible flux modification**

Summary (1)

Computational challenges:

- **change in propagation regimes**
 - **both propagation methods necessary**, ideally in self-consistent framework
→ CRPropa 3
- **GMF poorly understood**
 - apply **multiple models**
 - improve measurements of observables and associated quality
 - use more input in model creation (see also IMAGINE project)

Summary (2)

Transition region in data:

- multiple **features** in spectrum, composition, ..., many of which have an **unknown origin**
 - goal of simulation: **reproduce these data** (qualitatively) to gain understanding of underlying processes
- propagation in GMF:
 - GCRs:
 - **leakage from Galaxy** leads to **‘knee’-like feature**
 - significant contribution of **GCRs originating from GP disfavoured**
 - EGCRs:
 - **part of ‘ankle’** may be a **propagation effect in GMF**

Thank you for your attention!

Open questions

Propagation effects:

- How does the change in propagation regimes manifest?
- Do propagation features arise?

GCRs:

- How **strongly** are they **contained**/How easily do they diffuse out of the Galaxy?
- What **effect** does this have **on** the GCR **flux**?

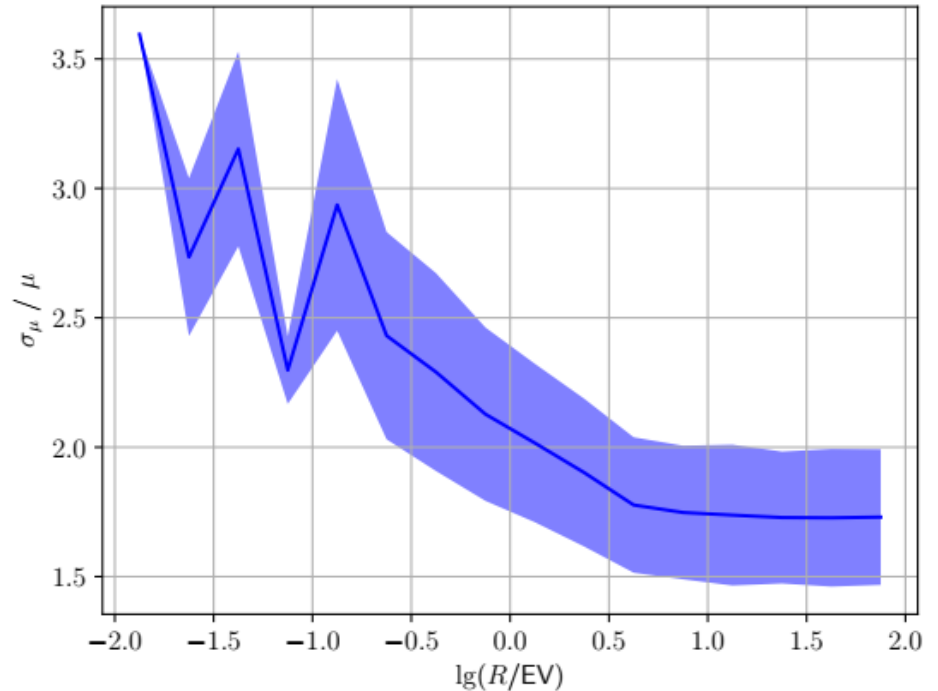
EGCRs:

- How **strongly** are they **shielded** by the GMF?
- How are they **deflected** by the GMF **once** they have **entered** the **Galactic plane**?
- Does this lead to **flux modification**?

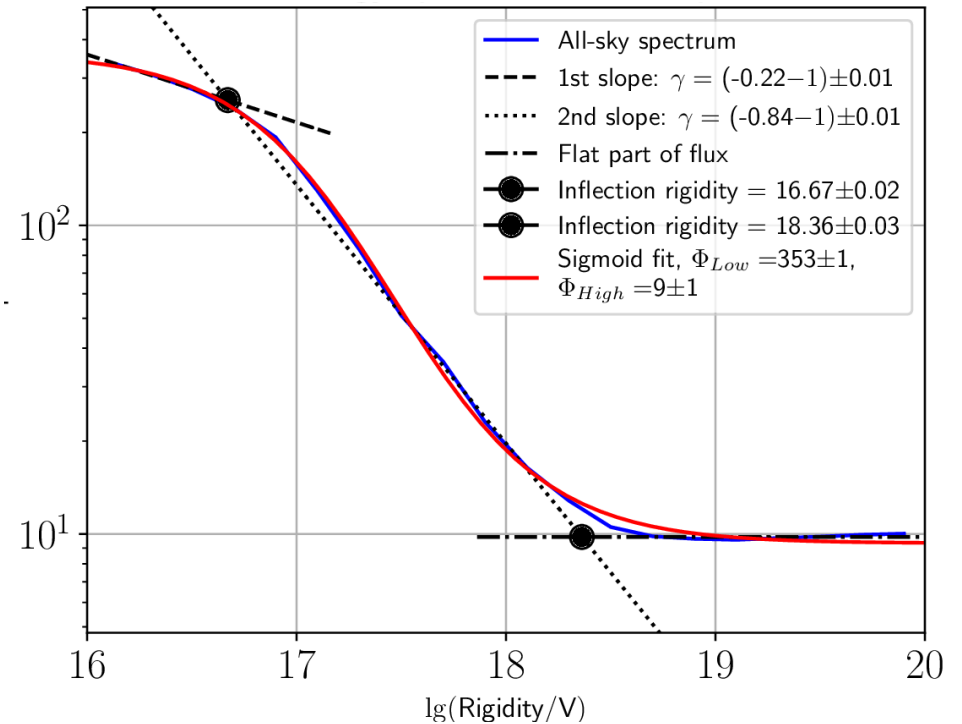
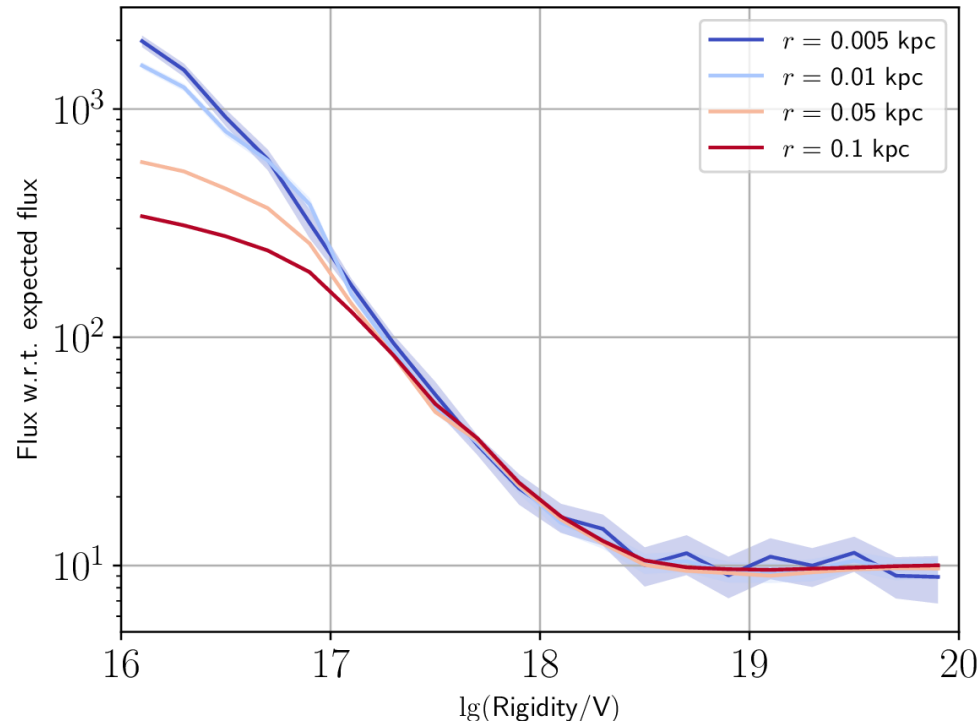
Liouville's Theorem

- Objection to flux modification of EGCRs: **Liouville's Theorem**
 - If **phase space density is conserved, so is flux**
 - BUT: If Liouville holds, then **other quantities are conserved, i.a. first adiabatic invariant**
~ classical magnetic moment (APJ 842:54, APJ 830:19):

$$\mu = \frac{e}{2m\pi c} \cdot I = \text{const.} \Rightarrow r_\mu = \frac{\sigma_\mu}{\langle \mu \rangle} \text{ small}$$

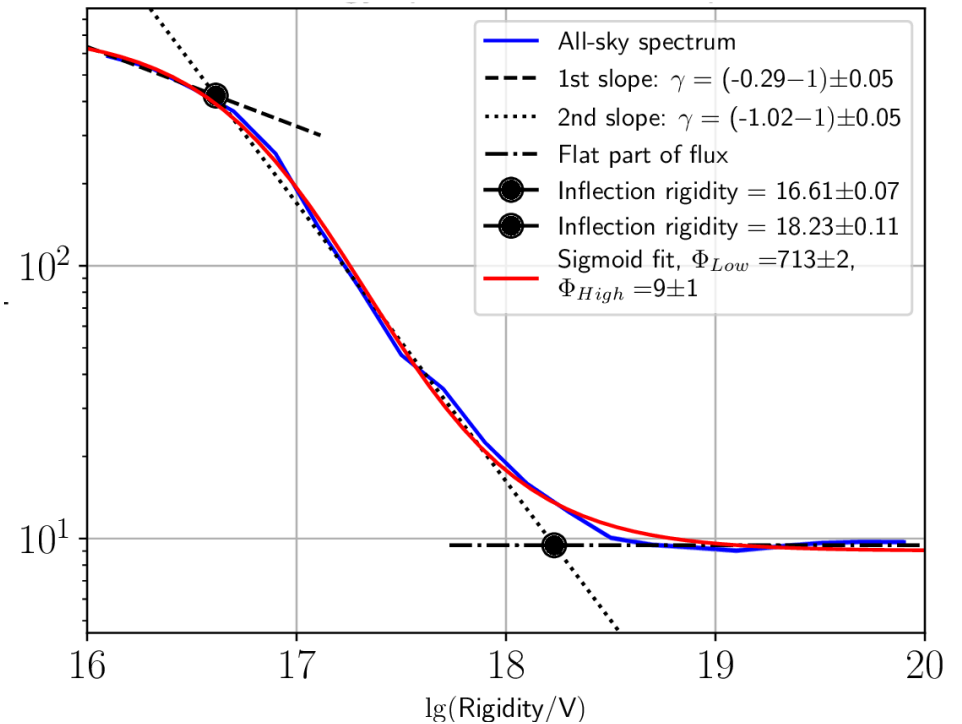
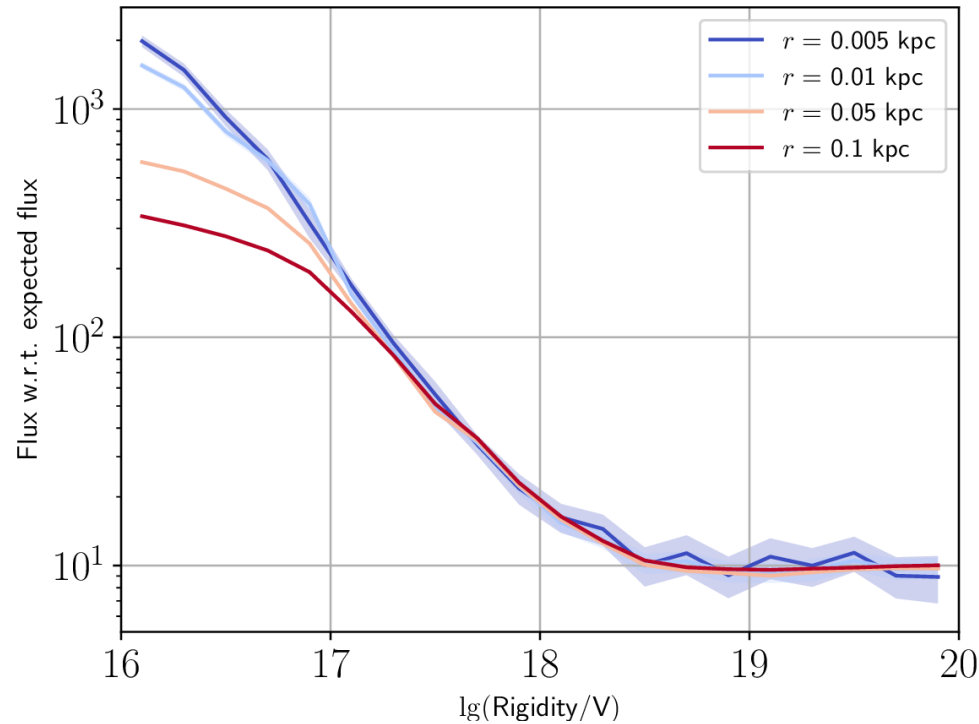


GCRs – Sigmoid fit to flux



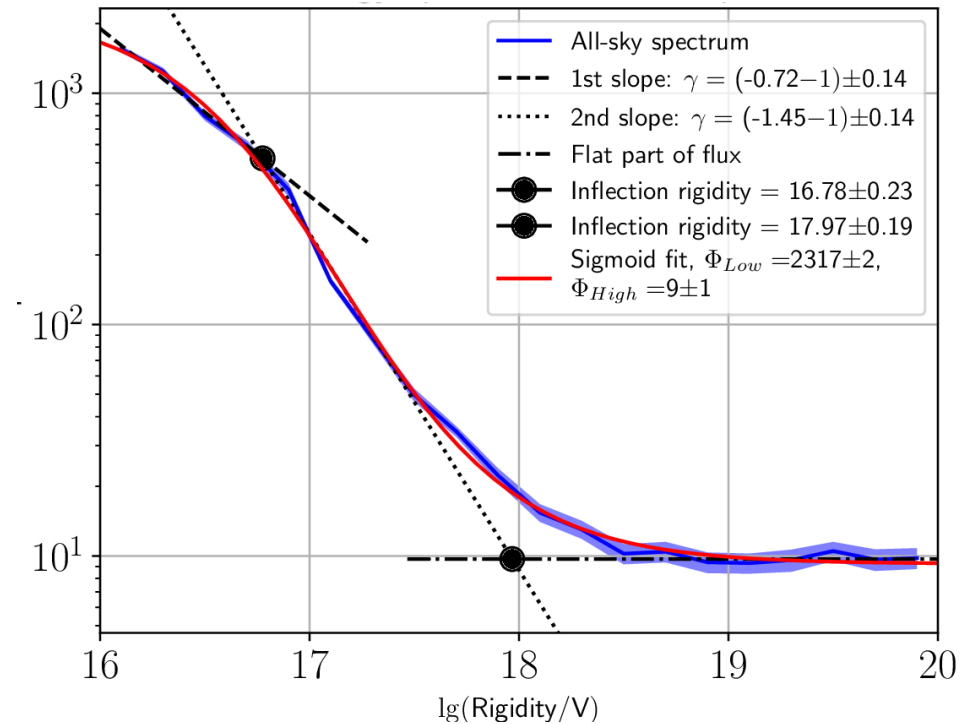
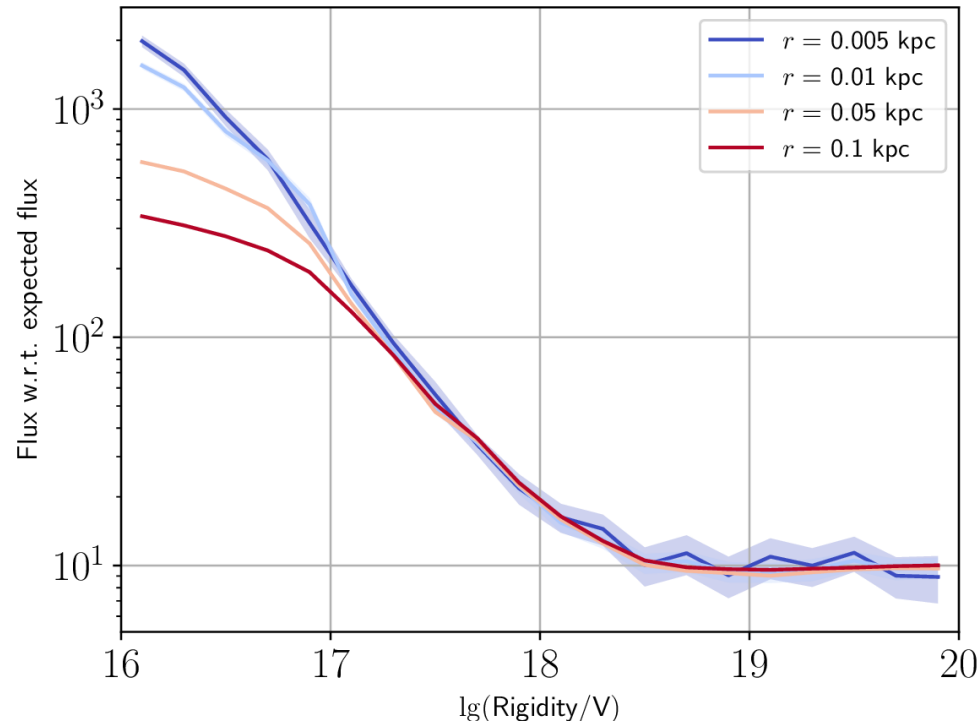
- Flux enhancement towards lower rigidities appears to flatten out \rightarrow sigmoid fit
- Advantage: wider overlapping energy range of mixed compositions

GCRs – Sigmoid fit to flux



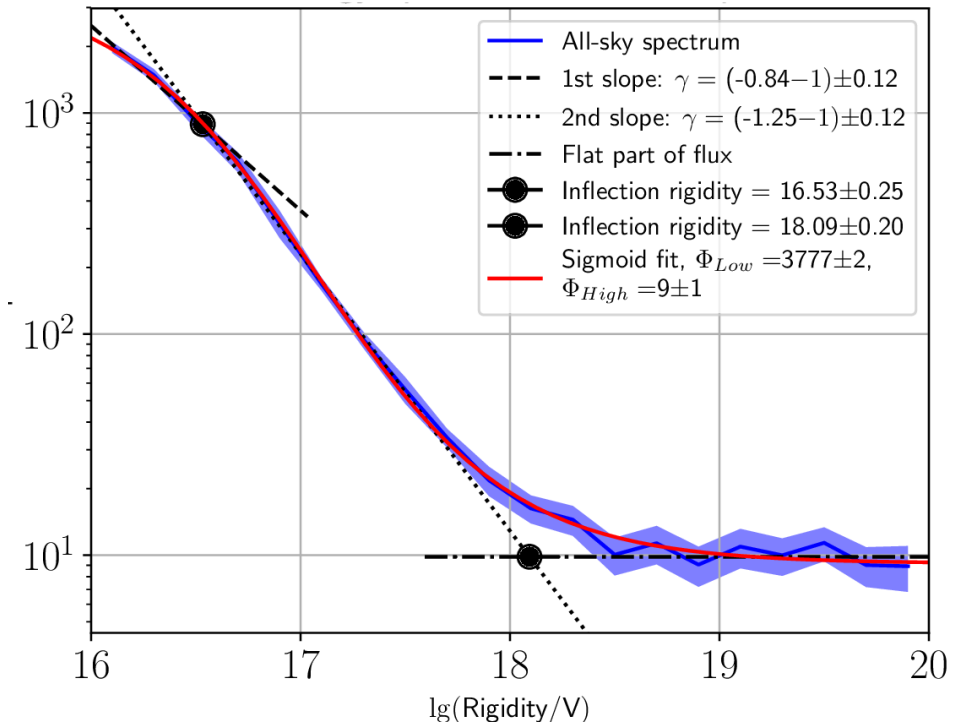
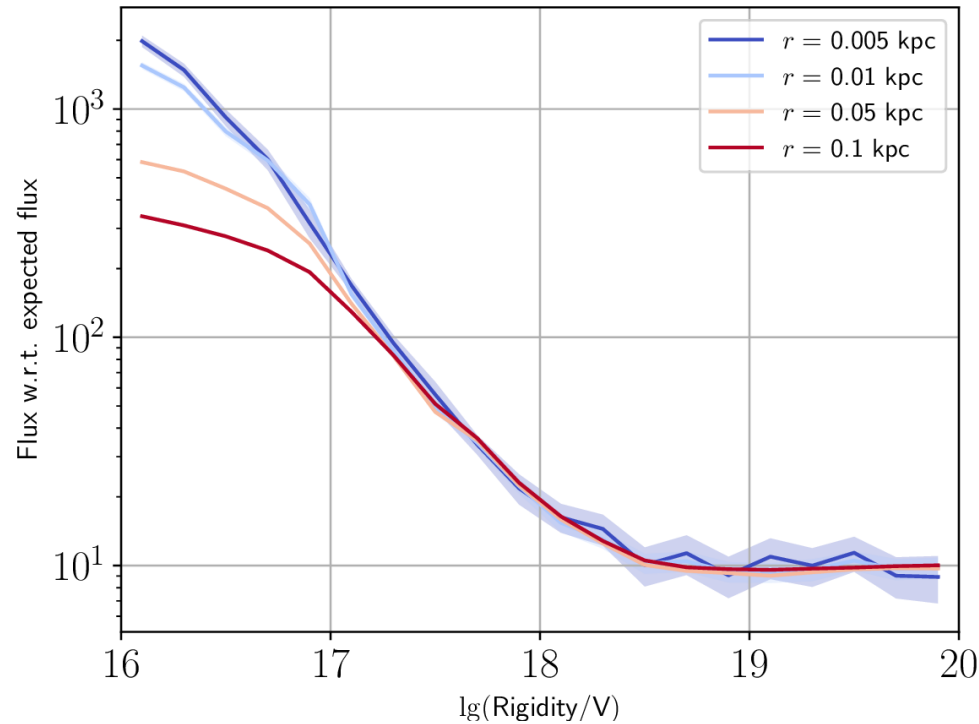
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GCRs – Sigmoid fit to flux



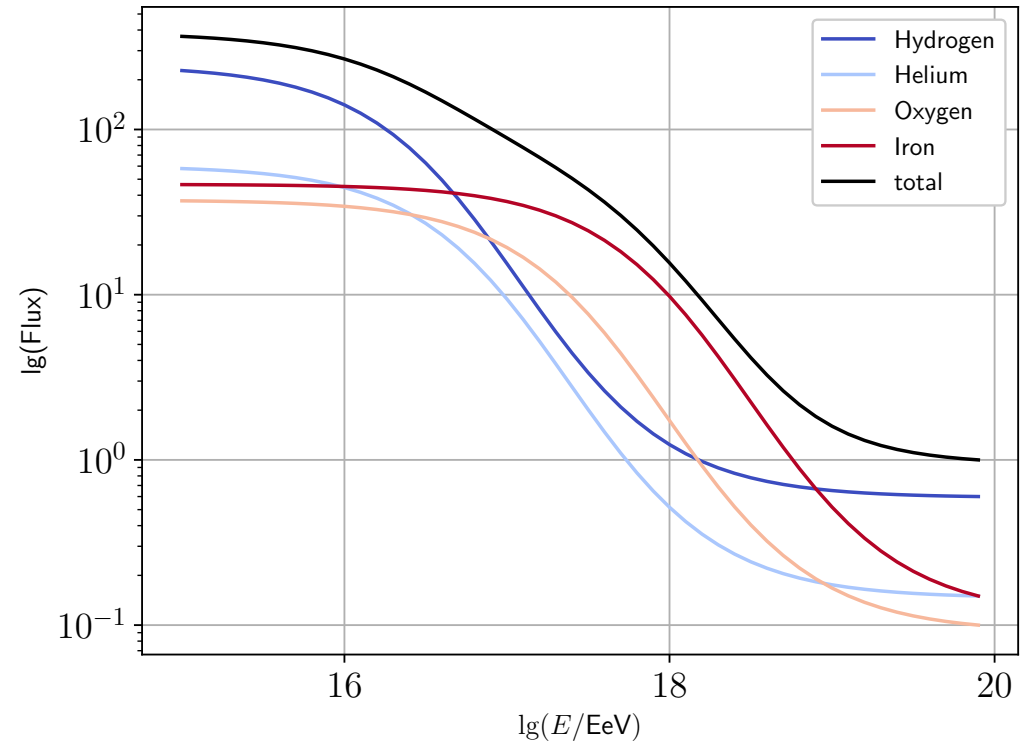
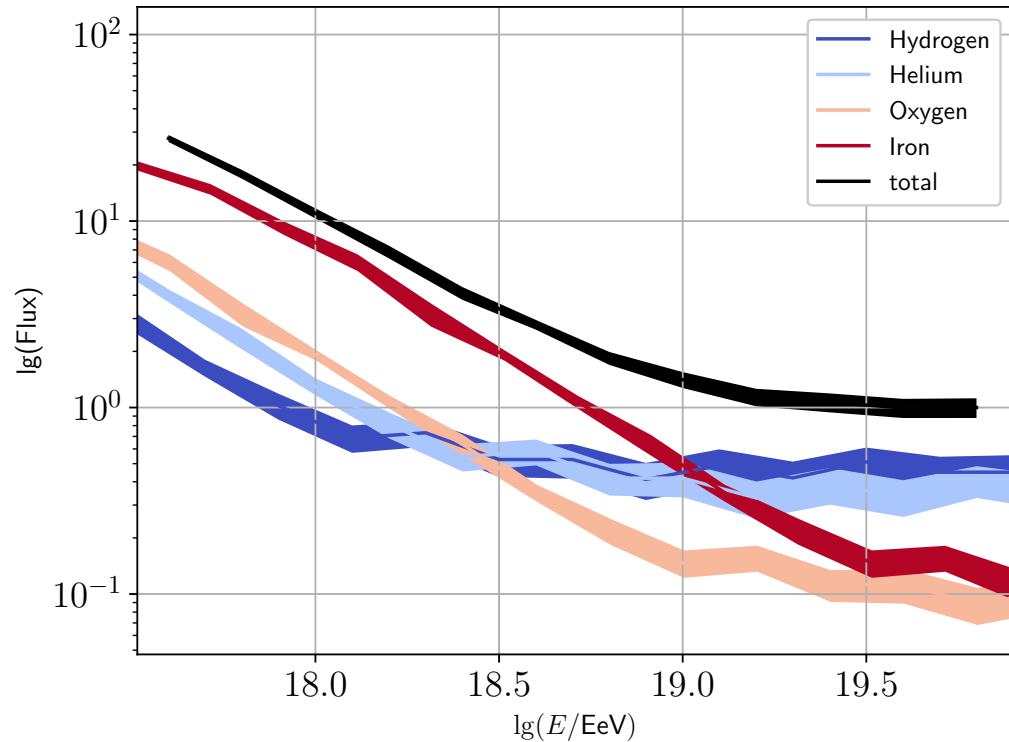
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GCRs – Sigmoid fit to flux



- Flux enhancement towards lower rigidities appears to flatten out \rightarrow sigmoid fit
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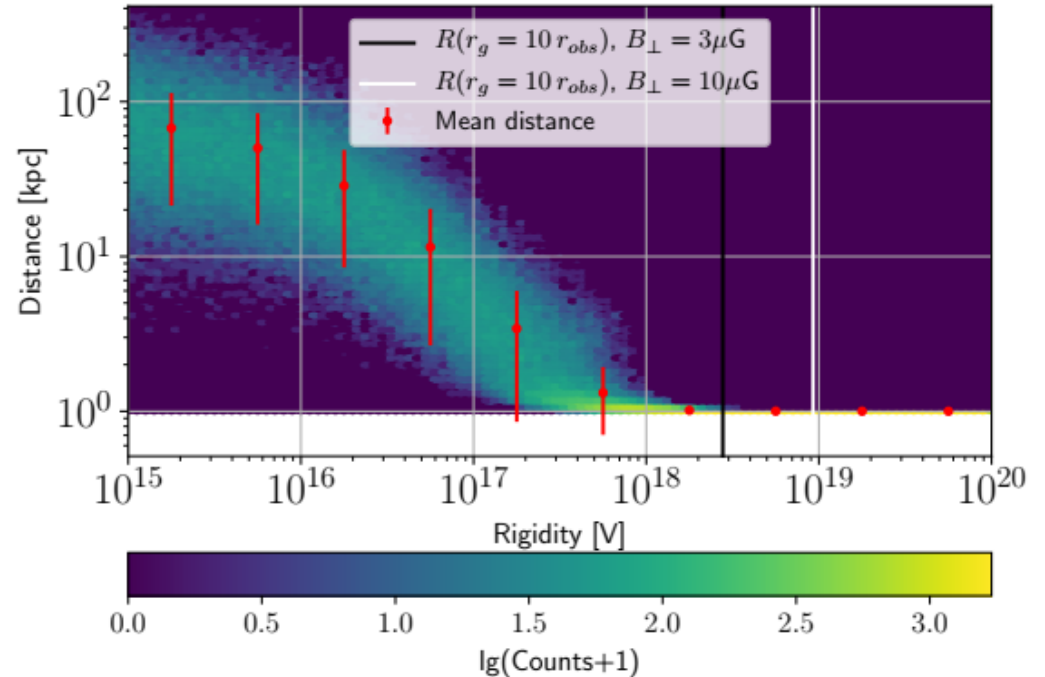
GCRs – Total flux (data and sigmoid fit)



- Onset of flux suppression for mixed composition visible for sigmoid fit

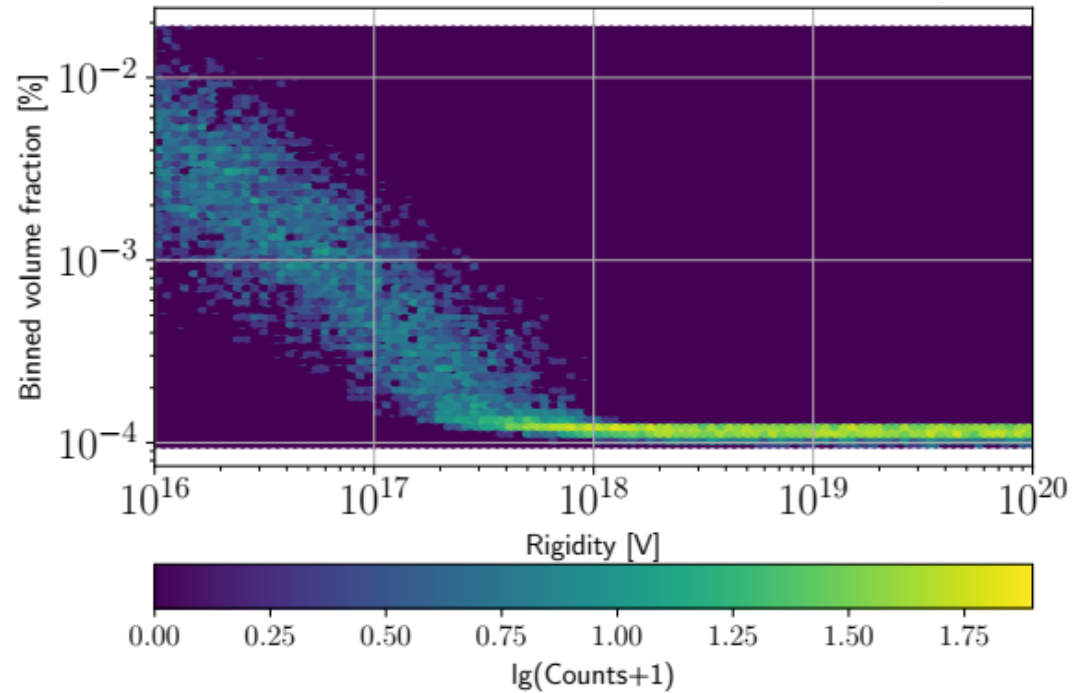
On the modification of EGCR energy spectrum

- **Propagation time and fraction of space traversed increases to compensate shielding**



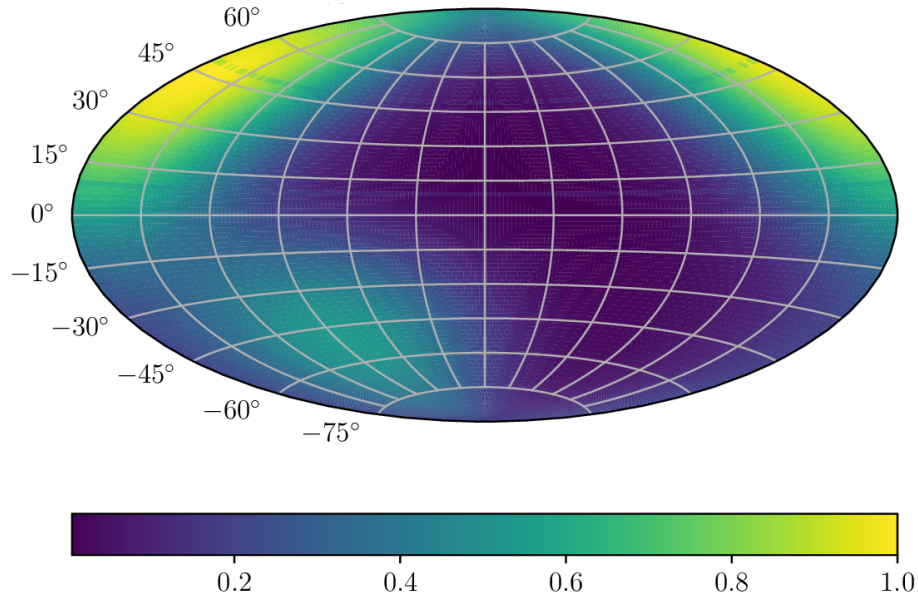
On the modification of EGCR energy spectrum

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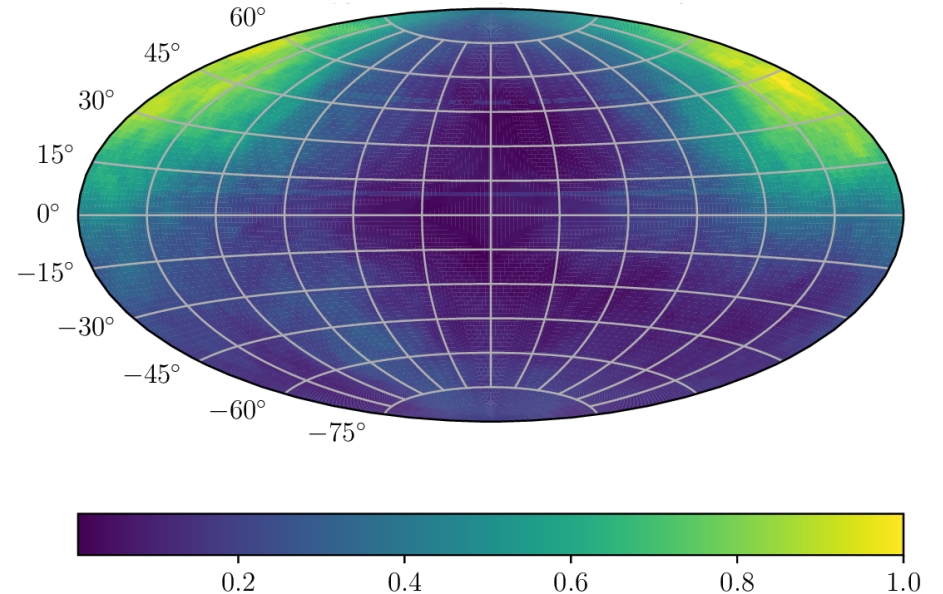


Galactic lensing – time reversibility

Injection direction of observed EGCRs
backtracking



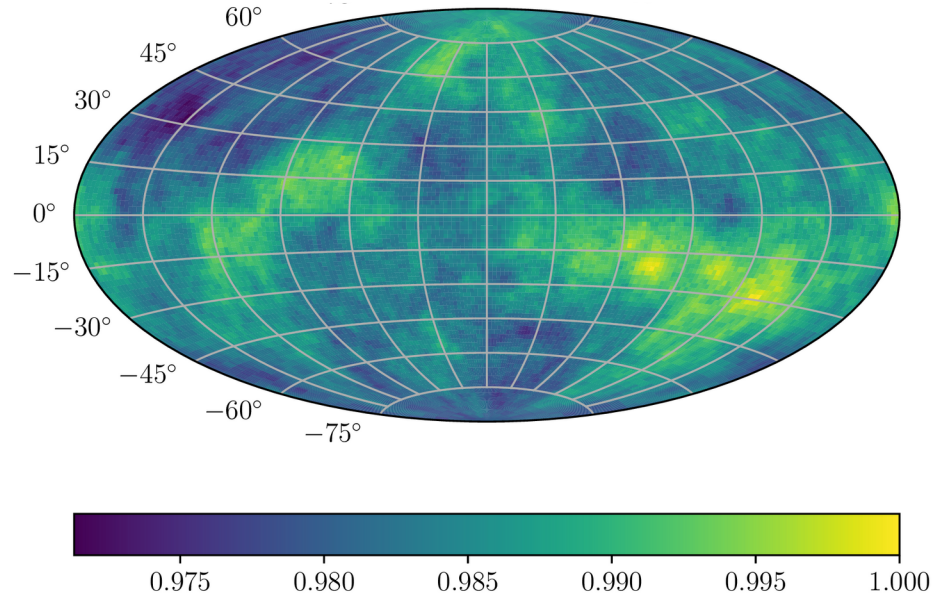
Injection direction of observed EGCRs
forward tracking



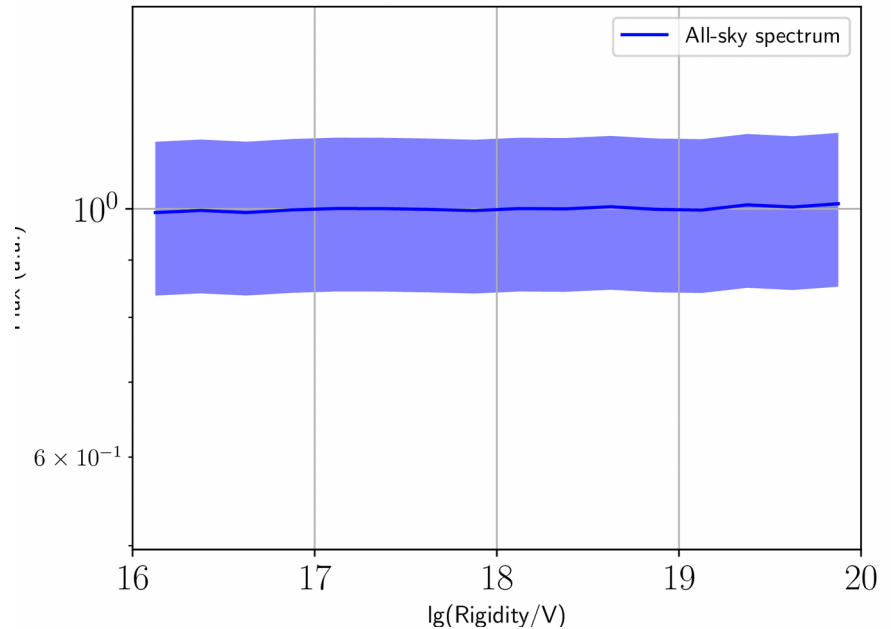
Injection direction distributions of backtracked and forward tracked protons match

Galactic lensing – testing lens

Arrival direction of lensed isotropic injection distribution



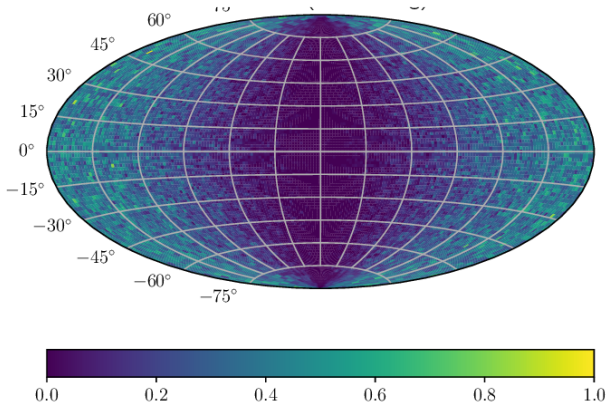
Spectrum of lensed isotropic injection distribution



Lensed arrival direction distribution and spectrum of isotropic injection distribution is as expected.

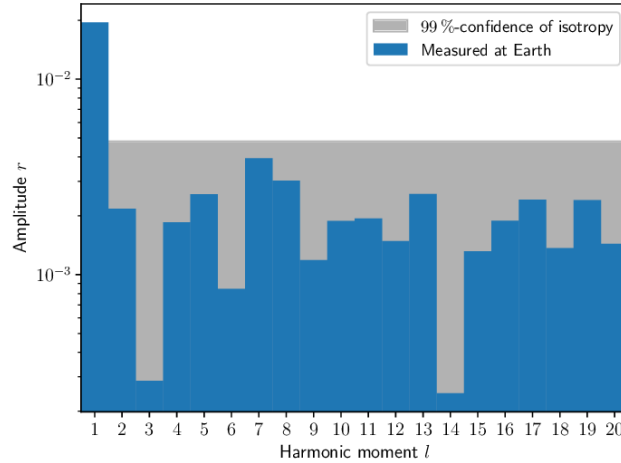
Anisotropic EGCRs – Galactic lensing

Injected flux



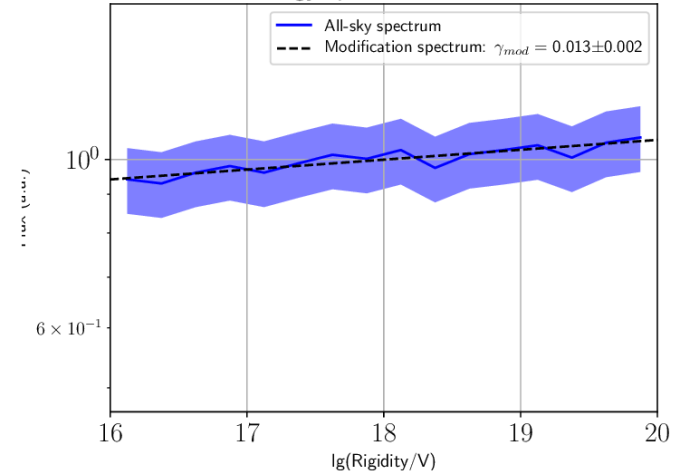
Injection direction distribution:
Pure dipole

Distribution of moments above 1 EV



Distribution of harmonic moments of arrival direction distribution above 1 EV
→ **strong isotropisation by GMF**

Flux at Earth



Rigidity spectrum at Earth → **possible flux modification**

Interlude:

Dipole anisotropy

- amplitude
- **no significant**
~10^{16.5} eV
- **phase correlation**
energy range
Galactic
energies
→ **extragalactic**

Small-scale anisotropy

- amplitude
- strength
- correlation
- ↔ **strength**

Arrival direction distribution measured via multipole expansion:

$$I(\alpha, \delta) = 1 + \sum_{l \geq 1} \sum_{m=-l}^l a_{lm} Y_{lm}(\pi/2 - \delta, \alpha)$$

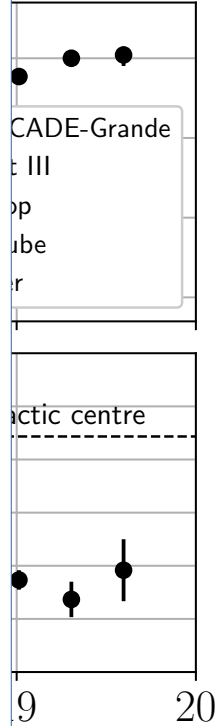
α : right ascension

δ : declination

Y_{lm} : spherical harmonics

- $l = 1$: dipole anisotropy

(2020) pp.1-98



Anisotropies

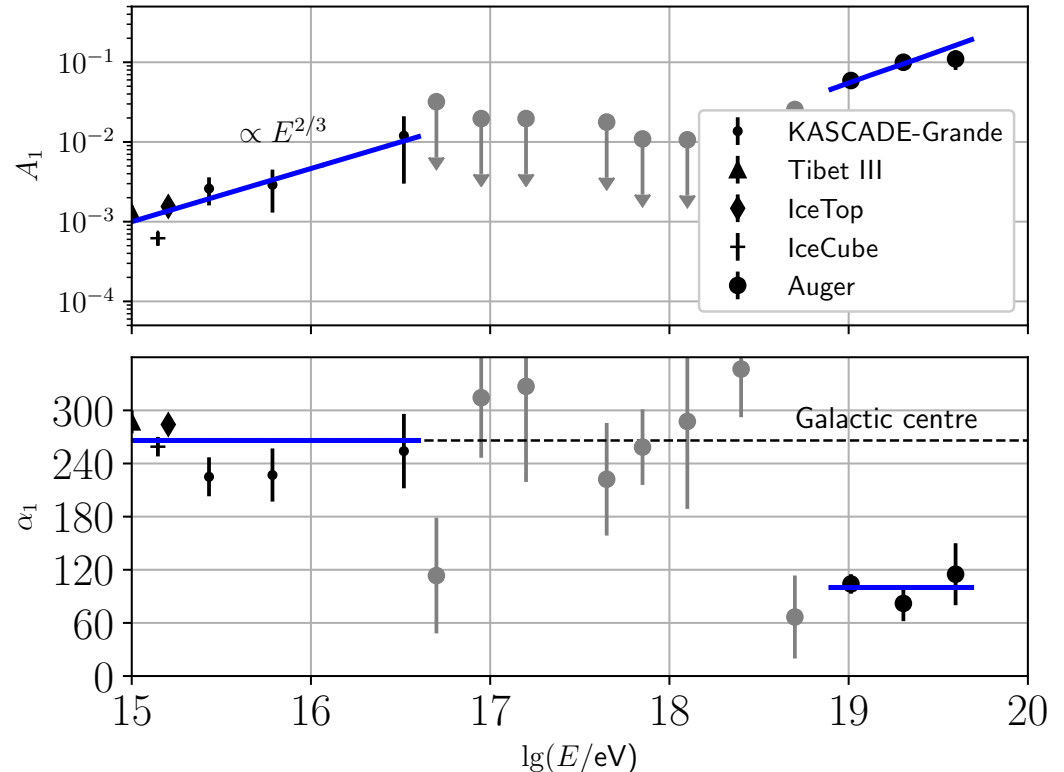
Dipole anisotropy:

- amplitude increases with energy
- **no significant dipole** between $\sim 10^{16.5} \text{ eV} - 10^{19} \text{ eV}$
- **phase roughly constant** in both energy ranges but **shifts away from Galactic centre (GC)** for highest energies
→ **extragalactic** origin likely

Small-scale anisotropies:

- amplitude and direction indicate strength of **diffusion** vs. **advection**: correlation with **source direction**
↔ **strength of Galactic wind**

see also: [Becker-Tjus, Physics Reports 872 \(2020\) pp.1-98](#)

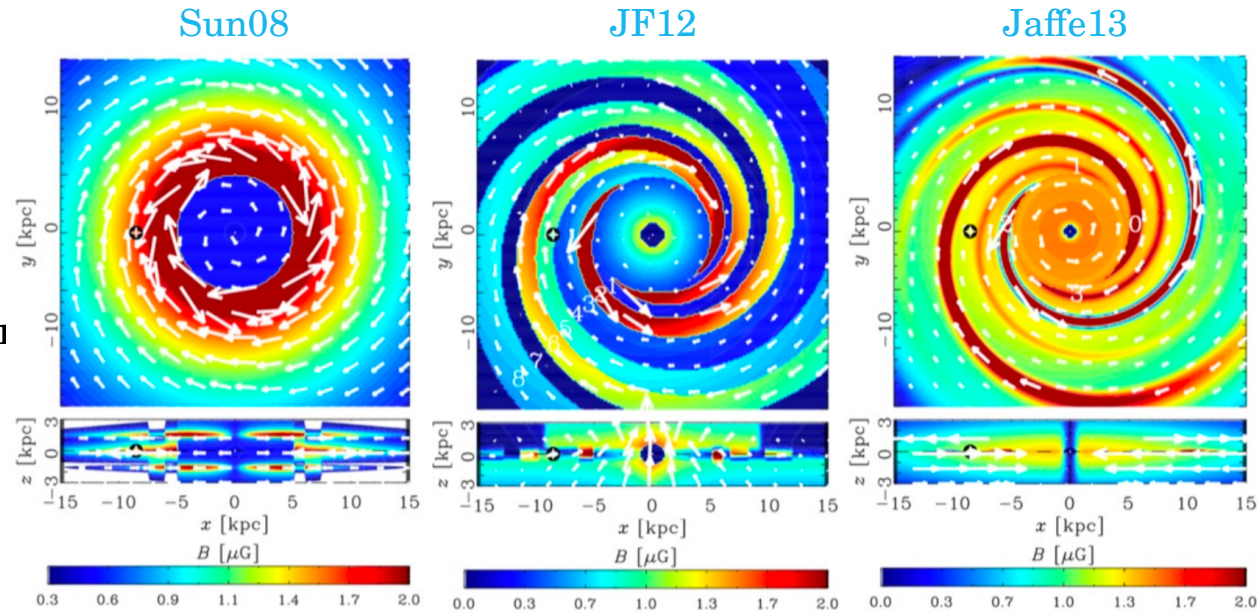


Major challenge: GMF model

GMF not well known:

- field strength inferred indirectly via observables:
 - Faraday rotation (for B_{\parallel})
 - synchrotron emission (for B_{\perp})
 - thermal dust emission/
polarised starlight (for B_{\perp})
- uncertainty in quantities, contamination of other sources of radiation
- ad hoc assumptions necessary (simplifications):
 - morphological features
 - field components (regular, turbulent etc.)

x-y and x-z projections of coherent field for various GMF models



Outlook (1)

Combine fluxes:

- GCRs:

- Test discrete source distribution
- Include rigidity-dependent cut-off at around “knee” energies
→ fit to ”knee” region

- EGCRs:

- Realistic source distribution (e.g. 10 brightest AGN/SBGs) and spectra
→ fit to “post” ankle flux

→ retrieve “missing” flux